



# Recognizing Textual Entailment Using a Subsequence Kernel Method

Rui Wang & Günter Neumann

LT Lab at DFKI

Saarbrücken, Germany





☆ Motivation: textual variability of semantic expression

☆ Idea: given two text expressions T & H:

- Does text T justify an inference to hypothesis H?
- Is H semantically entailed in T ?

**Edward VIII shocked the world in 1936 when he gave up his throne to marry an American divorcee, Wallis Simpson.**



**King Edward VIII abdicated in 1936.**

☆ PASCAL Recognising Textual Entailment Challenge

- since 2005, cf. Dagan et al.
- 2007: 3<sup>rd</sup> RTE challenge, 25 research groups participated

☆ A core technology for text understanding applications:

- Question Answering, Information Extraction, Semantic Search, Document Summarization, ...



## Processing of real text documents

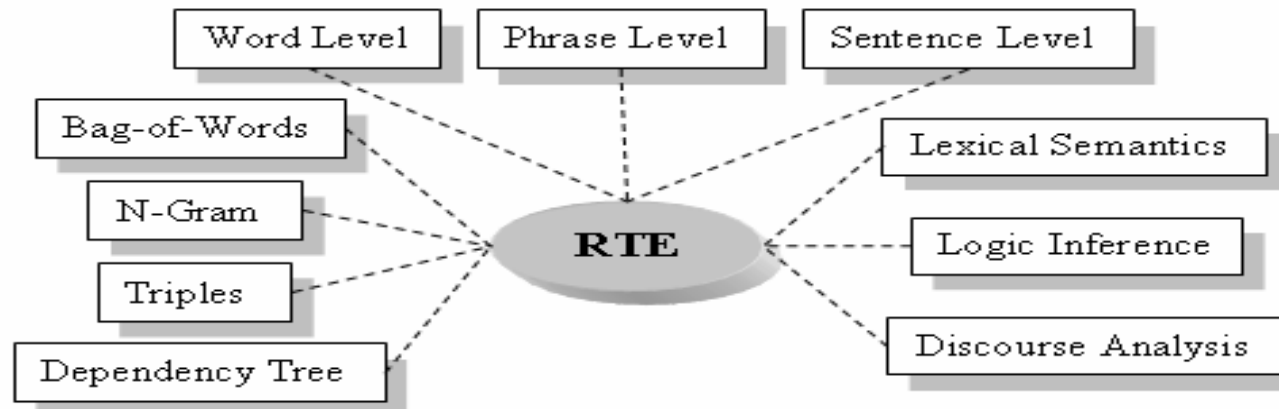
☆ Semantic under-specification

- Imprecise expressed semantic relationships
- Vagueness, ambiguity

☆ Error tolerant methods needed

- Noisy input data
- Noisy intermediate component output

## Different approaches consider/integrate features from different linguistics levels





☆ Subtree alignment on syntactic level

- Check similarity between tree of H and relevant subtree in T

☆ Tree compression (redundancy reduction)

- Reduce noise from input/parsing
- Yields compressed path-root-path sequences

☆ Subsequence kernel

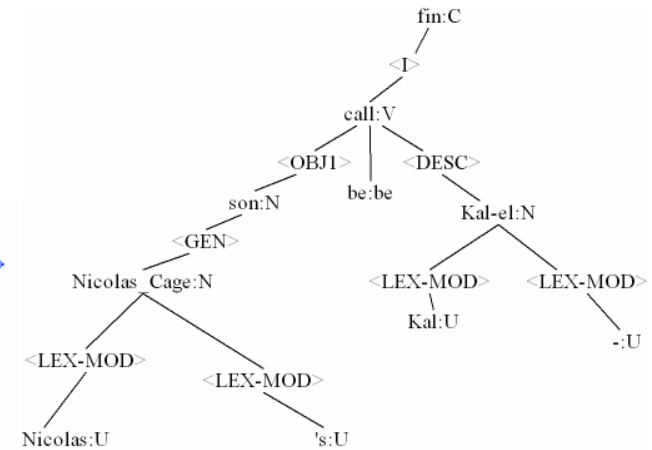
- Consider all possible subsequence of spine (path) difference pairs
- SVM for classification



☆ A sentence is represented as a set of triples of general form <head relation modifier>

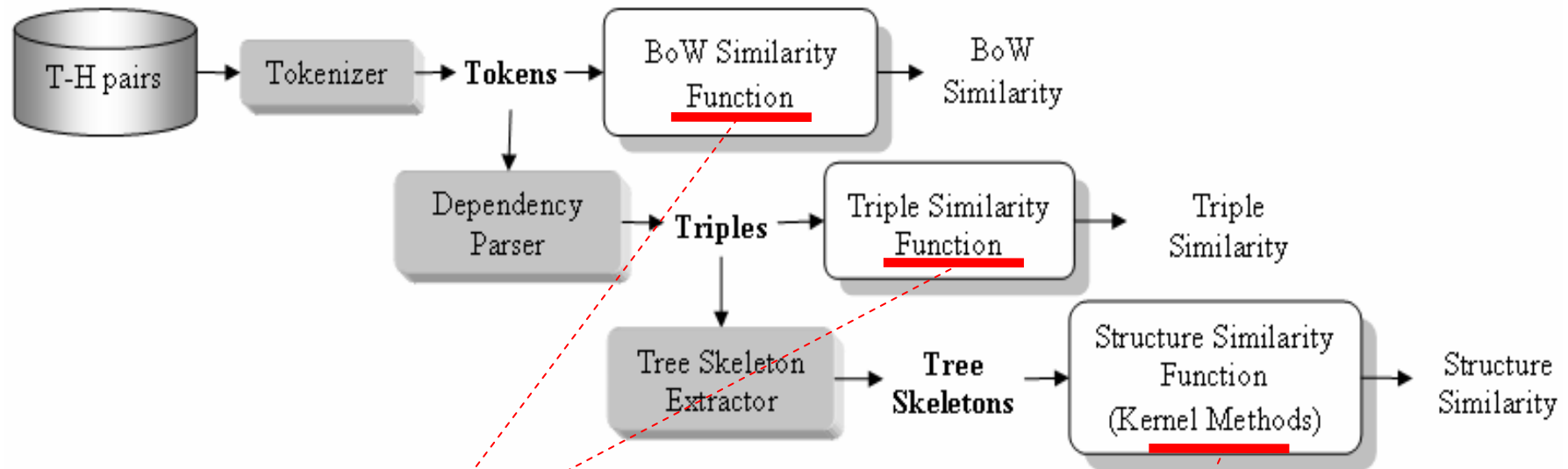
– Ex: Nicolas Cage’s son is called Kal’el

```
<triple left="E0" right="6">fin:C i call:V</triple>
<triple left="2" right="1">Nicolas_Cage:N lex-mod Nicolas:U</triple>
<triple left="2" right="3">Nicolas_Cage:N poss 's:U</triple>
<triple left="4" right="2">son:N gen Nicolas_Cage:N</triple>
<triple left="6" right="4">call:V s son:N</triple>
.....
```



