

# Parsing of Context-Free Grammars

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  - ▶ We need a more powerful formal device: *context-free grammars*

# Limits of Regular Expressions

- ▶ Assignment: Write a regular expression for fully bracketed arithmetic expressions!
- ▶ Answer:
  - ▶ This is not possible!
  - ▶ Regular expressions can only count *finite* amounts of brackets
  - ▶ We need a more powerful formal device: *context-free grammars*
  - ▶ Context-free grammars provide a (finite) inventory of named brackets
  - ▶ All regular languages are also context-free, i.e.:  
for every regular expression, there is a context-free grammar that accepts / derives the same language

# Context-Free Grammars

A context-free grammar (CFG) consists of:

- ▶ The set of terminal symbols  $\Sigma = a, b, c, \dots$  (the words or letters of the language)
- ▶ The set of non-terminal symbols  $N = A, B, C, \dots$
- ▶ The startsymbol  $S \in N$
- ▶ The set of productions (rules)  $P$ , where  
 $P \ni r = A \rightarrow \alpha$  with  $\alpha \in (\Sigma \cup N)^*$   
(we use greek letters for strings of  $\Sigma \cup N$ )

Example: A grammar for arithmetic expressions:

$$\Sigma = \{ \text{int}, +, *, (, ) \} \quad , \quad N = \{ E \} \quad , \quad S = E$$

$$P = \{ E \rightarrow E+E, E \rightarrow E * E, E \rightarrow (E), E \rightarrow \text{int} \}$$

# The Language of a CFG

- ▶ Given a CFG  $G$ , the language  $\mathcal{L}(G)$  is defined as the set of all strings that can be *derived* from  $S$
- ▶ Given a string  $\alpha$  from  $(\Sigma \cup N)^*$ , derive a new string  $\beta$ :
  - ▶ Choose one of the nonterminals in  $\alpha$ , say,  $A$
  - ▶ Choose one of the productions with  $A$  on the left hand side
  - ▶ Replace  $A$  in  $\alpha$  with the right hand side (rhs) of the production to get the derived string  $\beta$
- ▶ If  $\alpha$  contains only symbols in  $\Sigma$ , then  $\alpha \in \mathcal{L}(G)$
- ▶ Example:  
 $\alpha = \text{int}*(E)$ ; choose  $E \rightarrow E + E$ ;  $\beta = \text{int}*(E + E)$

# Derivations: Formally

- ▶ A string  $\alpha$  derives a string  $\beta$ ,  $(\alpha \xRightarrow{G} \beta)$   $\alpha, \beta \in (\Sigma \cup N)^*$ , if:  
there are  $\gamma, \delta, \eta \in (\Sigma \cup N)^*$ ,  $A \in N$  such that  
 $\alpha = \gamma A \delta$  ,  $\beta = \gamma \eta \delta$  and  $A \rightarrow \eta \in P$
- ▶ We write  $\alpha \xRightarrow{G} \beta$  for a one-step derivation
- ▶  $\alpha \xRightarrow{*G} \beta$  is a many-step derivation:  $\alpha \xRightarrow{G} \alpha_0 \xRightarrow{G} \alpha_1 \dots \xRightarrow{G} \beta$
- ▶ Language  $\mathcal{L}(G)$  generated by  $G$ :  $\mathcal{L}(G) = \{s \in \Sigma^* \mid S \xRightarrow{*G} s\}$
- ▶ The task of a parser: find one (or all) derivation(s) of a string in  $\Sigma^*$ , given a CFG  $G$



## Derivations: Example

▶  $\Sigma = \{john, girl, car, saw, walks, in, the, a\}$

▶  $N = \{S, NP, VP, PP, D, N, V, P\}$

▶  $P = \left\{ \begin{array}{ll} S \rightarrow NP VP | N VP | N V | NP V & N \rightarrow john, girl, car \\ VP \rightarrow V NP | V N | VP PP & V \rightarrow saw, walks \\ NP \rightarrow D N | NP PP | N PP & P \rightarrow in \\ PP \rightarrow P NP | P N & D \rightarrow the, a \end{array} \right\}$

$S \xRightarrow{G}$

john saw the girl in a car

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$S \xRightarrow{G} N VP \xRightarrow{G} john VP \xRightarrow{G} john V NP \xRightarrow{G} john saw NP \xRightarrow{G}$   
 $john saw NP PP \xRightarrow{G}$

john saw the girl in a car

## Derivations: Example

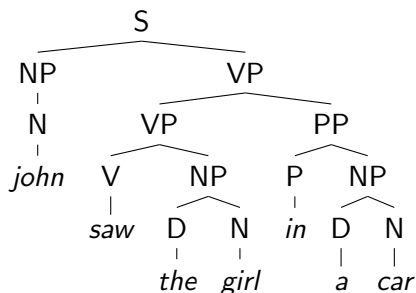
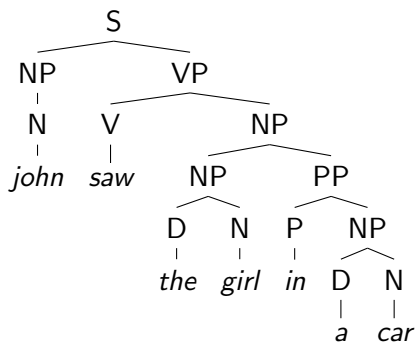
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$S \xRightarrow{G} N VP \xRightarrow{G} john VP \xRightarrow{G} john V NP \xRightarrow{G} john saw NP \xRightarrow{G}$   
 $john saw NP PP \xRightarrow{G} john saw D N PP \xRightarrow{G} john saw the N PP \xRightarrow{G}$   
 $john saw the girl PP \xRightarrow{G} john saw the girl P NP \xRightarrow{G}$   
 $john saw the girl in NP \xRightarrow{G} john saw the girl in D N \xRightarrow{G}$   
 $john saw the girl in a N \xRightarrow{G}$   
 $john saw the girl in a car$

# Derivation (Parse) Trees



- ▶ Encodes many possible derivations
- ▶ PP node in the example can be attached to two nodes: the grammar is ambiguous
- ▶ CF Parsers/Recognizers differ in the way the derivation trees are build



# Context-free Recognition

Task: given  $s \in \Sigma^*$  and  $G$ , is  $s \in \mathcal{L}(G)$  ?

