

Graphs and Search

Bernd Kiefer
Jörg Steffen

January 13, 2023

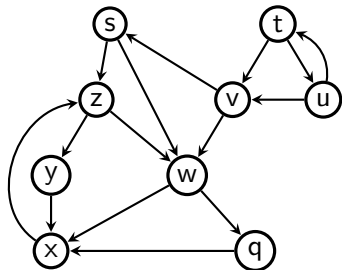
Graphs: Definition

- ▶ Graph \mathcal{G} : A set of vertices (nodes) \mathcal{V} and a set of edges \mathcal{E} , which is a relation on vertices, that is: $\mathcal{E} \subseteq \mathcal{V} \times \mathcal{V}$
- ▶ Example:
 - ▶ Vertices: students at the university
 - ▶ $(u, v) \in \mathcal{E} \Leftrightarrow$ student u knows student v
- ▶ Graphical representation:
 - ▶ vertices: blobs
 - ▶ edges: arrows (arcs) between the blobs
- ▶ If \mathcal{E} is symmetric, i.e., if $(u, v) \in \mathcal{E} \Leftrightarrow (v, u) \in \mathcal{E}$ the graph is called **undirected** (plain arcs, not arrows)
- ▶ Example: \mathcal{E} is the set of students that are akin

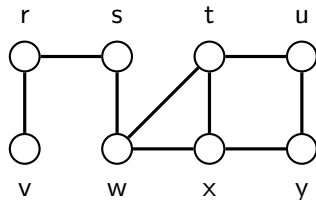
Graphs: Definitions II

- ▶ Vertex u is **reachable** from vertex v ($u \rightarrow v$) iff there is a sequence of edges $(u, w_1), (w_1, w_2), \dots, (w_n, v)$ in \mathcal{E}
- ▶ A graph is **cyclic** (contains a cycle) if there is $u \in \mathcal{V}$ s.th. $u \rightarrow u$ over a nontrivial sequence of edges in \mathcal{E} , including a self loop

directed graph



undirected graph



Implementation Basics

- ▶ Represent the vertices as numbers from zero to $|\mathcal{V}| - 1$
- ▶ Matrix representation: represent \mathcal{E} as a quadratic boolean matrix A of size $|\mathcal{V}|$; $A[i, j]$ is true iff $(i, j) \in \mathcal{E}$
- + Good for dense graphs, where $|\mathcal{E}| \approx |\mathcal{V}|^2$: only one bit per edge
- + Fast: are two vertices directly connected?
- Initialization is quadratic in $|\mathcal{V}|$
- Visiting all outgoing edges of a vertex takes $|\mathcal{V}|$ steps, no matter how many there really are
- Additional information attached to the edges (e.g., weights) has to be stored separately

Adjacency List Representation

- ▶ For every vertex, store a list of outgoing edges, i.e., the vertex number that is reached
- ▶ Graph is represented by an array of list heads
- ▶ In Java: `ArrayList` of `Lists`.
- + Compact representation for most graphs, except if they are very dense
- + Allows more efficient implementations of many graph algorithms
- + Additional edge information can be stored in the elements of the edge lists directly
- ▶ In the exercises, a `Map<Integer, List<Edge>>` is used for simplicity

Search in Graphs

- ▶ Task: visit all reachable vertices, starting at vertex s
- ▶ Iteratively use all the outgoing edges of s , and all the nodes that can be reached through these edges
- ▶ Make sure that no node gets explored twice
- ▶ Basic idea: maintain two sets
 - ▶ \mathcal{U} the *visited* nodes
 - ▶ \mathcal{A} the *active* nodes, i.e., still unexplored outedges
- ▶ In textbooks, vertices are often assigned colors during the search:
 - ▶ White: not in \mathcal{U} and not in \mathcal{A}
 - ▶ Grey: in \mathcal{U} and in \mathcal{A} (under consideration)
 - ▶ Black: in \mathcal{U} , but not in \mathcal{A} anymore (finished)

Generic Search Algorithm

Initialization: both sets contain only the start vertex s

```
 $\mathcal{U} = \mathcal{A} = \{s\}$            //  $s$  gets grey
while  $\mathcal{A} \neq \emptyset$  do
    for some node  $n \in \mathcal{A}$  do
        if there is an unused edge  $e = (n, m)$  leaving  $n$  then
            if  $m \notin \mathcal{U}$  then
                 $\mathcal{U} = \mathcal{U} \cup \{m\}; \mathcal{A} = \mathcal{A} \cup \{m\}$            //  $m$  gets grey
            else
                 $\mathcal{A} = \mathcal{A} - \{n\}$            //  $n$  gets black
```

Questions:

- ▶ How to implement sets \mathcal{U} and \mathcal{A} ?
- ▶ Does the result depend on the implementation?

Implementation of \mathcal{U}

- ▶ What is the best data structure for \mathcal{U} ?
- ▶ What are the operations on \mathcal{U} ?

Implementation of \mathcal{U}

- ▶ What is the best data structure for \mathcal{U} ?
- ▶ What are the operations on \mathcal{U} ?
 1. Add a node m
 2. Is node n contained in the set?

Implementation of \mathcal{U}

- ▶ What is the best data structure for \mathcal{U} ?
- ▶ What are the operations on \mathcal{U} ?
 1. Add a node m
 2. Is node n contained in the set?
- ▶ \mathcal{U} should be implemented as a bit vector over the nodes
- ▶ Two alternatives:
 - ▶ boolean member variable of the node data structure
 - ▶ A so-called **property vector** (or **property map**) attached to the vertices

Property Vectors

Advantages and drawbacks of property vectors

- ▶ More flexible:
 - ▶ Create all and only those you need for an algorithm
 - ▶ In a graph framework, one can not put all the data into the vertices
 - ▶ May contain any type, small or bigger datastructures
 - ▶ Only use memory when they are needed
- ▶ Require an efficient indexing between vertices and values: maintain a numeric index in the vertices
- ▶ Member variables are always faster

Property vectors can also be used for graph edges

Implementation of \mathcal{A}

The choice of the data structure for \mathcal{A} and the decisions about n and e determine the order in which vertices are visited

- ▶ Operations on set \mathcal{A} :

Implementation of \mathcal{A}

The choice of the data structure for \mathcal{A} and the decisions about n and e determine the order in which vertices are visited