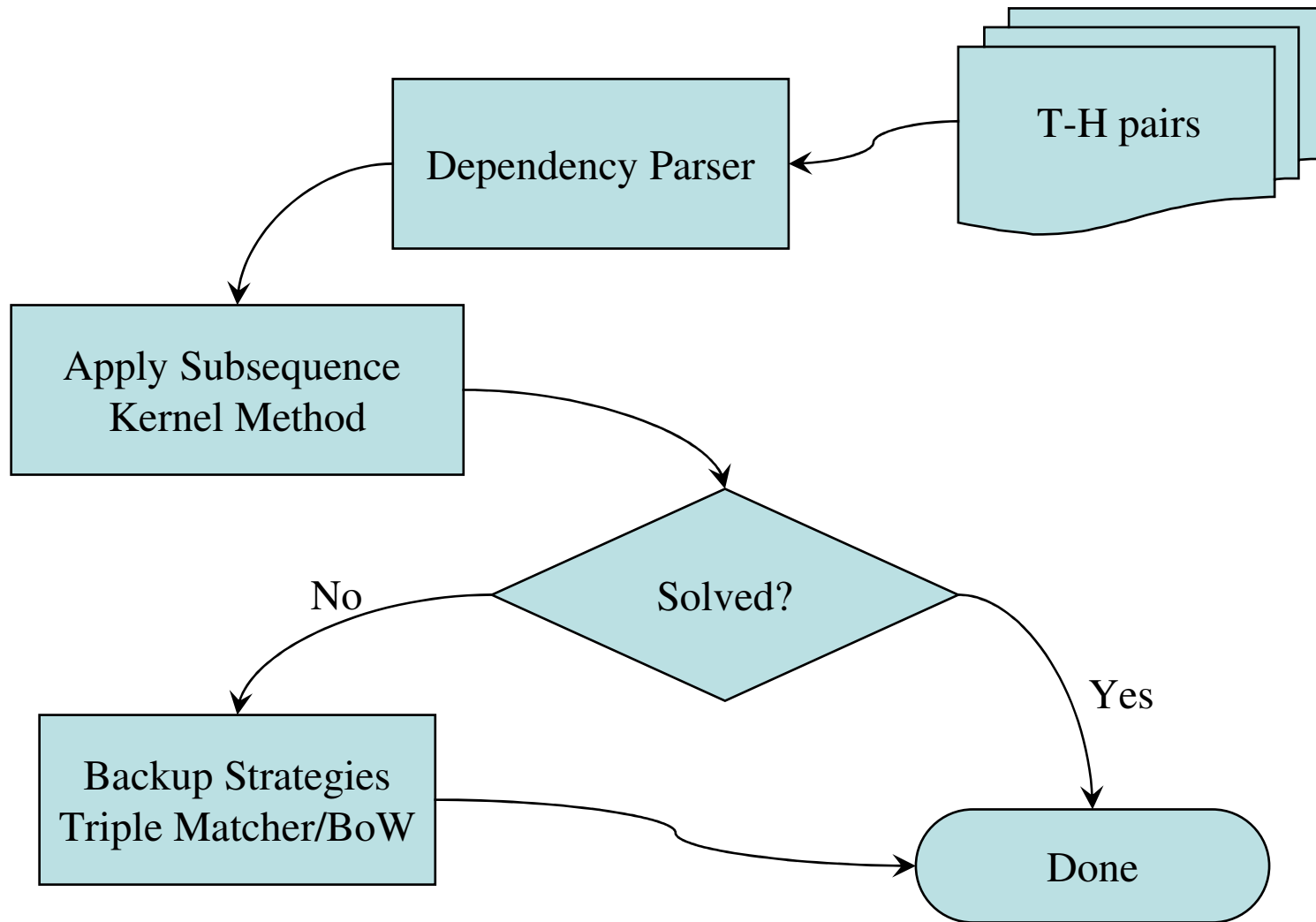
☆ Dependency Structure

- A DAG where nodes represent words and edges represent directed grammatical functions
- We consider this as a “shallow semantic representation”
- We use Minipar (Lin, 1998) and StanfordParser (Klein and Manning, 2003) as current parsing engines