Two ways to go:

- ▶ start with the start symbol  $S$  and try to derive  $s$  by systematic application of the productions:  
*top down recognition* (goal driven)
- ▶ start with the string  $s$  and try to reduce it to the start symbol:  
*bottom up recognition* (data driven)

# Recursive Descent Parsing

Idea: Recursively compute all expansions of a nonterminal at some input position

$S$

( int + ( int \* int ) )

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(  $E$  )



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$S$

(  $E$  )

$S$  \*  $S$

( int + ( int \* int ) )

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$S$

(  $E$  )

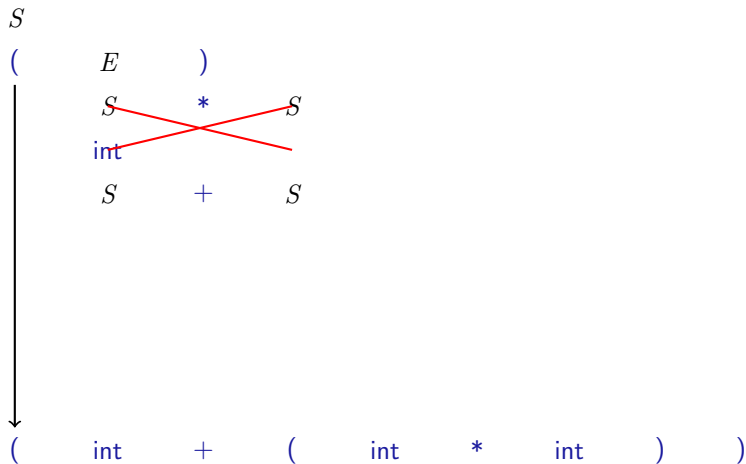
$S$  \*  $S$

int

( int + ( int \* int ) )

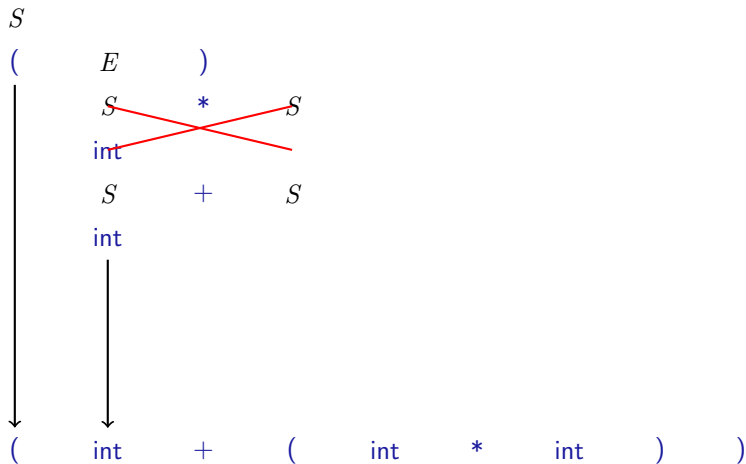
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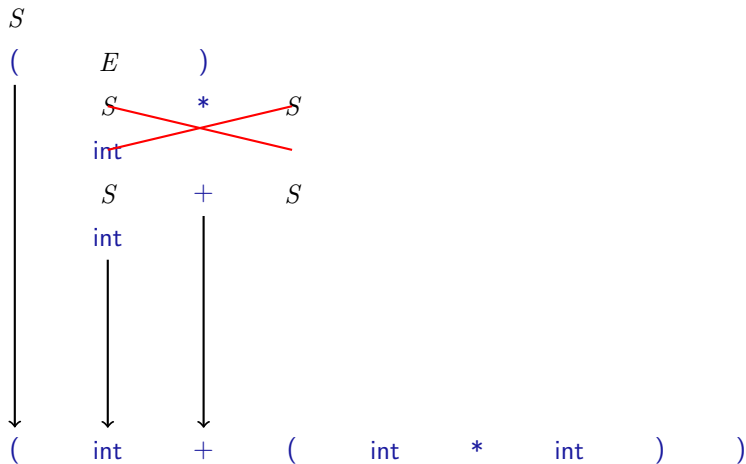
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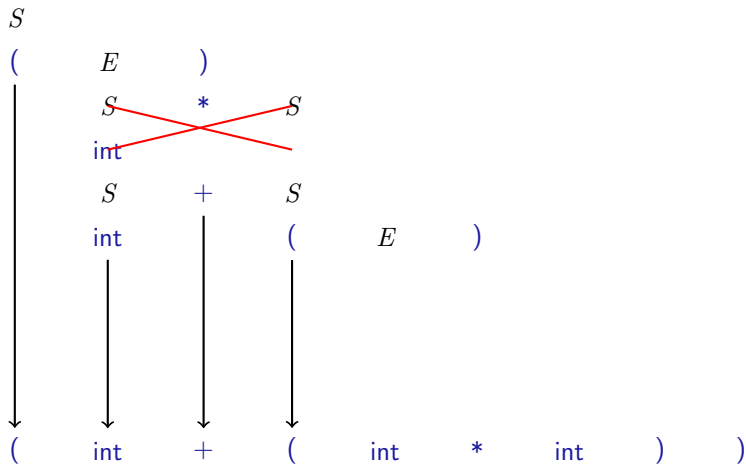
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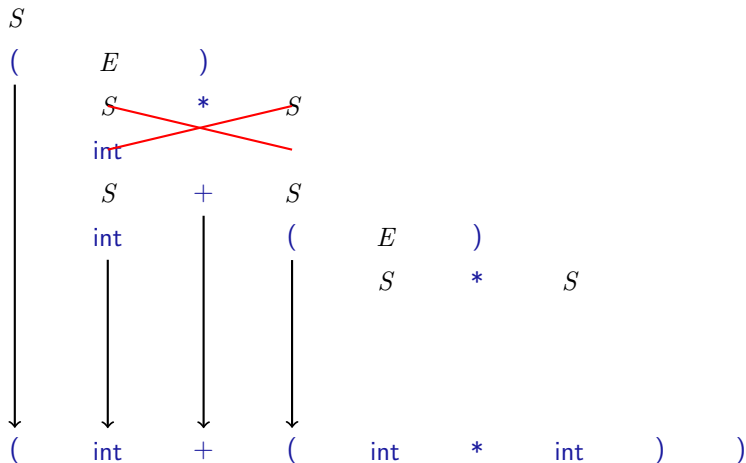
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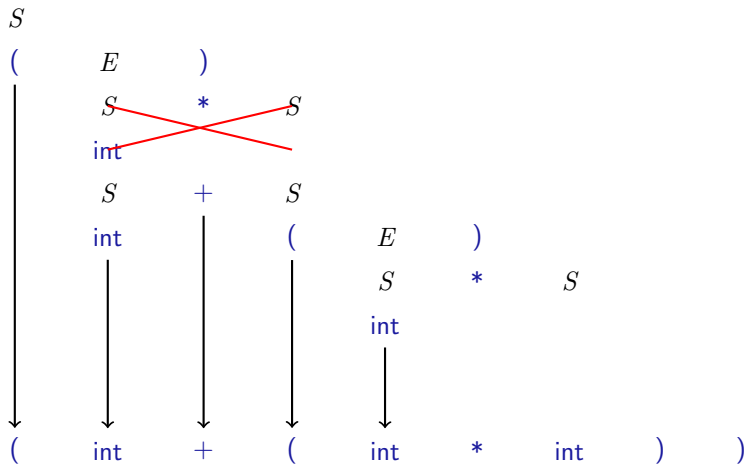
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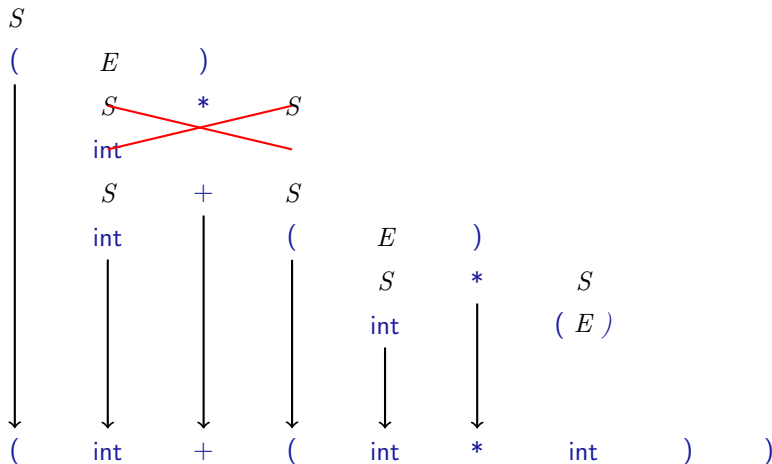
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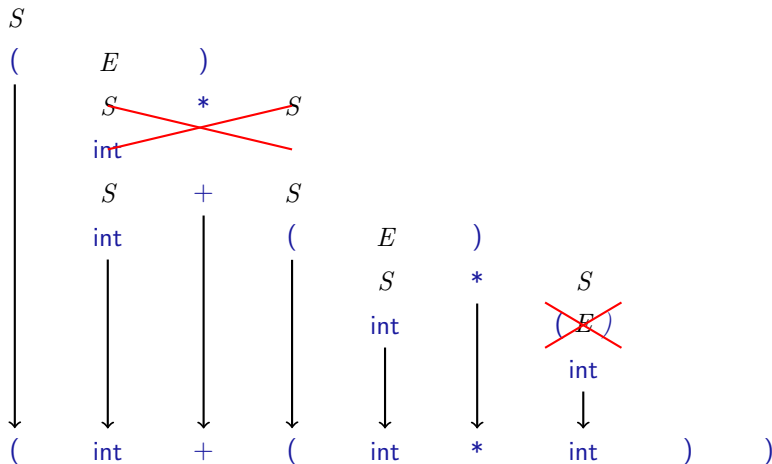
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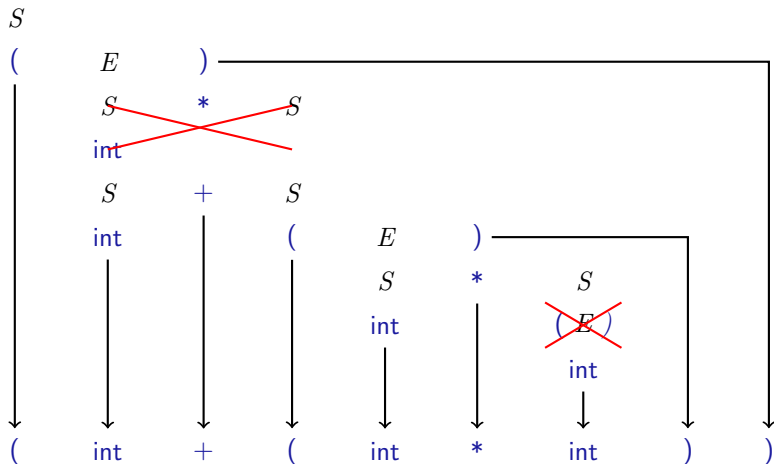
Idea: Recursively compute all expansions of a nonterminal at some input position