- ▶ Operations on set \mathcal{A} :
 - ▶ Add a vertex
 - ▶ Get and remove some vertex (nondeterministic)
 - ▶ Test if set is empty

Implementation of \mathcal{A}

The choice of the data structure for \mathcal{A} and the decisions about n and e determine the order in which vertices are visited

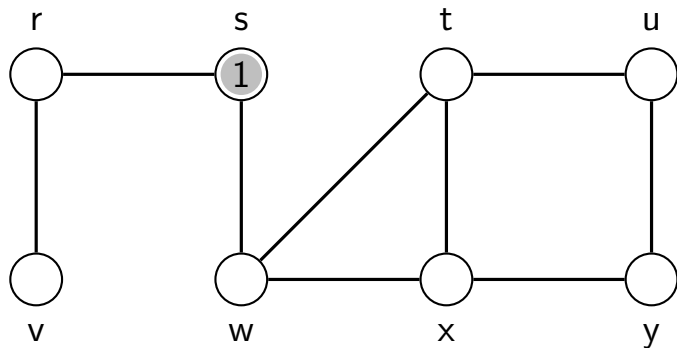
- ▶ Operations on set \mathcal{A} :
 - ▶ Add a vertex
 - ▶ Get and remove some vertex (nondeterministic)
 - ▶ Test if set is empty
- ▶ Implement \mathcal{A} as a **queue** and keep n until it gets black: Breadth First Search (BFS)
- ▶ Implement \mathcal{A} as a **stack** and always take its top element: Depth First Search (DFS)
- ▶ DFS is often implemented as a recursive function, the function call stack takes the role of \mathcal{A}

BFS Implementation

```
for all  $v \in \mathcal{V}$  do  
     $d(v) = 0$   
 $time = 1$            // the time when a vertex is touched  
for all  $v \in \mathcal{V}$  with  $d(v) == 0$  do           //  $v$  is the start node  
     $d(v) = time$ ;  $\mathcal{A}.push\_back(v)$            //  $v$  gets grey  
    while  $\neg \mathcal{A}.empty()$  do  
         $n = \mathcal{A}.pop\_front()$   
         $time = d(n) + 1$   
        for all  $e = (n, m)$  do  
            if  $d(m) == 0$  then           //  $m \notin \mathcal{U}$  ?  
                 $d(m) = time$ ;  $\mathcal{A}.push\_back(m)$            //  $m$  gets grey  
            //  $n$  gets black
```

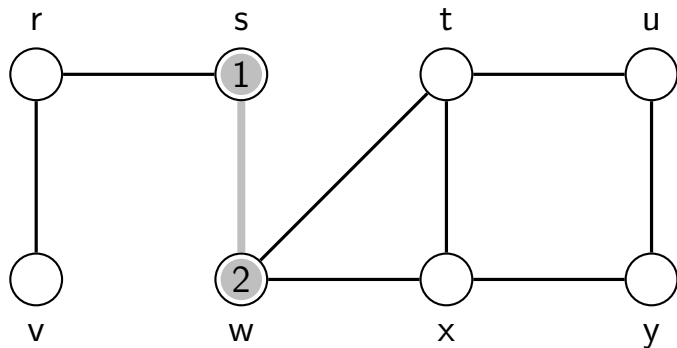
- ▶ Finally, all vertices of \mathcal{G} have been visited
- ▶ The $d(v)$ is abused to serve as the \mathcal{U} bitvector