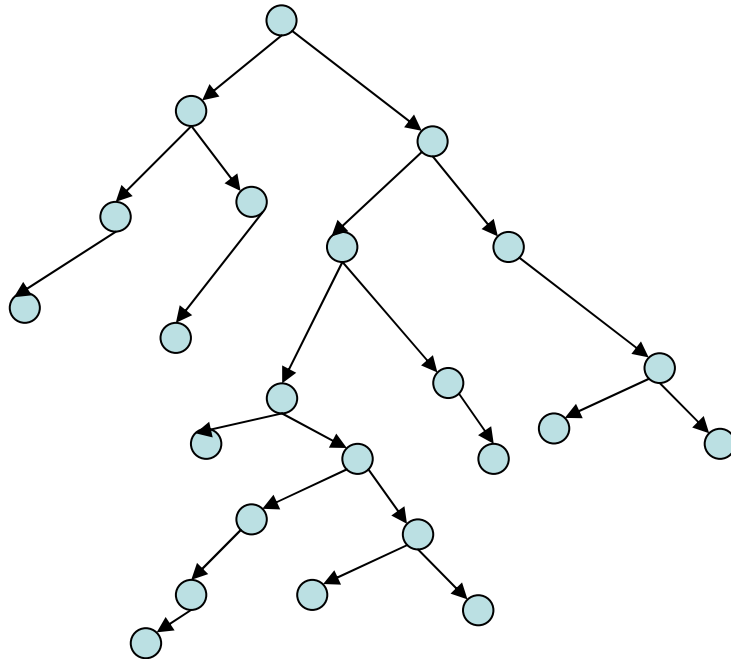
Backup Strategies

The Main Method

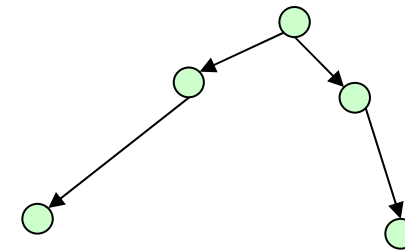




Dependency Tree for T



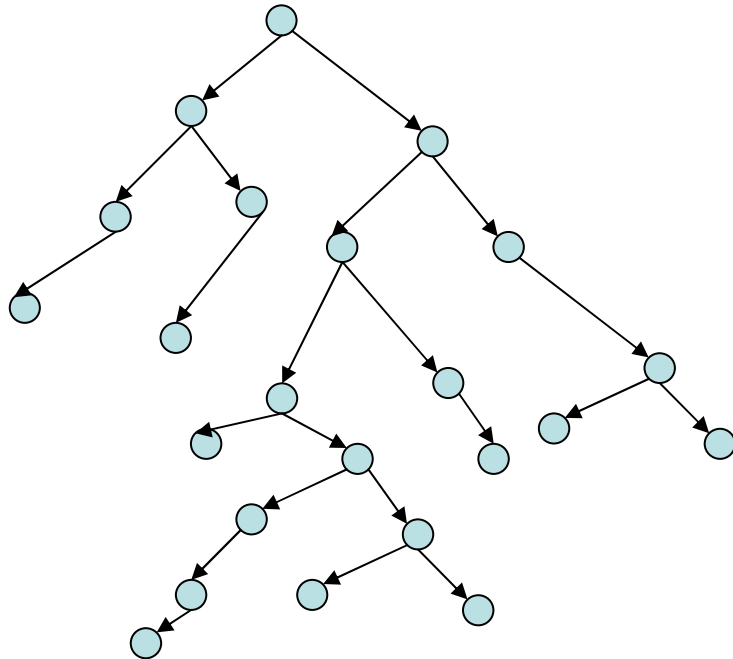
Dependency Tree for H



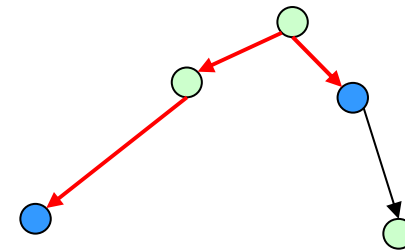




Dependency Tree for T

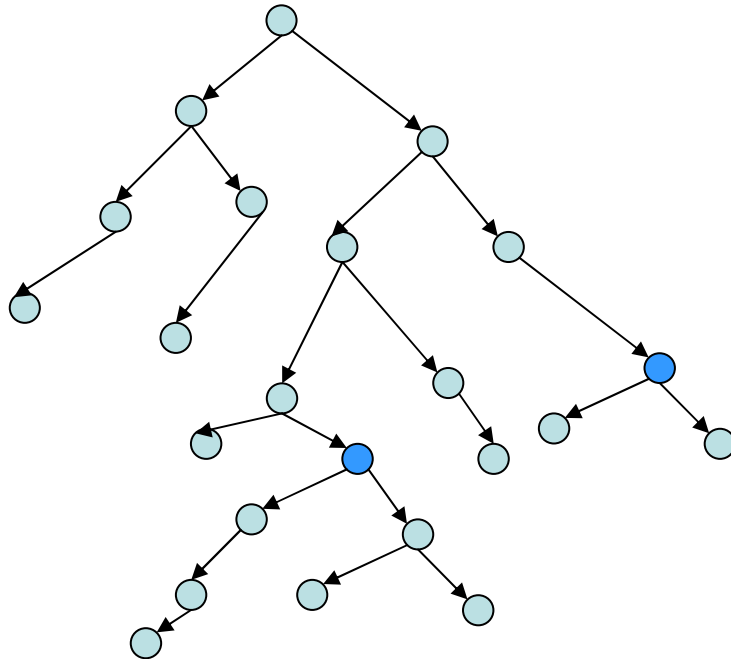


Dependency Tree for H

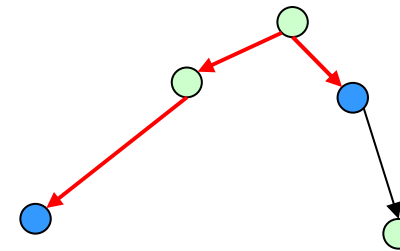




Dependency Tree for T



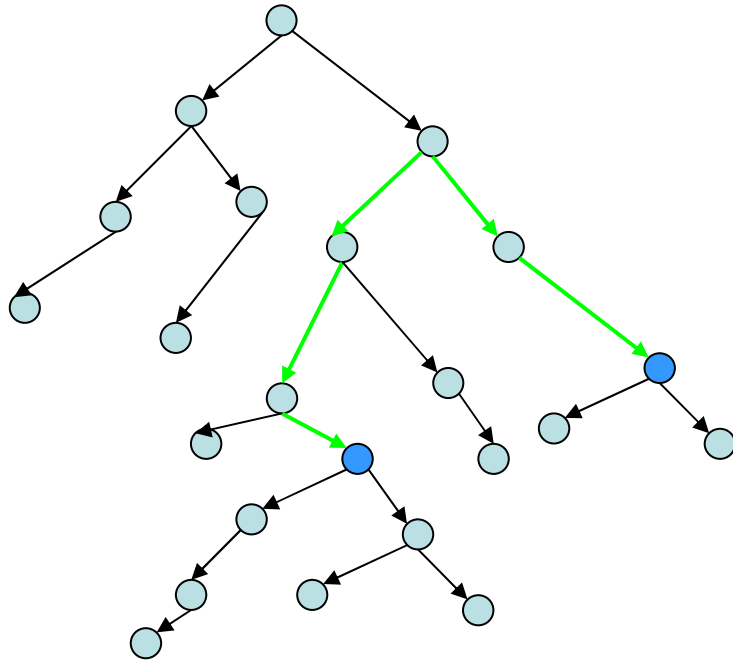
Dependency Tree for H



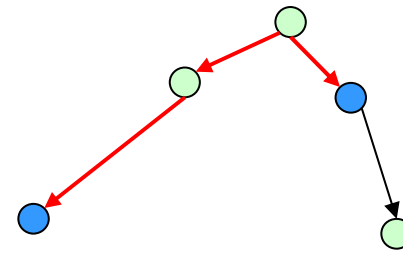
# LT-Lab Basic idea, step 4: Root node identification in T