# Recursive Descent Parsing

Idea: Recursively compute all expansions of a nonterminal at some input position



# Recursive Descent Parsing II

- ▶ `expand` returns a set of possible end positions
- ▶ During expansion of one production
  - ▶ Keep track of a set of intermediate positions for the already expanded part
  - ▶ Expand the next terminal or nonterminal from every intermediate position
  - ▶ If the set of possible intermediate position gets empty, the production fails
- ▶ When a production was successfully completed, the intermediate set is added to the set of end positions
- ▶ May run into an infinite loop with left-recursive grammars, i.e. grammars where  $A \xrightarrow[G]{*} A\beta$  for some  $A$



# Top Down Parsing: Optimizations

- ▶ For every nonterminal, compute the set of terminals that can occur in the *first* position
  - ▶ Expand only those nonterminals / productions that are compatible with the current input symbol
- ▶ Avoid performing duplicate calls with identical nonterminal/position pair: *memoize* previous calls
  - ▶ use a data structure whose index are tuples consisting of the function arguments
  - ▶ the result of the lookup is the result of a previous call with the same arguments (if available)
  - ▶ The memoized method has to be strictly functional for this to work

# Epsilon Reduction

- ▶ A CFG may contain productions of the form  $A \rightarrow \epsilon$
- ▶ Construct a CFG  $G'$  with the same language as  $G$  and at most one epsilon production:  $S \rightarrow \epsilon$

for all nonterminals  $A$  with  $A \rightarrow \epsilon \in P$ :

mark  $A$  as  $\epsilon$ -deriving and add it to the set  $Q$

while  $Q$  is not empty, remove a nonterminal  $X$  from  $Q$ :

for all  $Y \rightarrow \alpha X \beta \in P$ , with  $\alpha$  or  $\beta$  not empty, add  $Y \rightarrow \alpha \beta$  to  $P'$

for all  $Y \rightarrow X$ , if  $Y$  is not marked as  $\epsilon$ -deriving:

mark  $Y$  as  $\epsilon$ -deriving and add it to  $Q$

if  $S$  is  $\epsilon$ -deriving, add  $S \rightarrow \epsilon$  to  $P'$

add all non- $\epsilon$  productions of  $P$  to  $P'$

# Chomsky Normal Form

- ▶ A context-free grammar is in *Chomsky Normal Form* if:
  - (i) it is  $\epsilon$ -free,
  - (ii) all productions have one of two forms:
    - ▶  $A \rightarrow a$  with  $a \in \Sigma$
    - ▶  $A \rightarrow BC$  with  $B, C \in N$
- ▶ Every CFG can be transformed into a CNF grammar with the same language
- ▶ Drawback: The original structure of the parse trees must be reconstructed, if necessary
- ▶ The original and transformed grammar are said to be *weakly equivalent*

# Chomsky Normal Form II

- ▶ Convert an arbitrary CFG into CNF:
  - ▶ Introduce new nonterminals and productions  $A^a \rightarrow a$
  - ▶ Replace all occurrences of  $a$  by  $A^a$
  - ▶ Eliminate unary productions  $A \rightarrow B$ :  
add productions where  $A$  is replaced by  $B$  in the right hand sides
  - ▶ Replace productions with more than two symbols on the right hand side by a sequence of productions:

$$A \rightarrow R_1 R_2 \dots R_n \Rightarrow$$

$$A \rightarrow R_1 A^{(1)}, \quad A^{(1)} \rightarrow R_2 A^{(2)}, \quad \dots \quad A^{(n-2)} \rightarrow R_{n-1} R_n$$

# Chart Parsing

- ▶ First algorithm independently developed by Cocke, Younger and Kasami (late 60s)
- ▶ Given a string  $w$  of length  $n$ , use an  $n \times n$  table to store subderivations (hence chart or tabular parsing)
- ▶ Works for all kinds of grammars: left/right recursive, ambiguous
- ▶ Storing subderivations avoids duplicate computation: an instance of *dynamic programming*
- ▶ polynomial space and time bounds, although an exponential number of parse trees may be encoded!