Breadth First Search



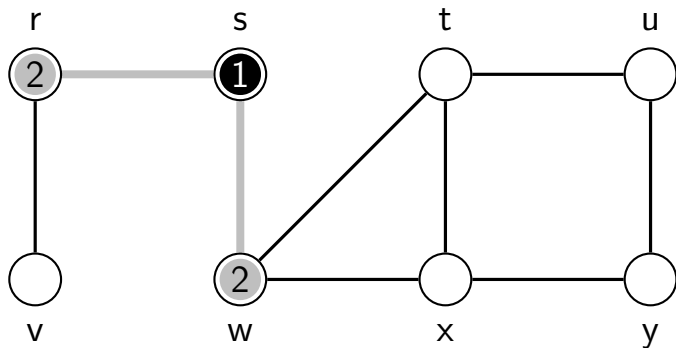
$A \rightarrow$

Breadth First Search



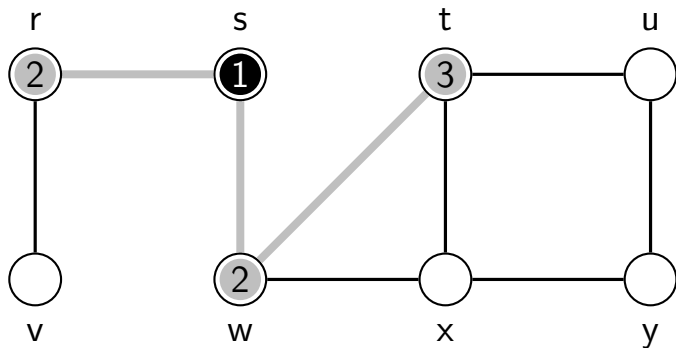
$A \rightarrow \boxed{w}$

Breadth First Search



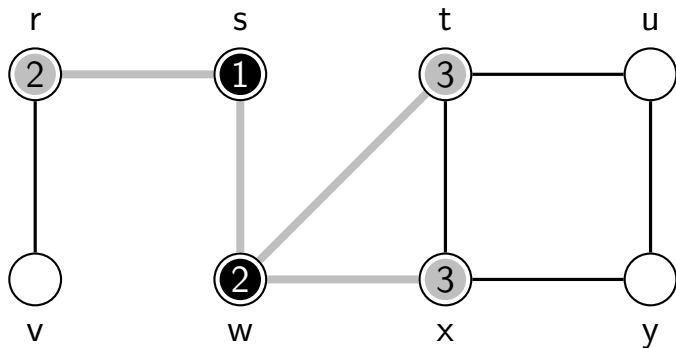
$A \rightarrow$ w r

Breadth First Search



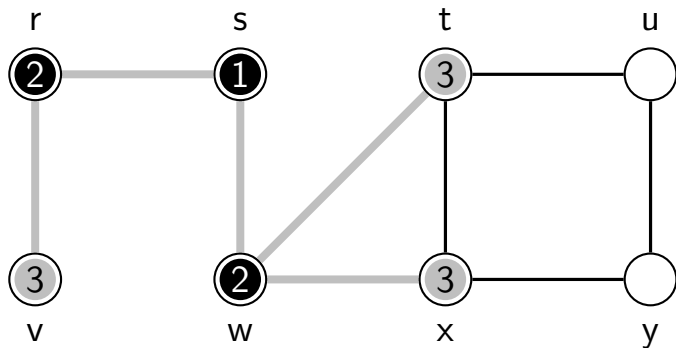
$A \rightarrow$ r t

Breadth First Search



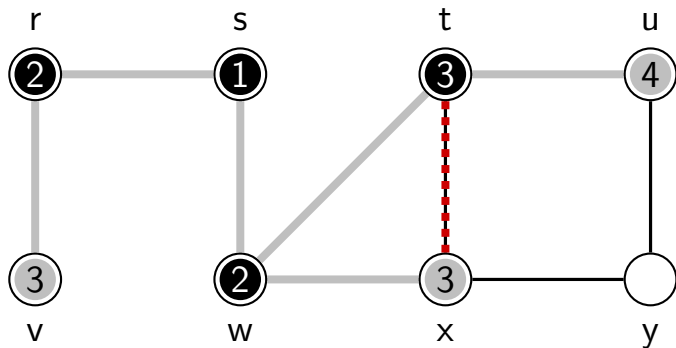
$A \rightarrow$ r t x

Breadth First Search



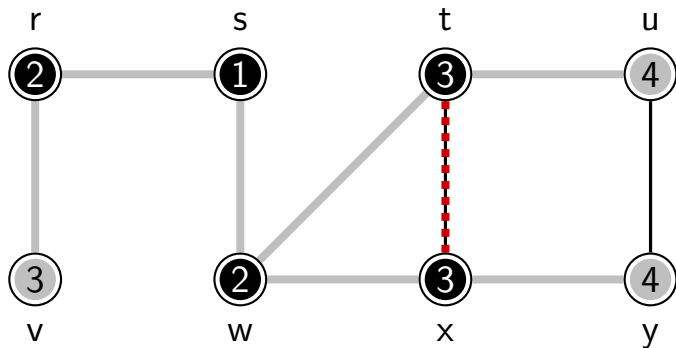
$A \rightarrow$ t x v

Breadth First Search



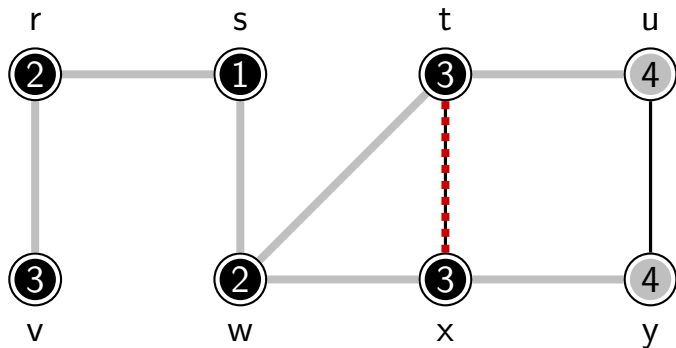
$A \rightarrow$ x v u

Breadth First Search



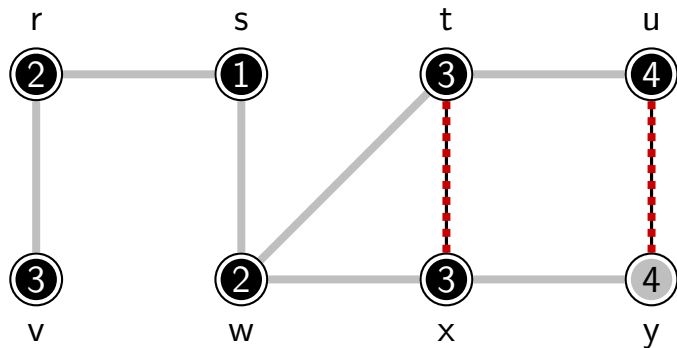
$A \rightarrow$ v u y

Breadth First Search



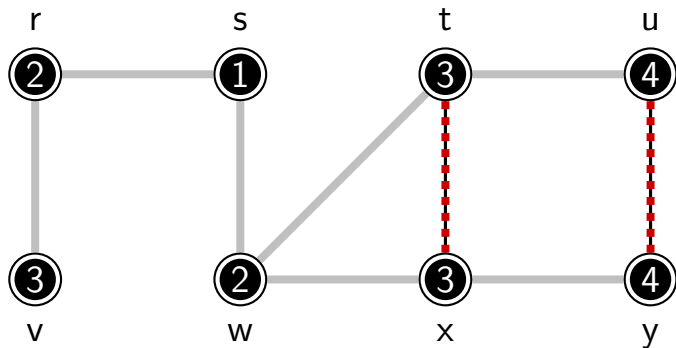
$\mathcal{A} \rightarrow$ u y

Breadth First Search



$A \rightarrow$ y

Breadth First Search



$A \rightarrow$

Properties of BFS

- ▶ Run-time complexity of BFS?

Properties of BFS

- ▶ Run-time complexity of BFS?
- ▶ All operations on $d(v)$ and \mathcal{A} need $\mathcal{O}(1)$ time
- ▶ The outer loop is traversed $|\mathcal{V}|$ times
- ▶ The inner loop touches all edges, so at least $|\mathcal{E}|$ times

Properties of BFS

- ▶ Run-time complexity of BFS?
- ▶ All operations on $d(v)$ and \mathcal{A} need $\mathcal{O}(1)$ time
- ▶ The outer loop is traversed $|\mathcal{V}|$ times
- ▶ The inner loop touches all edges, so at least $|\mathcal{E}|$ times
→ overall complexity is $\mathcal{O}(\mathcal{V} + \mathcal{E})$

Properties of BFS

- ▶ Run-time complexity of BFS?
- ▶ All operations on $d(v)$ and \mathcal{A} need $\mathcal{O}(1)$ time
- ▶ The outer loop is traversed $|\mathcal{V}|$ times
- ▶ The inner loop touches all edges, so at least $|\mathcal{E}|$ times
→ overall complexity is $\mathcal{O}(\mathcal{V} + \mathcal{E})$
- ▶ Grey edges mark first discoveries of neighbor nodes
- ▶ They obviously form a tree
- ▶ Do you have an interpretation for $d(v)$?