Dependency Tree for T

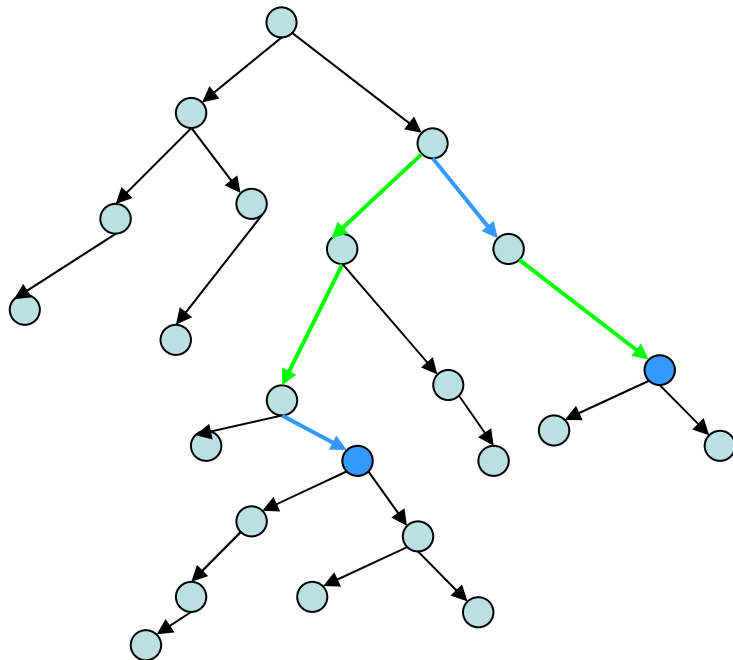


Dependency Tree for H

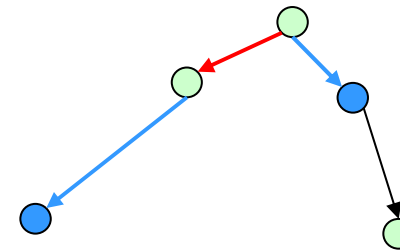




Dependency Tree for T

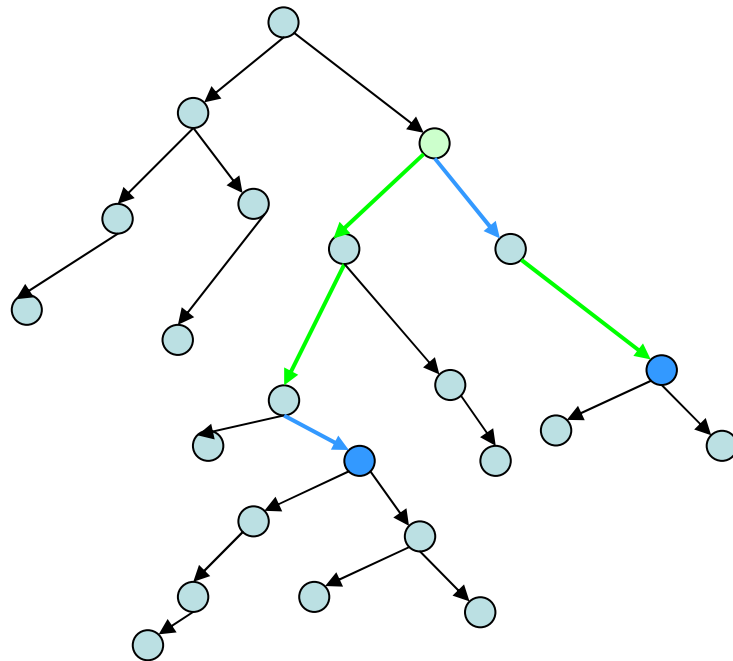


Dependency Tree for H

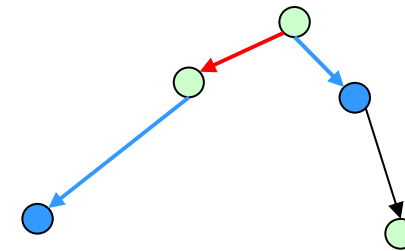




Dependency Tree for T

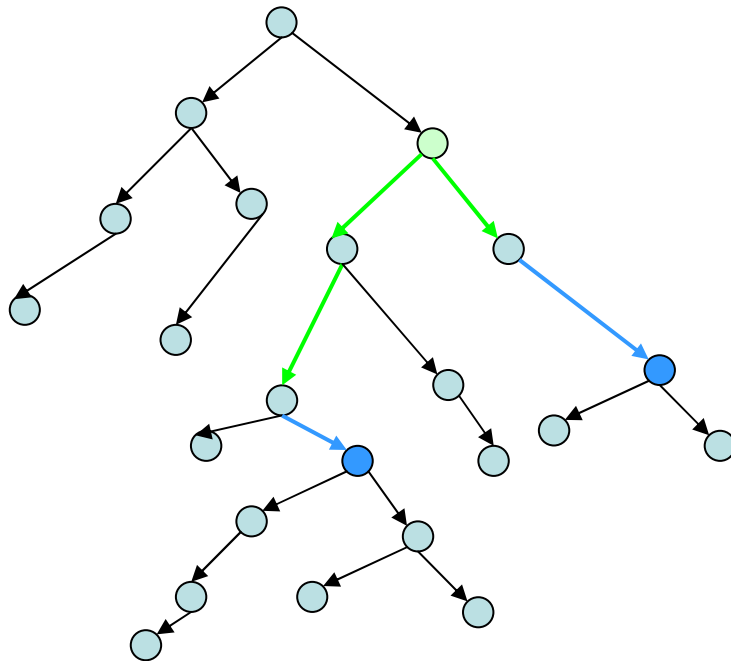


Dependency Tree for H

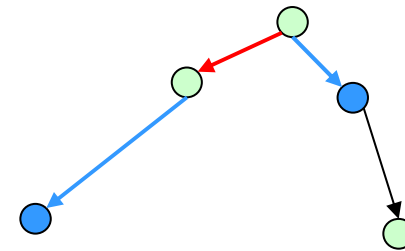




Dependency Tree for T



Dependency Tree for H



Elementary Predicate	<u>Left spine diff.</u>	<u>Right spine diff.</u>	<u>Verb cons.</u>
<u>T</u> :			1
<u>H</u> :		$\epsilon$	