# CYK Parsing

- ▶ Input:  $G$  in Chomsky normal form, input string  $w_1, \dots, w_n$
- ▶ Systematically explore all possible sub-derivations bottom-up
- ▶ Use an  $n \times n$  array  $\mathcal{C}$  such that
  - ▶ If nonterminal  $A$  is stored in  $\mathcal{C}(i, k)$ :  $A \xrightarrow[G]{*} w_{i+1}, \dots, w_k$
  - ▶ Maintain a second table  $\mathcal{B}$ , such that if  $j \in \mathcal{B}(i, k)$ , for example  $A \rightarrow BC \in P$ ,  $A \in \mathcal{C}(i, k)$ ,  $B \in \mathcal{C}(i, j)$  and  $C \in \mathcal{C}(j, k)$
  - ▶  $\mathcal{B}$  enables us to extract the parse trees
- ▶ Implement  $\mathcal{C}$  and  $\mathcal{B}$  as three-dimensional boolean arrays of size  $n \times n \times |N|$  and  $n \times n \times n$ , respectively

# CYK – The Original

```
for  $i = 1$  to  $n$  do  
    foreach  $R_j \rightarrow a_i$  do  $\mathcal{C}(i - 1, i, j) = \mathbf{true}$   
  
for  $len = 2$  to  $n$  do // Length of new constituent  
    for  $start = 0$  to  $n - l$  do // Start of new constituent  
        for  $mid = 1$  to  $len - 1$  do // Length of first subconstituent  
            foreach  $R_a \rightarrow R_b R_c \in \mathcal{P}$  do  
                if  $\mathcal{C}(start, start + mid, b)$   
                     $\wedge \mathcal{C}(start + mid, start + len, c)$   
                then  
                     $\mathcal{C}(start, start + len, a) = \mathbf{true}$   
                     $\mathcal{B}(start, start + len, start + mid) = \mathbf{true}$   
  
if  $\mathcal{C}(1, n, S)$  is true,  $w \in \mathcal{L}(G)$ 
```

# CYK Chart Example


*C*


*B*

① *john* ② *saw* ③ *the* ④ *girl* ⑤ *in* ⑥ *a* ⑦ *car*

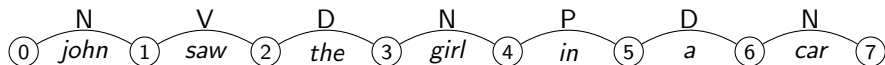


# CYK Chart Example

N							
	V						
		D					
			N				
				P			
					D		
						N	

*C*

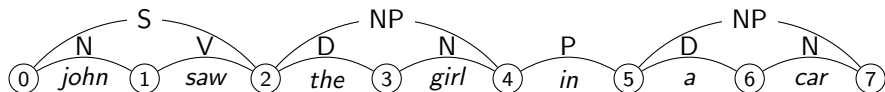

*B*



# CYK Chart Example

N	S									<i>C</i>
	V									
		D	NP							
			N							
				P						
					D	NP				
						N				

	1										<i>B</i>
			3								
									6		



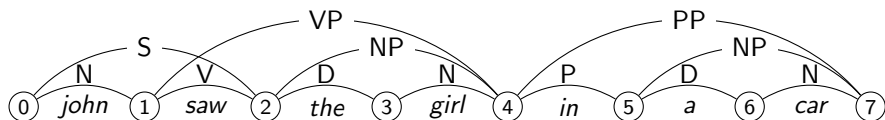
# CYK Chart Example

N	S					
	V		VP			
		D	NP			
			N			
				P		PP
					D	NP
						N

*C*

	1					
			2			
			3			
						5
						6

*B*



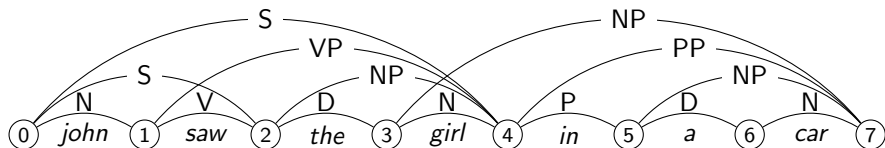
# CYK Chart Example

N	S		S			
	V		VP			
		D	NP			
			N			NP
				P		PP
					D	NP
						N

*C*

	1		1			
			2			
			3			
						4
						5
						6

*B*



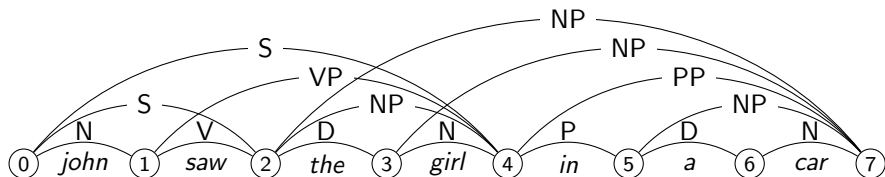
# CYK Chart Example

N	S		S			
	V		VP			
		D	NP			NP
			N			NP
				P		PP
					D	NP
						N

*C*

*B*

	1		1			
			2			
			3			4
						4
						5
						6



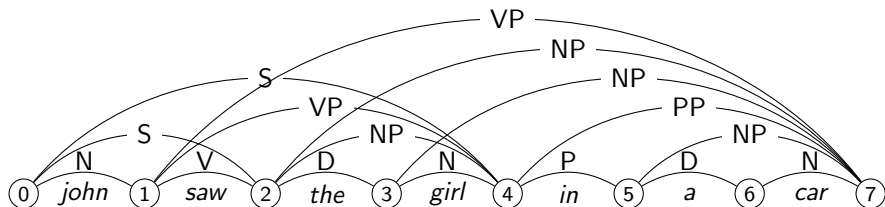
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N	S		S			
	V		VP			VP
		D	NP			NP
			N			NP
				P		PP
					D	NP
						N

*C*

*B*

	1		1			
			2			2,4
			3			4
						4
						5
						6



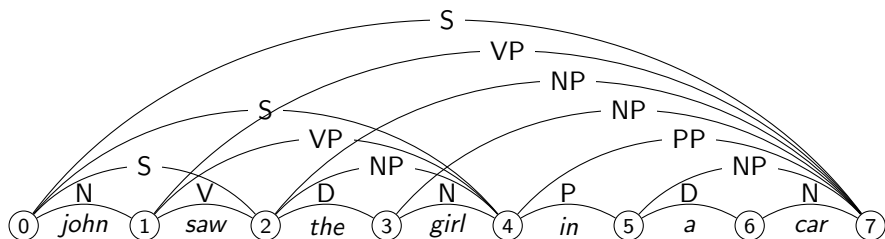
# CYK Chart Example

N	S		S			S
	V		VP			VP
		D	NP			NP
			N			NP
				P		PP
					D	NP
						N

*C*

*B*

	1		1			1
			2			2,4
			3			4
						4
						5
						6



## Example Chart

S → NP VP | N VP | N V | NP V

VP → V NP | V N | VP PP

NP → D N | NP PP | N PP

PP → P NP | P N

N → *john, girl, car*

V → *saw, walks*

P → *in*

D → *the, a*

	1	2	3	4	5	6	7
0	N	S		S			S
1		V		VP			VP <sub>x2</sub>
2			D	NP			NP
3				N			NP
4					P		PP
5						D	NP
6							N

① *john* ② *saw* ③ *the* ④ *girl* ⑤ *in* ⑥ *a* ⑦ *car* ⑧



# Example Chart

S → NP VP | N VP | N V | NP V

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NP → D N | NP PP | N PP

PP → P NP | P N

N → *john, girl, car*

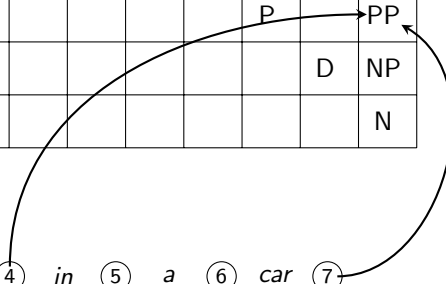
V → *saw, walks*

P → *in*

D → *the, a*

	1	2	3	4	5	6	7
0	N	S		S			S
1		V		VP			VP <sub>x2</sub>
2			D	NP			NP
3				N			NP
4					P		PP
5						D	NP
6							N