Properties of BFS

- ▶ Run-time complexity of BFS?
- ▶ All operations on $d(v)$ and \mathcal{A} need $\mathcal{O}(1)$ time
- ▶ The outer loop is traversed $|\mathcal{V}|$ times
- ▶ The inner loop touches all edges, so at least $|\mathcal{E}|$ times
→ overall complexity is $\mathcal{O}(\mathcal{V} + \mathcal{E})$
- ▶ Grey edges mark first discoveries of neighbor nodes
- ▶ They obviously form a tree
- ▶ Do you have an interpretation for $d(v)$?
- ▶ In fact, $d(v) - 1$ is the **minimal distance** from the startnode
- ▶ The (grey) tree edges are minimal length paths

DFS: Recursive Procedure

DFS(g):

for all $v \in \mathcal{V}$ **do**

$d(v) = 0$

$time = 1$ // the time when a vertex is touched

for all $v \in \mathcal{V}$ **do**

if $d(v) == 0$ **then**

DFS-Visit(v)

DFS-Visit(v):

$d(v) = time; time = time + 1$ // v gets grey

for all $e = (v, u)$ **do**

if $d(u) == 0$ **then**

DFS-Visit(u) // is u white? Then visit it

$f(v) = time; time = time + 1$ // v gets black

Edge Classification using DFS

We store two timestamps for each vertex v

- ▶ the **discovery time** $d(v)$, when v changes from white to grey
- ▶ the **finishing time** $f(v)$, when v changes from grey to black

Edge Classification using DFS

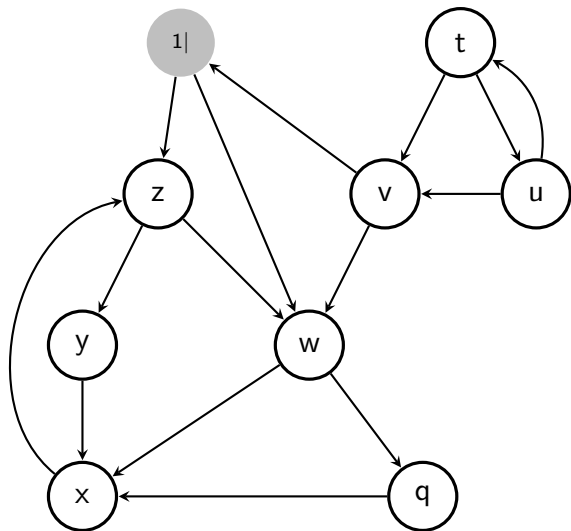
We store two timestamps for each vertex v

- ▶ the **discovery time** $d(v)$, when v changes from white to grey
- ▶ the **finishing time** $f(v)$, when v changes from grey to black

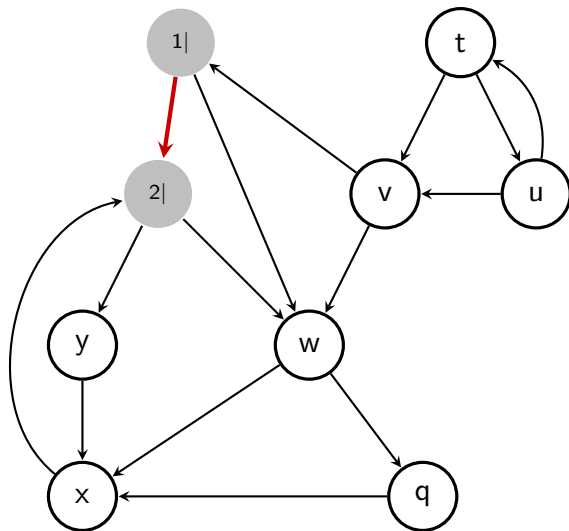
The edges of a directed graph can be classified into four categories, depending on the role they play in a run of depth first search.

- ▶ tree edges: the edges used in the recursion (ending on a white vertex)
- ▶ backward edges: edges ending in a grey vertex (including self loops)
- ▶ forward edges: edges (n, m) ending in a black vertex, and $d[n] < d[m]$
- ▶ cross edges: edges (n, m) ending in a black vertex, and $d[m] < d[n]$