☆ Pair: id="61" entailment=**YES** task=**IE** source=**RTE**

– Text:

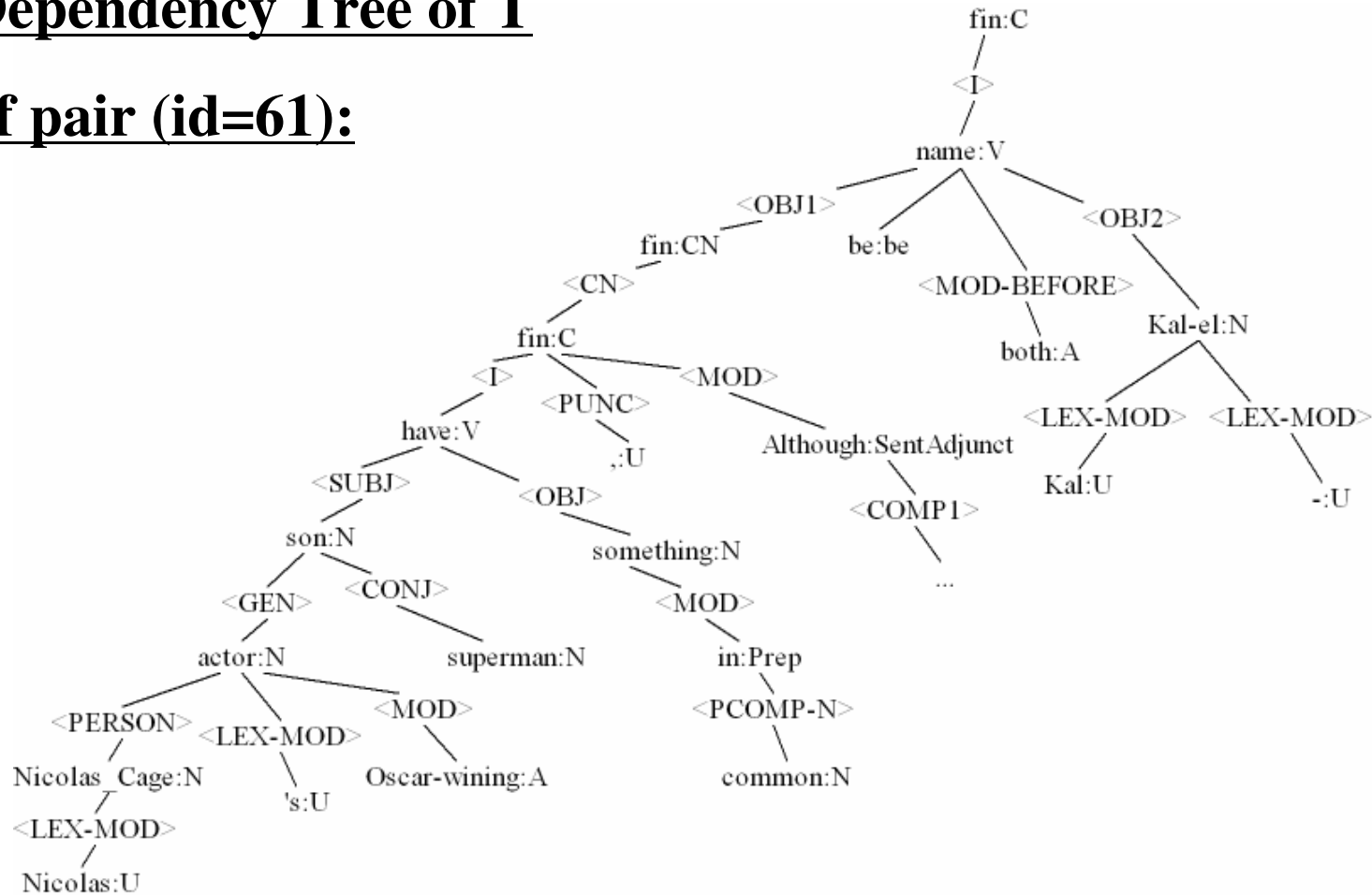
*Although they were born on different planets, Oscar-winning actor **Nicolas Cage**'s new **son** and Superman have something in common, both were named **Kal-el**.*

– Hypothesis:

***Nicolas Cage's son is called Kal-el.***



# Dependency Tree of T of pair (id=61):

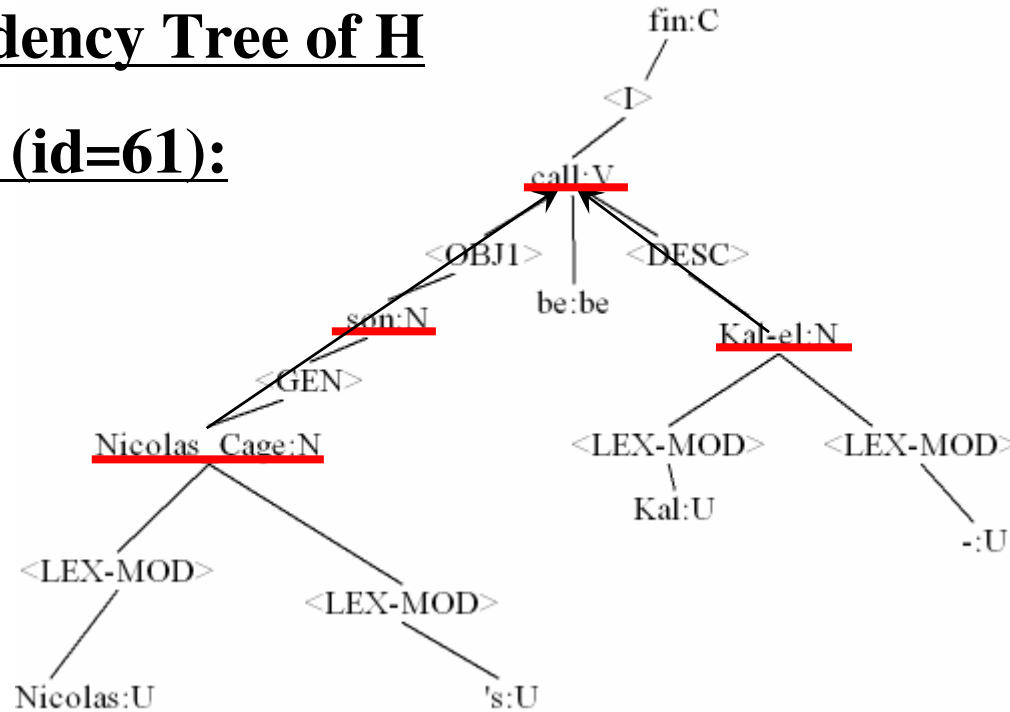






## Dependency Tree of H

of pair (id=61):

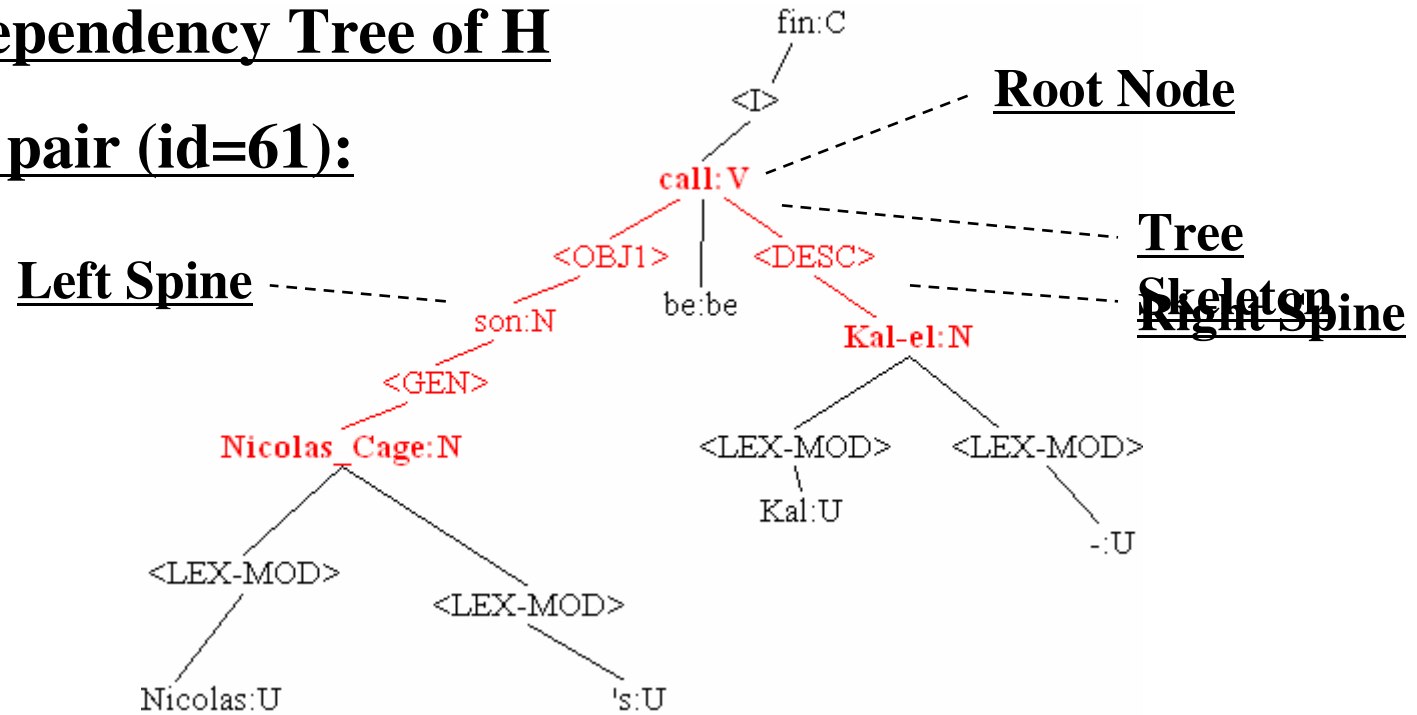


- **Observations**  
*Nicolas Cage's son is called Kal-el.*  
H is simpler than T