① *john* ② *saw* ③ *the* ④ *girl* ⑤ *in* ⑥ *a* ⑦ *car*



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NP → D N | NP PP | N PP

PP → P NP | P N

N → *john, girl, car*

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D → *the, a*

	1	2	3	4	5	6	7
0	N	S		S			S
1		V		VP			VP <sub>x2</sub>
2			D → NP				NP
3				N			NP
4					P		PP
5						D → NP	
6							N



# Example Chart

S → NP VP | N VP | N V | NP V

VP → V NP | V N | VP PP

NP → D N | NP PP | N PP

PP → P NP | P N

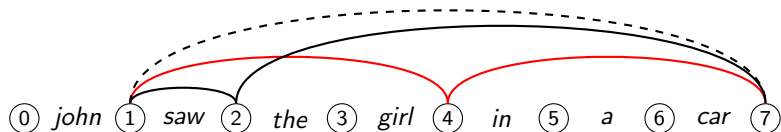
N → *john, girl, car*

V → *saw, walks*

P → *in*

D → *the, a*

	1	2	3	4	5	6	7
0	N	S		S			S
1		V		VP			VP <sub>x2</sub>
2			D	NP			NP
3				N			NP
4					P		PP
5						D	NP
6							N

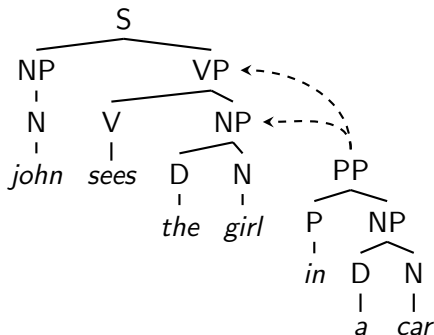


# Encoding Ambiguities

$\Sigma = \{john, girl, car, sees, in, the, a\}$

$N = \{S, NP, VP, PP, D, N, V, P\}$

$P = \left\{ \begin{array}{ll} S \rightarrow NP VP, & N \rightarrow john, girl, car \\ VP \rightarrow V|V NP|V NP PP & V \rightarrow sees \\ NP \rightarrow N|D N|N PP|D N PP & P \rightarrow in \\ PP \rightarrow P NP & D \rightarrow the, a \end{array} \right\}$



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- ▶ The symbol of *complete* items is one of  $\Sigma \cup N$
- ▶ *Incomplete* chart items encode partially filled rules
  - ▶ the symbol is a pair  $(r, i)$  of rule and *dot position* if  $P \ni r : A \rightarrow \alpha\beta$  with  $|\alpha| = i$
  - ▶ write alternatively:  $A \rightarrow \alpha\bullet\beta$



# Bottom-Up Chart Parsing

- ▶ How, when and which chart items are created or combined characterizes a parsing algorithm or parsing strategy
- ▶ First: A modified variant of Cocke-Younger-Kasami (CYK) algorithm
- ▶ Prerequisites: CFG  $G$ , input string  $w = a_1, \dots, a_n$
- ▶ Data Structures:
  - ▶ A  $n + 1 \times n + 1$  chart  $\mathcal{C}$ , where each cell contains a set of (complete or incomplete) chart items
  - ▶ A set of chart items  $\mathcal{A}$  (those must still be treated in some way)
- ▶ Initialization: add all  $(a_i, i - 1, i)$  to  $\mathcal{A}$  and  $\mathcal{C}_{i-1,i}$

# Bottom-Up Parsing Algorithm

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  if  $X \in \Sigma \cup N$  then  
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    for  $k \in j + 1, \dots, n$  do  
      if  $(Y, j, k) \in \mathcal{C}$  then  $check\_and\_add(A \rightarrow \beta Y\bullet\alpha, i, k)$ 
```

```
proc  $check\_and\_add(X \equiv A \rightarrow \alpha\bullet\beta, i, j) \equiv$   
  if  $\beta = \epsilon$  then // complete item  
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  else // incomplete item  
    if  $(A \rightarrow \alpha\bullet\beta, i, j) \notin \mathcal{C}$  then add  $(A \rightarrow \alpha\bullet\beta, i, j)$  to  $\mathcal{A}$  and  $\mathcal{C}$ 
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## Bottom-Up: Implementation Details

- ▶ How to implement  $\mathcal{A}$  and  $\mathcal{C}$  efficiently?
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  - ▶ Operations: add , get and remove some element
  - ▶ (priority) queue, stack
  - ▶  $\mathcal{A}$  is called *agenda* and can be used to implement search strategies
- ▶ Keep terminal items separate from the chart for space and time efficiency