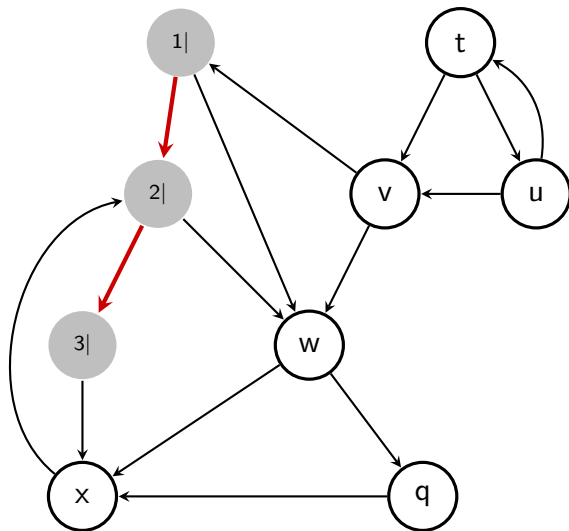
DFS example



DFS example

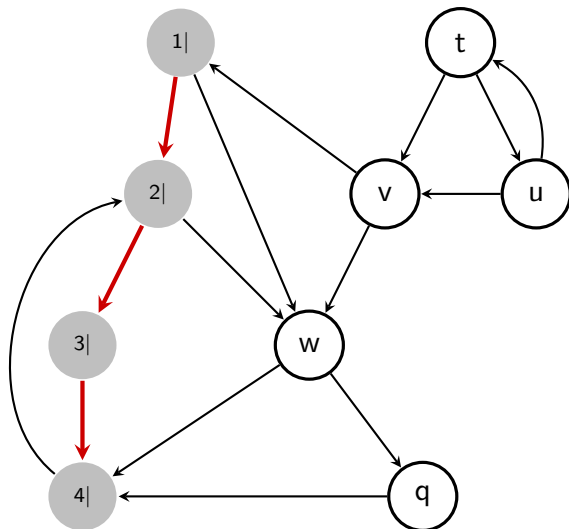


DFS example

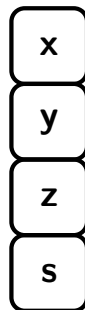
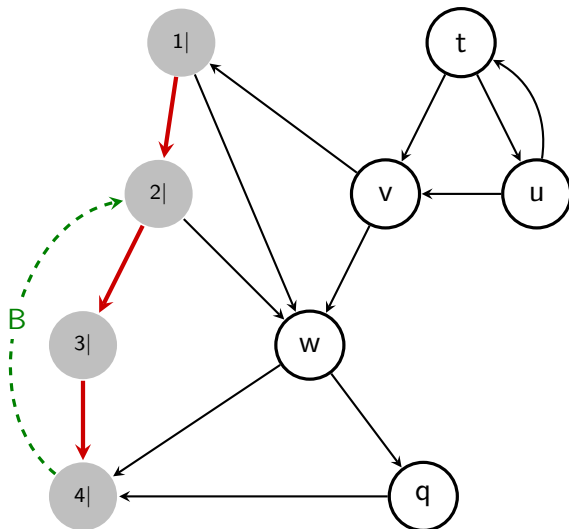