- **H can help us to identify the relevant parts in T**



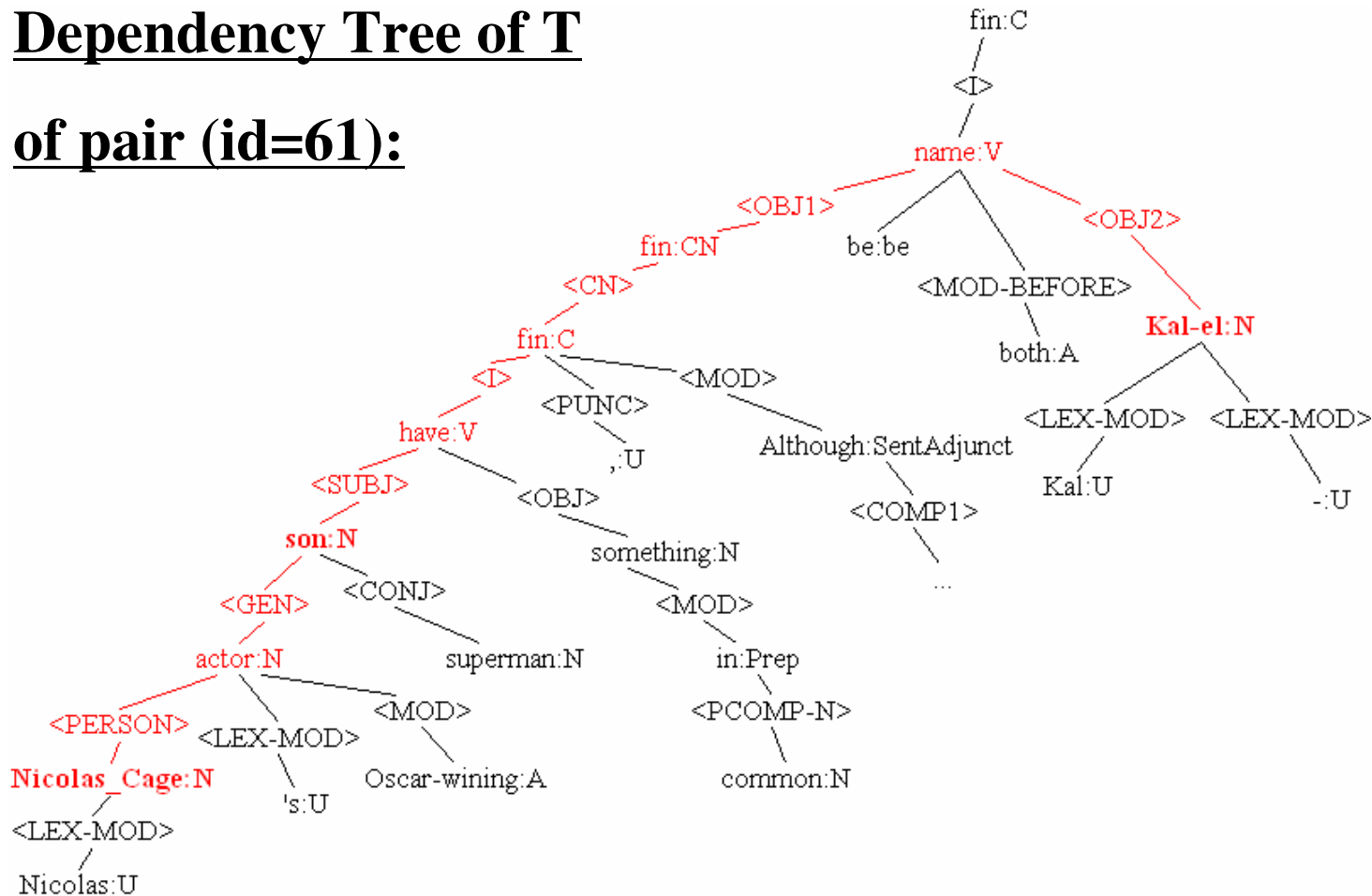
**Dependency Tree of H**  
**of pair (id=61):**



*Nicolas Cage's son is called Kal-el.*



# Dependency Tree of T of pair (id=61):





☆ Left Spine #Root Node# Right Spine

– Text

Nicolas\_Cage:N <PERSON> actor:N <GEN> son:N <SUBJ> have:V <I> fin:C <CN> fin:CN <OBJ1>

#Name:V#

<OBJ2> Kal-el:N



Nicolas\_Cage:N & N <GEN> son:N <SUBJ> V <I> C <CN> CN <OBJ1> #Name:V# <OBJ2> Kal-el:N



Nicolas\_Cage:N <GEN> son:N <SUBJ> V <SUBJ> #name:V# <OBJ> Kal-el:N

– Hypothesis

Nicolas\_Cage:N <GEN> son:N <SUBJ> #call:V# <OBJ> Kal-el:N





☆ Merging

- Left Spines: exclude Longest Common Prefixes
- Right Spines: exclude Longest Common Suffixes

☆ RootNode Comparison

- Verb Consistence (VC)
- Verb Relation Consistence (VRC)

**Left Spine Difference**  
**(LSD)**

Nicolas\_Cage:N <GEN> son:N <SUBJ> V <SUBJ> #name:V# <OBJ> Kal-el:N

Nicolas\_Cage:N <GEN> son:N <SUBJ> #call:V# <OBJ> Kal-el:N





☆ Pattern Format

- $\langle \text{LSD}, \text{RSD}, \text{VC}, \text{VRC} \rangle \rightarrow \text{Predication}$
- Example:  $\langle \text{"SUBJ V"}, \text{""}, 1, 1 \rangle \rightarrow \text{YES}$

☆ Closed-Class Symbol (CCS)

Types	Symbols
Dependency Relation Tags	<i>SUBJ, OBJ, GEN, ...</i>
POS Tags	<i>N, V, Prep, ...</i>

- LSD and RSD are either *NULL* or CCS sequences



☆ Pair: id="247" entailment="YES" task="IE" source="BinRel"

– Text:

*Author Jim Moore was invited to argue his viewpoint that Oswald , acting alone , killed Kennedy.*

– Hypothesis:

*Oswald killed Kennedy.*



Text

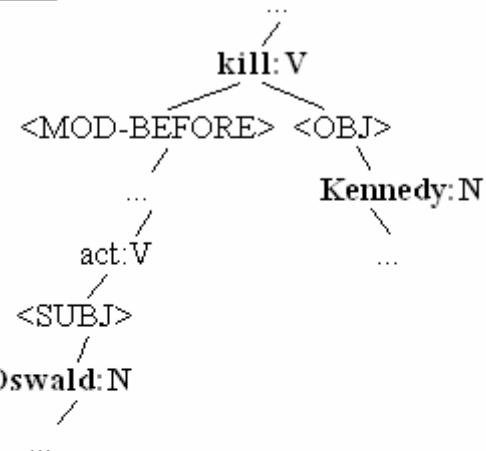
.....  
 <triple left="17" right="E0">kill:V mod-before vpsc:C</triple>  
 <triple left="17" right="16">kill:V punc ,:U</triple>  
 <triple left="17" right="E8">kill:V subj Oswald:N</triple>  
 <triple left="17" right="18">kill:V obj Kennedy:N</triple>  
 .....

Hypothesis

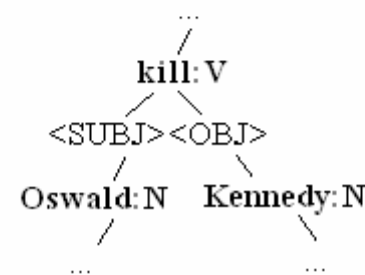
.....  
 <triple left="E0" right="2">fin:C i kill:V</triple>  
 <triple left="2" right="1">kill:V s Oswald:N</triple>  
 <triple left="2" right="E2">kill:V subj Oswald:N</triple>  
 .....