# Bottom-Up Parsing Algorithm

```
proc check_and_add( $X \equiv A \rightarrow \alpha \bullet \beta, i, j$ )  
  if  $\beta = \epsilon$  then           // complete item  
    if  $(A, i, j) \notin \mathcal{C}$  then add  $(A, i, j)$  to  $\mathcal{A}$  and  $\mathcal{C}$   
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# Bottom Up – Summary

- ▶ Polynomial complexity:  $\mathcal{O}(|G|^2 n^3)$
- ▶ Explores all possible sub-derivations
- ▶ Advantageous for *robust parsing*:  
Extract the biggest/best chunks for ungrammatical input
- ▶ That a derivation must start at  $S$  is not used at all
  - ▶ Average time is near or equal to the worst case
  - ▶ May lead to poor performance in practice
- ▶ Two main steps:
  - ▶ if  $(X, i, j) \in \mathcal{C}$ ,  $X \in \Sigma \cup N$  and  $A \rightarrow X\alpha \in P$ :  
add  $(A \rightarrow X\bullet\alpha, i, j)$  to  $\mathcal{C}$
  - ▶ if  $(A \rightarrow \beta\bullet Y\alpha, i, j) \in \mathcal{C}$  and  $(Y, j, k) \in \mathcal{C}$ :  
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# Predictive Bottom-Up: Earley Parsing

- ▶ Described by J. Earley (1970): Predict Top-Down and Complete Bottom-Up
- ▶ Initialize by adding the terminal items *and*  $(S \rightarrow \alpha, 0, 0)$  for all  $S \rightarrow \bullet \alpha \in P$
- ▶ Three main operations:
  - Prediction** if  $(A \rightarrow \beta \bullet Y \alpha, i, j) \in \mathcal{C}$ , add  $(Y \rightarrow \bullet \gamma, j, j)$  to  $\mathcal{C}$  for every  $Y \rightarrow \gamma \in P$
  - Scanning** if  $(A \rightarrow \beta \bullet a_{j+1} \alpha, i, j) \in \mathcal{C}$ , add  $(A \rightarrow \beta a_{j+1} \bullet \alpha, i, j + 1)$  to  $\mathcal{C}$
  - Completion** if  $(Y, i, j)$ ,  $Y \in N$  and  $(A \rightarrow \beta \bullet Y \alpha, j, k) \in \mathcal{C}$ , add  $(A \rightarrow \beta Y \bullet \alpha, i, k)$

# Earley Parsing Example

○ — *john* — ○ — *saw* — ○ — *the* — ○ — *girl* — ○ — *in* — ○ — *a* — ○ — *car* — ○  
S → • NP VP

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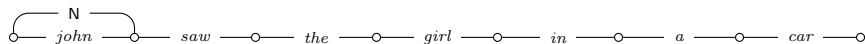
N → ● *girl*

N → ● *car*

D → ● *the*

D → ● *a*

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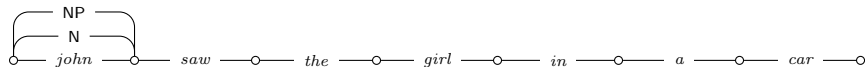
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$NP \rightarrow \bullet D N PP$

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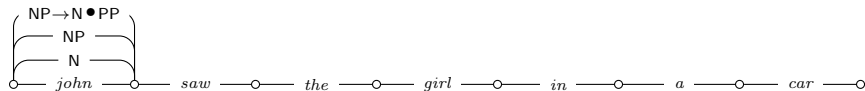
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$D \rightarrow \bullet a$

# Earley Parsing Example



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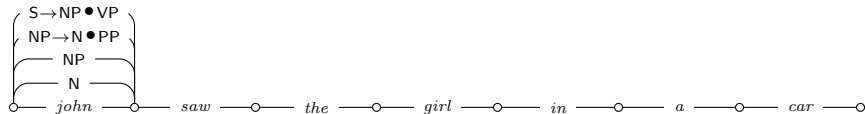
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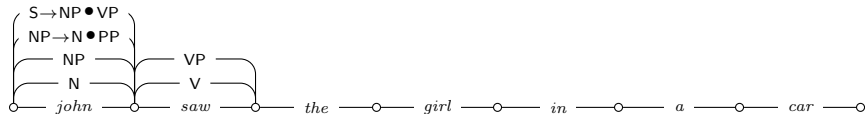


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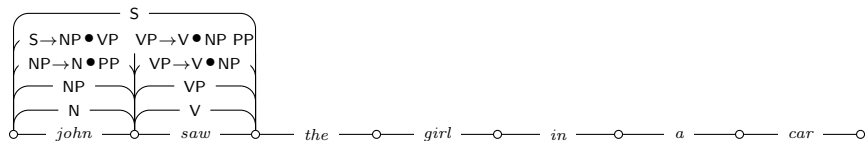
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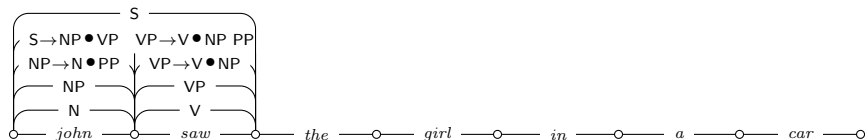
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 $D \rightarrow \bullet a$

# Earley Parsing Example



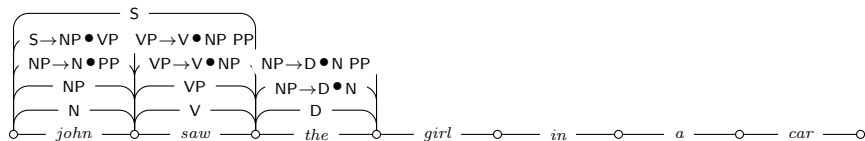
$S \rightarrow \bullet NP VP$     $PP \rightarrow \bullet P NP$   
 $NP \rightarrow \bullet D N PP$     $VP \rightarrow \bullet V$   
 $NP \rightarrow \bullet N$     $VP \rightarrow \bullet V NP PP$   
 $NP \rightarrow \bullet D N$     $VP \rightarrow \bullet V NP$   
 $NP \rightarrow \bullet N PP$     $V \rightarrow \bullet saw$   
 $N \rightarrow \bullet john$   
 $N \rightarrow \bullet girl$   
 $N \rightarrow \bullet car$   
 $D \rightarrow \bullet the$   
 $D \rightarrow \bullet a$

# Earley Parsing Example



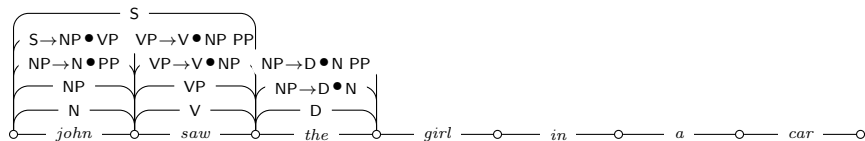
$S \rightarrow \bullet NP VP$      $PP \rightarrow \bullet P NP$      $NP \rightarrow \bullet D N PP$   
 $NP \rightarrow \bullet D N PP$      $VP \rightarrow \bullet V$      $NP \rightarrow \bullet D N$   
 $NP \rightarrow \bullet N$      $VP \rightarrow \bullet V NP PP$      $NP \rightarrow \bullet N$   
 $NP \rightarrow \bullet D N$      $VP \rightarrow \bullet V NP$      $NP \rightarrow \bullet N PP$   
 $NP \rightarrow \bullet N PP$      $V \rightarrow \bullet saw$      $N \rightarrow \bullet john$   
 $N \rightarrow \bullet john$      $N \rightarrow \bullet girl$   
 $N \rightarrow \bullet girl$      $N \rightarrow \bullet car$   
 $N \rightarrow \bullet car$      $D \rightarrow \bullet the$   
 $D \rightarrow \bullet the$      $D \rightarrow \bullet a$   
 $D \rightarrow \bullet a$

# Earley Parsing Example



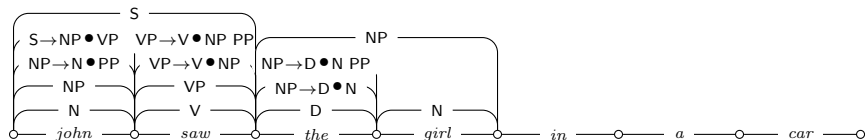
$S \rightarrow \bullet NP VP$      $PP \rightarrow \bullet P NP$      $NP \rightarrow \bullet D N PP$   
 $NP \rightarrow \bullet D N PP$      $VP \rightarrow \bullet V$      $NP \rightarrow \bullet D N$   
 $NP \rightarrow \bullet N$      $VP \rightarrow \bullet V NP PP$      $NP \rightarrow \bullet N$   
 $NP \rightarrow \bullet D N$      $VP \rightarrow \bullet V NP$      $NP \rightarrow \bullet N PP$   
 $NP \rightarrow \bullet N PP$      $V \rightarrow \bullet saw$      $N \rightarrow \bullet john$   