y
z
s

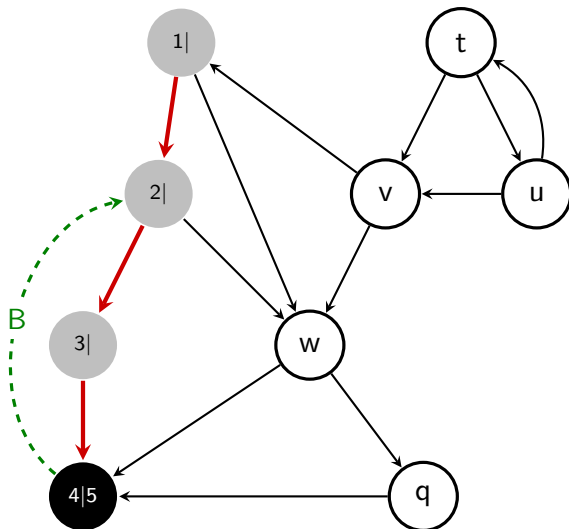
DFS example



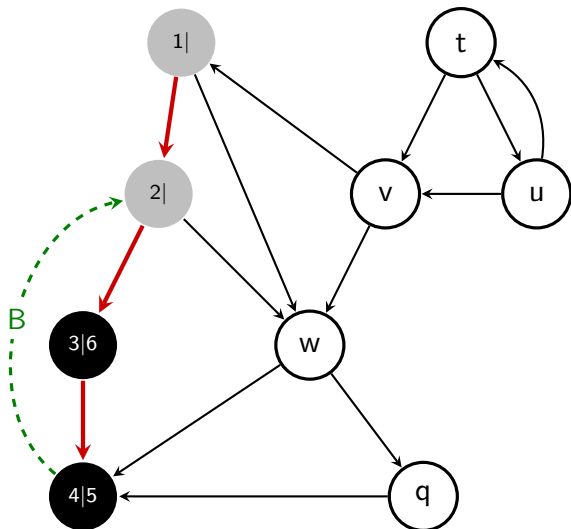
DFS example



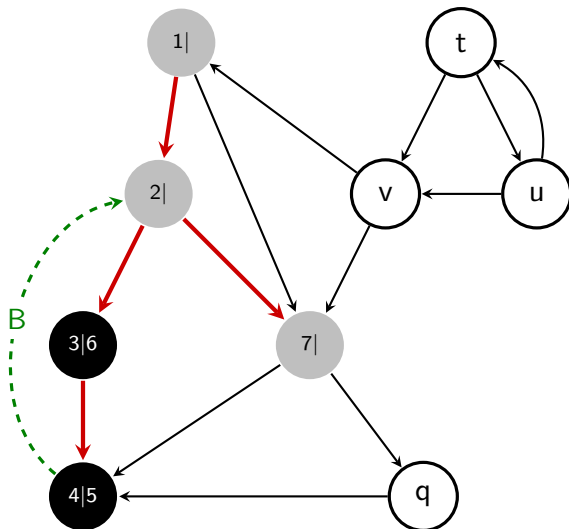
DFS example



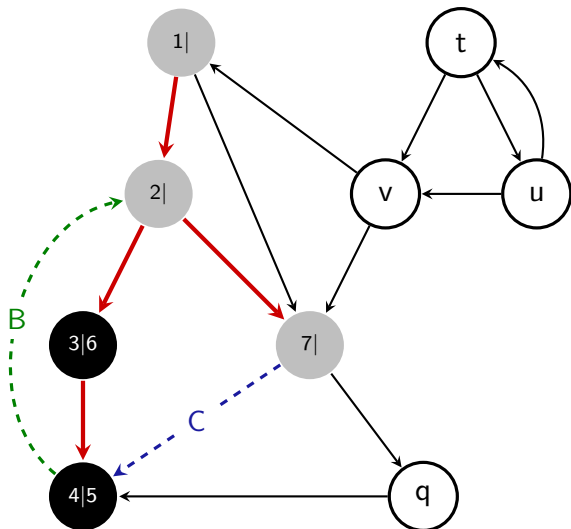
DFS example



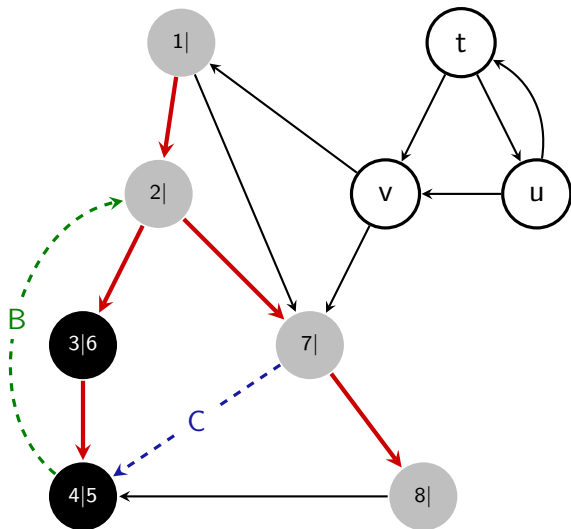
DFS example



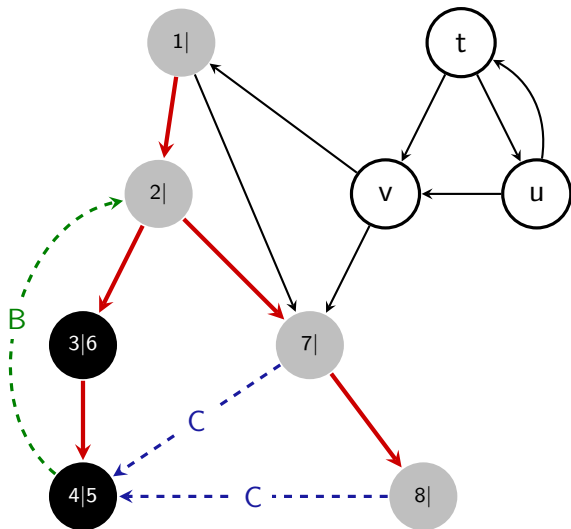
DFS example



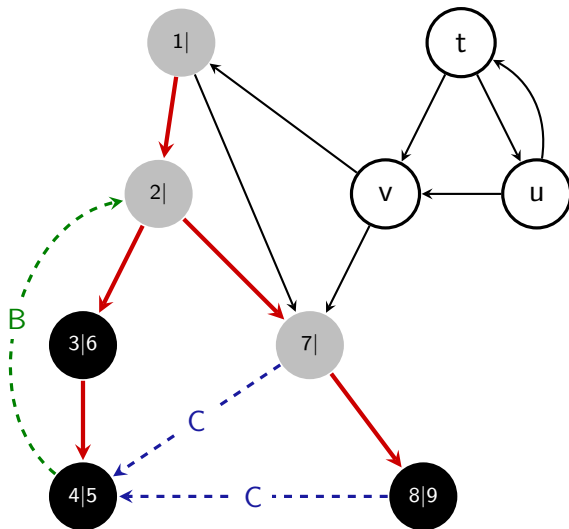
DFS example



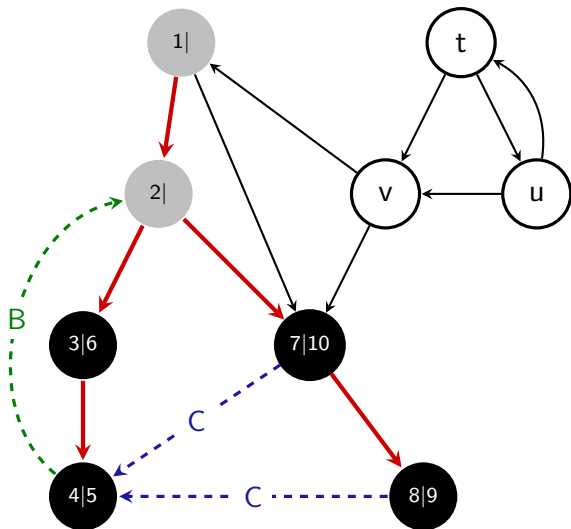
DFS example



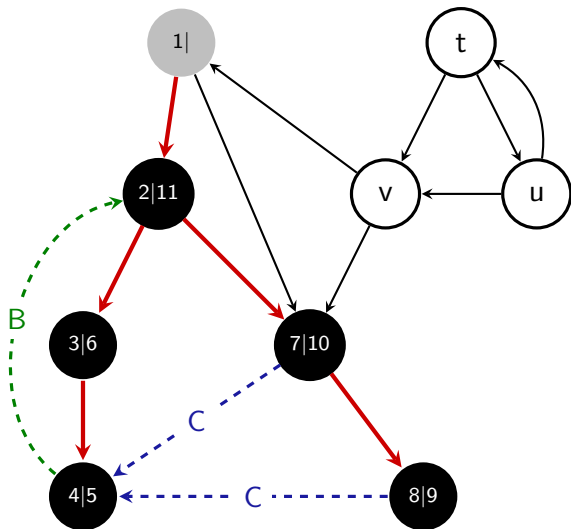
DFS example



DFS example

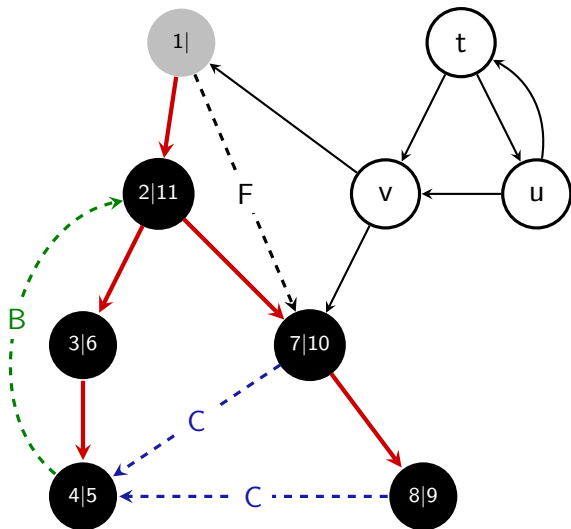


DFS example



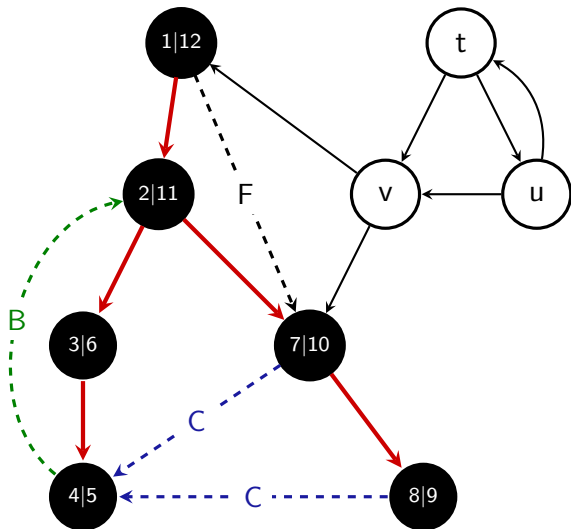
S

DFS example

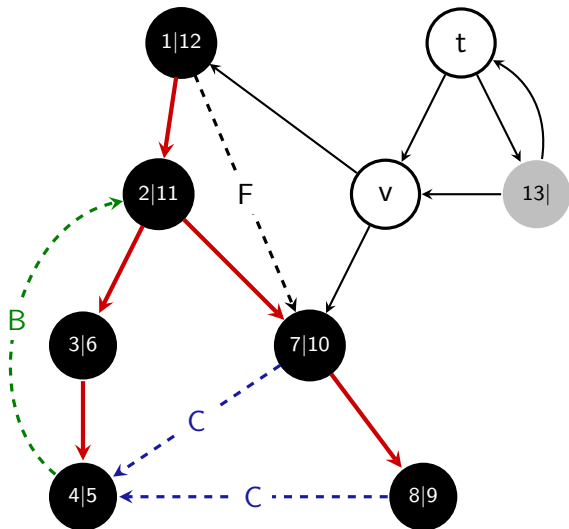


s

DFS example

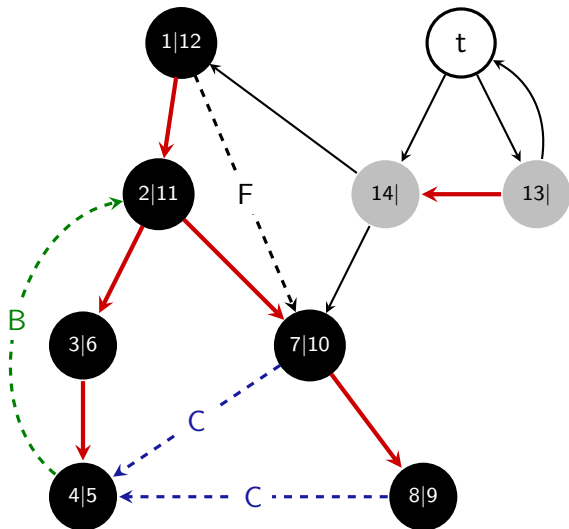


DFS example

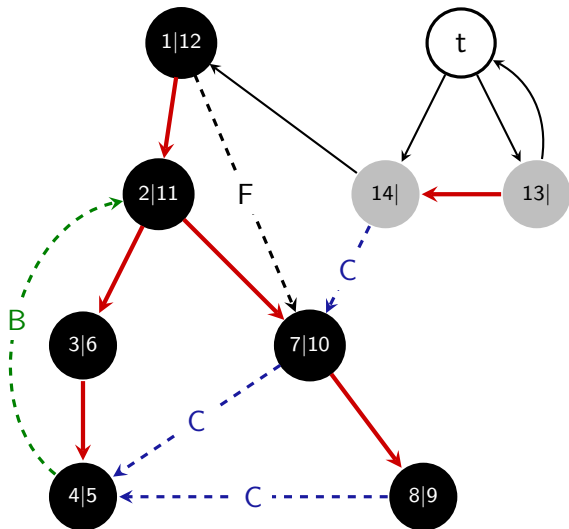


u

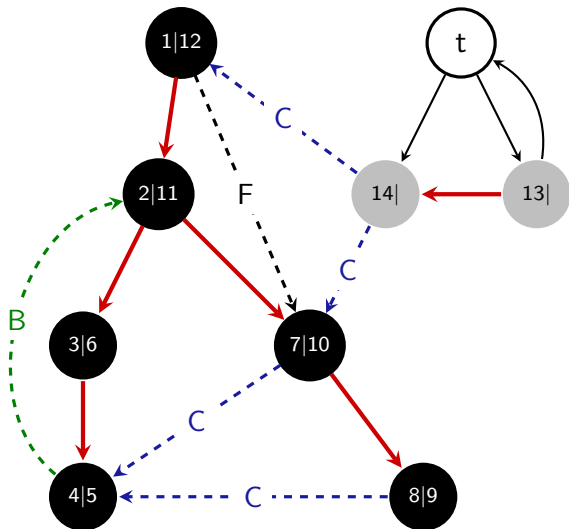
DFS example



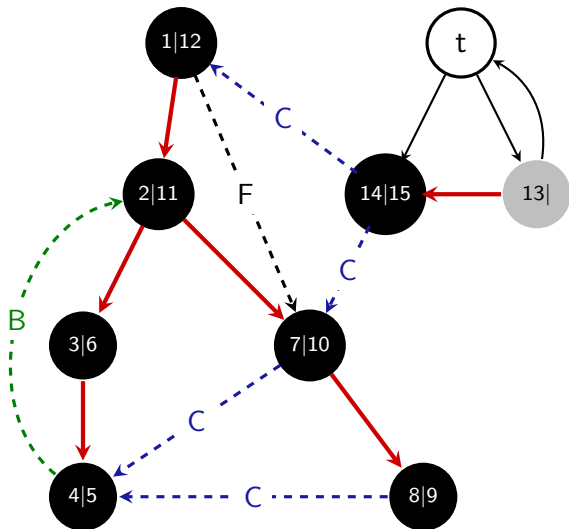
DFS example



DFS example

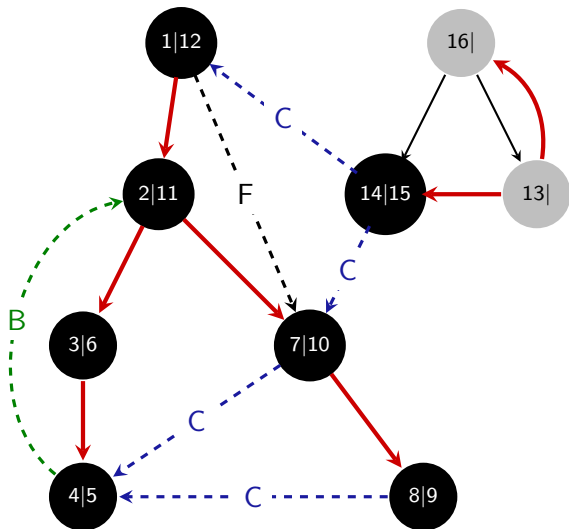


DFS example



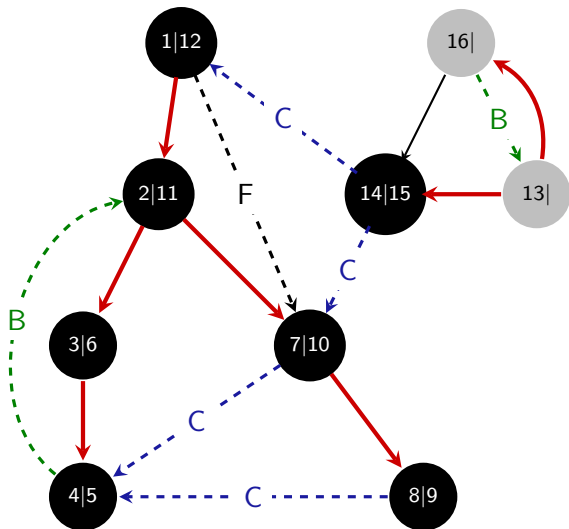
u

DFS example



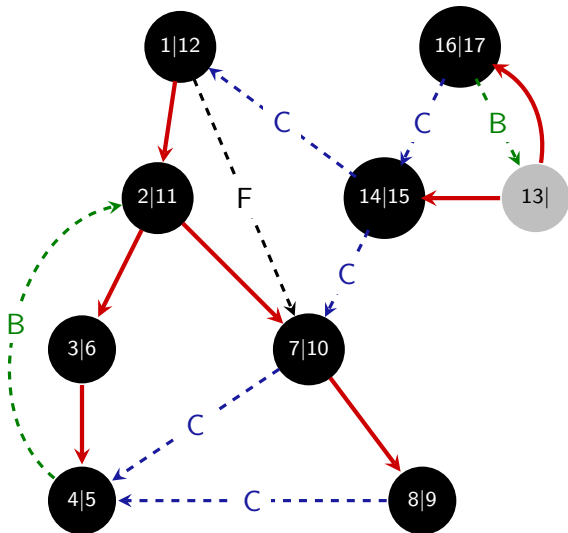
t
u

DFS example



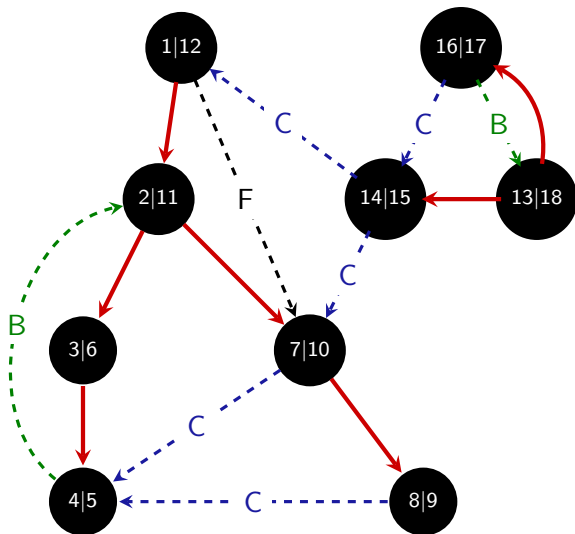