Oswald:N <SUBJ> V <SUBJ> #kill:V# <OBJ> Kennedy:N  
 Oswald:N <SUBJ> #kill:V# <OBJ> Kennedy:N

Text



Hypothesis



<"SUBJ V", "", 1, 1> → YES





### ☆ Entailment methods:

- Bag-of-Words (BoW)
- Triple Set Matcher (TSM)
- Minipar + Sequence Kernel + Backup Strategies (Mi+SK+BS)
- StanfordParser + Sequence Kernel + Backup Strategies (SP+SK+BS)

### ☆ Classifier:

- SVM (SMO) classifier from the WEKA ML toolkit



☆ **From RTE challenges:**

- RTE-2 Dev Set (800 **T-H** pairs) + Test Set (800 **T-H** pairs)
- RTE-3 Dev Set (800 **T-H** pairs) + Test Set (800 **T-H** pairs)

☆ **Additional data for IE and QA tasks:**

- Automatically collected from MUC6, BinRel (*Roth and Yih, 2004*), TREC-2003
- Manually classified into yes/no concerning entailment relation



Systems\Tasks	IE	IR	QA	SUM	ALL
Exp A1: 10-Fold Cross-Validation on Dev+Test Set					
BoW	<b>50%*</b>	58.8%	58.8%	74%	<b>60.4%</b>
TSM	<b>50.8%</b>	57%	62%	70.8%	<b>60.2%</b>
Mi+SK+BS	<b>61.2%</b>	58.8%	63.8%	74%	<b>64.5%</b>
Exp A2: Train: Dev Set (50%); Test: Test Set (50%)					
BoW	<b>50%</b>	56%	60%	66.5%	<b>58.1%</b>
TSM	<b>50%</b>	53%	64.5%	65%	<b>58.1%</b>
Mi+SK+BS	<b>62%</b>	61.5%	64.5%	66.5%	<b>63.6%</b>

\* The accuracy is actually 47.6%. Since random guess will achieve 50%, we take this for comparison.



Systems\Tasks	IE	IR	QA	SUM	All
Exp B1: 10-fold Cross Validation on RTE-3 Dev Data					
BoW	54.5%	70%	76.5%	<b>68.5%</b>	67.4%
TSM	53.5%	60%	68%	62.5%	61.0%
Mi+SK+BS	<b>63%</b>	74%	79%	68.5%	<b>71.1%</b>
SP+SK+BS	<b>60.5%</b>	70%	<b>81.5%</b>	68.5%	<b>70.1%</b>
Exp B2: Train: Dev Data; Test: Test Data					
Mi+SP+SK+BS	58.5%	70.5%	79.5%	59%	<b>66.9%*</b>

\* The 5<sup>th</sup> place of RTE-3 among 26 teams



Systems	Acc. %	Lx*	Ng	Sy	Se	LI	C	ML	B
Hickl et al.	80,00	X	X	X	X		X	X	X
Tatu et al.	72,25	X				X			X
Iftene	69,13	X		X					X
Adams	67,00	X	X				X	X	
DFKI	66,87			X				X	

\* Following the notation in (Giampiccolo et al., 2007):

Lx: Lexical Relation DB;

Ng: N-Gram / Subsequence overlap;

Sy: Syntactic Matching / Alignment;

Se: Semantic Role Labeling;

LI: Logical Inference;

C: Corpus/Web;

ML: ML Classification;

B: Entailment corpora/Background Knowledge;





### ☆ Puristic approach:

- We do not exploit any additional knowledge source beside the dependency trees nor have we extended the RTE training data

### ☆ Relational method:

- For the **IE** task, SK method gives highest improvements
- Kernel method seem to be more appropriate if the underlying task reveals a more “relational nature”

### ☆ Fallback strategies:

- The “shallow” methods realized through BoW and TSM seem to work better for IR and SUM.



☆ **IE:** MUC6, BinRel Corpus

- T: relevant sentence(s)
- H: NE + Relation + NE

**Dole had hoped to pull out a win in North Carolina, the home state of his wife, Elizabeth.**



**Elizabeth is born in North Carolina.**

☆ **QA:** TREC2003 QA

- T: (ir)relevant sentence(s)
- H: question + answer

**Vice-President Albert Gore described the book "critically important" and compared it with "Silent Spring," Rachel Carson's 1962 book that set off a movement to ban DDT and other pesticides.**



**What book did Rachel Carson write in 1962?  
Silent Spring**



**Only SK method on Extra data (460 out of 750)**

Methods\ tasks	IE (MUC,BinRel)	QA (TREC2003)	Overall
BoW	62.9%	61.4%	62.3%
TSM	64.9%	62.3%	63.8%
SK	<b>76.3%</b>	<b>65.7%</b>	<b>74.5%</b>

**Only SK method on RTE-2 data**

Exps\Tasks	IE	IR	QA	SUM	ALL
ExpA1: coverage	<b>63.3%</b>	18.3%	<b>36.3%</b>	16.3%	<b>536</b>
ExpA1: acc. of matches	64%	67.1%	66.2%	73.9%	<b>66.2%</b>
ExpA2: coverage	<b>63.5%</b>	23.5%	<b>44%</b>	17%	<b>296</b>
ExpA2: acc. of matches	66.9%	70.2%	58.0%	64.7%	<b>64.5%</b>





### ☆ Coverage:

- For IE and QA pairs, SK+BS reveals a better coverage, more than a half
- For IR and SUM pairs, although it achieves good accuracies, the number of covered cases is low

### ☆ Task-based strategy selection:

- IE and QA: SK+TSM
- IR: SK+BoW
- SUM: BoW



### ☆ RTE core method

- Increase coverage of SK method
  - Integrate IE technology, especially NE recognition
  - Lexical semantics of function words
  - Extend to n-ary hypothesis texts
- Adapt to German language (e.g., rich morphology, noun compounds)

### ☆ Applications

- Entailment-based QA system on structured data (*QALL-ME*, project funded by European Commission)
- Unsupervised Relation extraction (*IDEX*, project funded by Investitionsbank Berlin)