 $N \rightarrow \bullet john$      $N \rightarrow \bullet girl$   
 $N \rightarrow \bullet girl$      $N \rightarrow \bullet car$   
 $N \rightarrow \bullet car$      $D \rightarrow \bullet the$   
 $D \rightarrow \bullet the$      $D \rightarrow \bullet a$   
 $D \rightarrow \bullet a$

# Earley Parsing Example



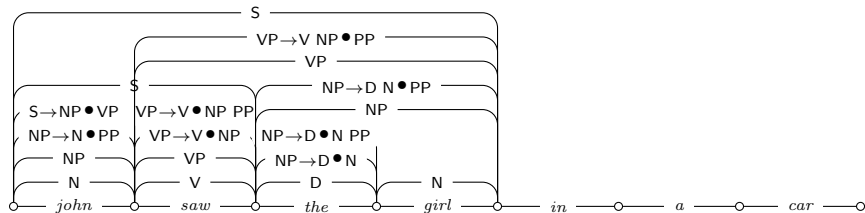
$S \rightarrow \bullet NP VP$      $PP \rightarrow \bullet P NP$      $NP \rightarrow \bullet D N PP$      $N \rightarrow \bullet john$   
 $NP \rightarrow \bullet D N PP$      $VP \rightarrow \bullet V$      $NP \rightarrow \bullet D N$      $N \rightarrow \bullet girl$   
 $NP \rightarrow \bullet N$      $VP \rightarrow \bullet V NP PP$      $NP \rightarrow \bullet N$      $N \rightarrow \bullet car$   
 $NP \rightarrow \bullet D N$      $VP \rightarrow \bullet V NP$      $NP \rightarrow \bullet N PP$   
 $NP \rightarrow \bullet N PP$      $V \rightarrow \bullet saw$      $N \rightarrow \bullet john$   
 $N \rightarrow \bullet john$      $N \rightarrow \bullet girl$   
 $N \rightarrow \bullet girl$      $N \rightarrow \bullet car$   
 $N \rightarrow \bullet car$      $D \rightarrow \bullet the$   
 $D \rightarrow \bullet the$      $D \rightarrow \bullet a$   
 $D \rightarrow \bullet a$

# Earley Parsing Example



$S \rightarrow \bullet NP VP$      $PP \rightarrow \bullet P NP$      $NP \rightarrow \bullet D N PP$      $N \rightarrow \bullet john$   
 $NP \rightarrow \bullet D N PP$      $VP \rightarrow \bullet V$      $NP \rightarrow \bullet D N$      $N \rightarrow \bullet girl$   
 $NP \rightarrow \bullet N$      $VP \rightarrow \bullet V NP PP$      $NP \rightarrow \bullet N$      $N \rightarrow \bullet car$   
 $NP \rightarrow \bullet D N$      $VP \rightarrow \bullet V NP$      $NP \rightarrow \bullet N PP$   
 $NP \rightarrow \bullet N PP$      $V \rightarrow \bullet saw$      $N \rightarrow \bullet john$   
 $N \rightarrow \bullet john$      $N \rightarrow \bullet girl$   
 $N \rightarrow \bullet girl$      $N \rightarrow \bullet car$   
 $N \rightarrow \bullet car$      $D \rightarrow \bullet the$   
 $D \rightarrow \bullet the$      $D \rightarrow \bullet a$   
 $D \rightarrow \bullet a$

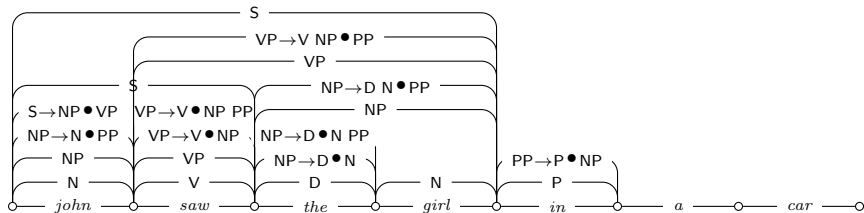
# Earley Parsing Example



$S \rightarrow \bullet NP VP$      $PP \rightarrow \bullet P NP$      $NP \rightarrow \bullet D N PP$      $N \rightarrow \bullet john$   
 $NP \rightarrow \bullet D N PP$      $VP \rightarrow \bullet V$      $NP \rightarrow \bullet D N$      $N \rightarrow \bullet girl$   
 $NP \rightarrow \bullet N$      $VP \rightarrow \bullet V NP PP$      $NP \rightarrow \bullet N$      $N \rightarrow \bullet car$   
 $NP \rightarrow \bullet D N$      $VP \rightarrow \bullet V NP$      $NP \rightarrow \bullet N PP$   
 $NP \rightarrow \bullet N PP$      $V \rightarrow \bullet saw$      $N \rightarrow \bullet john$   
 $N \rightarrow \bullet john$      $N \rightarrow \bullet girl$   
 $N \rightarrow \bullet girl$      $N \rightarrow \bullet car$   
 $N \rightarrow \bullet car$      $D \rightarrow \bullet the$   
 $D \rightarrow \bullet the$      $D \rightarrow \bullet a$   
 $D \rightarrow \bullet a$

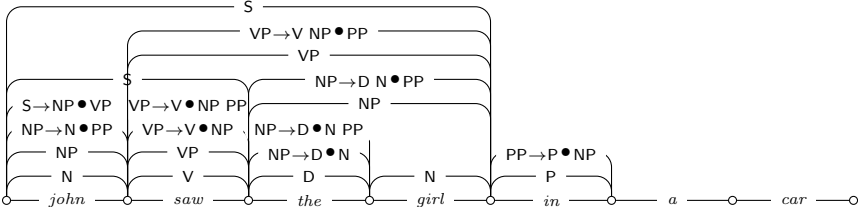


# Earley Parsing Example



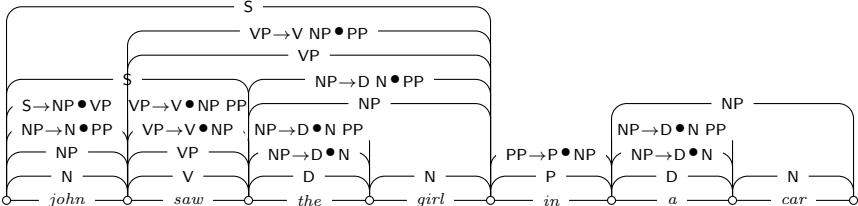
$S \rightarrow \bullet NP VP$      $PP \rightarrow \bullet P NP$      $NP \rightarrow \bullet D N PP$      $N \rightarrow \bullet john$      $P \rightarrow \bullet in$   
 $NP \rightarrow \bullet D N PP$      $VP \rightarrow \bullet V$      $NP \rightarrow \bullet D N$      $N \rightarrow \bullet girl$      $PP \rightarrow \bullet P NP$   
 $NP \rightarrow \bullet N$      $VP \rightarrow \bullet V NP PP$      $NP \rightarrow \bullet N$      $N \rightarrow \bullet car$   
 $NP \rightarrow \bullet D N$      $VP \rightarrow \bullet V NP$      $NP \rightarrow \bullet N PP$   
 $NP \rightarrow \bullet N PP$      $V \rightarrow \bullet saw$      $N \rightarrow \bullet john$   
 $N \rightarrow \bullet john$      $N \rightarrow \bullet girl$   
 $N \rightarrow \bullet girl$      $N \rightarrow \bullet car$   
 $N \rightarrow \bullet car$      $D \rightarrow \bullet the$   
 $D \rightarrow \bullet the$      $D \rightarrow \bullet a$   
 $D \rightarrow \bullet a$

# Earley Parsing Example



S → ● NP VP	PP → ● P NP	NP → ● D N PP	N → ● john	P → ● in	NP → ● D N PP
NP → ● D N PP	VP → ● V	NP → ● D N	N → ● girl	PP → ● P NP	NP → ● D N
NP → ● N	VP → ● V NP PP	NP → ● N	N → ● car		NP → ● N PP
NP → ● D N	VP → ● V NP	NP → ● N PP			NP → ● N
NP → ● N PP	V → ● saw	N → ● john			N → ● john
N → ● john		N → ● girl			N → ● girl
N → ● girl		N → ● car			N → ● car
N → ● car		D → ● the			D → ● the
D → ● the		D → ● a			D → ● a
D → ● a					

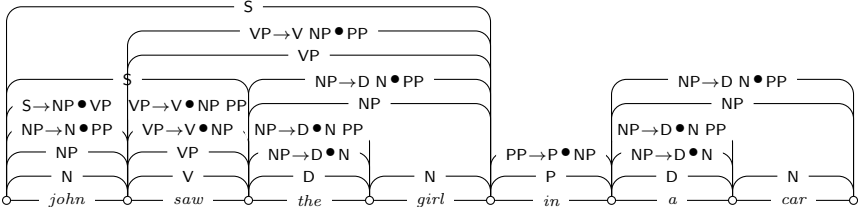
# Earley Parsing Example



- S → • NP VP    PP → • P NP    NP → • D N PP    N → • john    P → • in    NP → • D N PP    N → • john
- NP → • D N PP    VP → • V    NP → • D N    N → • girl    PP → • P NP    NP → • D N    N → • girl
- NP → • N    VP → • V NP PP    NP → • N    N → • car    NP → • N PP    N → • car