t
u

DFS example

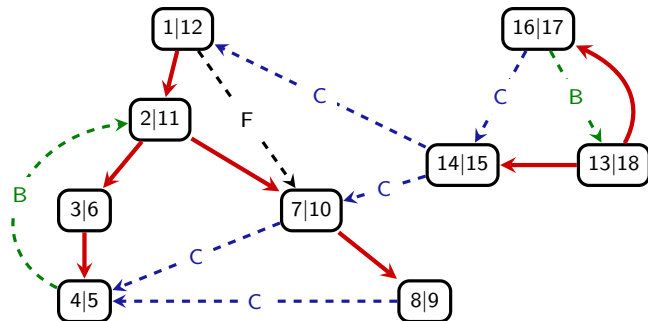


u

DFS example



Edge Classification Example



During DFS, edge (s, t) is

Tree: $f(t) = 0 \wedge d(s) < d(t)$ Back: $f(t) = 0 \wedge d(s) > d(t)$

Cross: $f(t) \neq 0 \wedge d(t) < d(s)$ Forward: $f(t) \neq 0 \wedge d(t) > d(s)$

After DFS: $f(t) \neq 0 \Rightarrow f(t) < f(s)$

Note: a directed graph is acyclic if there are no back edges.

DFS/BFS Visitors

- ▶ Recap: Visitor Pattern, a Behavioural Pattern
- ▶ Purpose: Add functionality to a class without changing it
- ▶ Implementation:
 - ▶ Methods of class A get a visitor object as argument
 - ▶ The visitor's interface methods are called at specific points of the computation and have an A object as argument (at least)
 - ▶ This allows different additional computations or side effects with one class method of class A
 - ▶ The functionality is parameterized by the different visitor objects and classes, so to speak
- ▶ Especially useful with traversal methods of complex structures (like DFS or BFS)
- ▶ The graph implements the traversal, the visitor the special functionality

DFS/BFS Visitors II

- ▶ Methods common for DFS/BFS visitor interface
 - ▶ `startNode(v,g)` : v is white in the outer loop
 - ▶ `discoverNode(v,g)` : v changes from white to gray
 - ▶ `finishNode(v,g)` : v changes from gray to black
 - ▶ `nonTreeEdge(e,g)` : visit edge with gray or black target node
- ▶ Methods specific to BFS visitor
 - ▶ `treeEdge(e,g)` : visit edge with white target node
- ▶ Methods specific to DFS visitor:
 - ▶ `treeEdgeBefore(e,g)` : before recursion into `target(e)`
 - ▶ `treeEdgeAfter(e,g)` : after recursion into `target(e)`

Topological Order

- ▶ Order the vertices such that if (n, m) is an edge, n comes before m
- ▶ Only exists for acyclic graphs
- ▶ Algorithm: sort vertices according to decreasing finishing times of DFS
- ▶ This can be easily implemented by a DFS visitor
- ▶ As each vertex is finished, add it to the front of a linked list
- ▶ The visitor contains this list as member variable
- ▶ After all vertices have been visited by DFS, the visitor holds the result
- ▶ Application example: A constraint graph that locally specifies which action must precede another

Topological Order Example