- NP → • D N    VP → • V NP    NP → • N PP    NP → • N    NP → • N    N → • john
- NP → • N PP    V → • saw    N → • john    N → • girl    N → • girl    N → • girl
- N → • john    N → • girl    N → • car    N → • car    N → • car    N → • car
- N → • car    N → • girl    N → • car    D → • the    D → • the    D → • the
- D → • the    D → • a    D → • a    D → • a    D → • a    D → • a

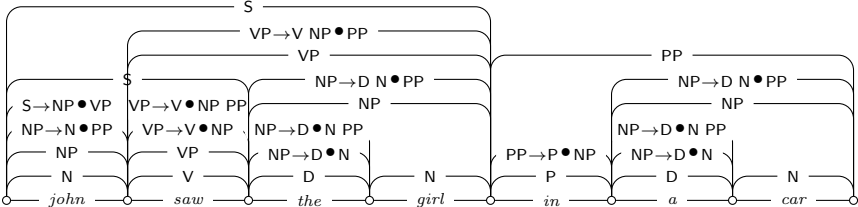


# Earley Parsing Example



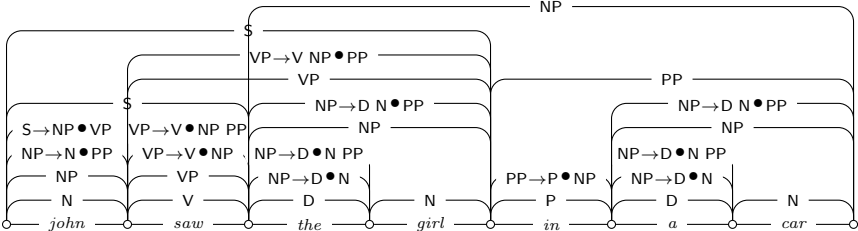
- |               |                |               |            |             |               |            |             |
|---------------|----------------|---------------|------------|-------------|---------------|------------|-------------|
| S → • NP VP   | PP → • P NP    | NP → • D N PP | N → • john | P → • in    | NP → • D N PP | N → • john | P → • in    |
| NP → • D N PP | VP → • V       | NP → • D N    | N → • girl | PP → • P NP | NP → • D N    | N → • girl | PP → • P NP |
| NP → • N      | VP → • V NP PP | NP → • N      | N → • car  |             | NP → • N PP   | N → • car  |             |
| NP → • D N    | VP → • V NP    | NP → • N PP   |            |             | NP → • N      |            |             |
| NP → • N PP   | V → • saw      | N → • john    |            |             | N → • john    |            |             |
| N → • john    |                | N → • girl    |            |             | N → • girl    |            |             |
| N → • girl    |                | N → • car     |            |             | N → • car     |            |             |
| N → • car     |                | D → • the     |            |             | D → • the     |            |             |
| D → • the     |                | D → • a       |            |             | D → • a       |            |             |
| D → • a       |                |               |            |             |               |            |             |

# Earley Parsing Example



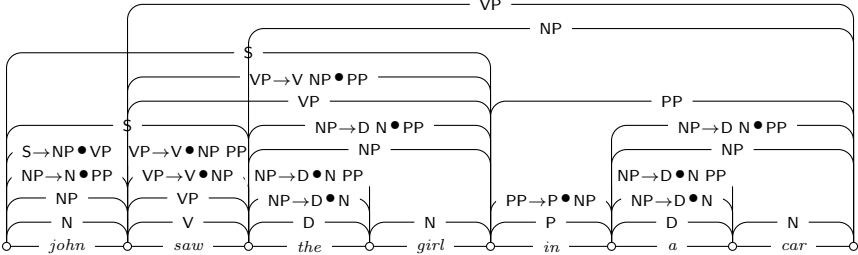
- |               |                |               |            |             |               |            |             |
|---------------|----------------|---------------|------------|-------------|---------------|------------|-------------|
| S → • NP VP   | PP → • P NP    | NP → • D N PP | N → • john | P → • in    | NP → • D N PP | N → • john | P → • in    |
| NP → • D N PP | VP → • V       | NP → • D N    | N → • girl | PP → • P NP | NP → • D N    | N → • girl | PP → • P NP |
| NP → • N      | VP → • V NP PP | NP → • N      | N → • car  |             | NP → • N PP   | N → • car  |             |
| NP → • D N    | VP → • V NP    | NP → • N PP   |            |             | NP → • N      |            |             |
| NP → • N PP   | V → • saw      | N → • john    |            |             | N → • john    |            |             |
| N → • john    |                | N → • girl    |            |             | N → • girl    |            |             |
| N → • girl    |                | N → • car     |            |             | N → • car     |            |             |
| N → • car     |                | D → • the     |            |             | D → • the     |            |             |
| D → • the     |                | D → • a       |            |             | D → • a       |            |             |
| D → • a       |                |               |            |             |               |            |             |

# Earley Parsing Example



- |               |                |               |            |             |               |            |             |
|---------------|----------------|---------------|------------|-------------|---------------|------------|-------------|
| S → • NP VP   | PP → • P NP    | NP → • D N PP | N → • john | P → • in    | NP → • D N PP | N → • john | P → • in    |
| NP → • D N PP | VP → • V       | NP → • D N    | N → • girl | PP → • P NP | NP → • D N    | N → • girl | PP → • P NP |
| NP → • N      | VP → • V NP PP | NP → • N      | N → • car  |             | NP → • N PP   | N → • car  |             |
| NP → • D N    | VP → • V NP    | NP → • N PP   |            |             | NP → • N      |            |             |
| NP → • N PP   | V → • saw      | N → • john    |            |             | N → • john    |            |             |
| N → • john    |                | N → • girl    |            |             | N → • girl    |            |             |
| N → • girl    |                | N → • car     |            |             | N → • car     |            |             |
| N → • car     |                | D → • the     |            |             | D → • the     |            |             |
| D → • the     |                | D → • a       |            |             | D → • a       |            |             |
| D → • a       |                |               |            |             |               |            |             |

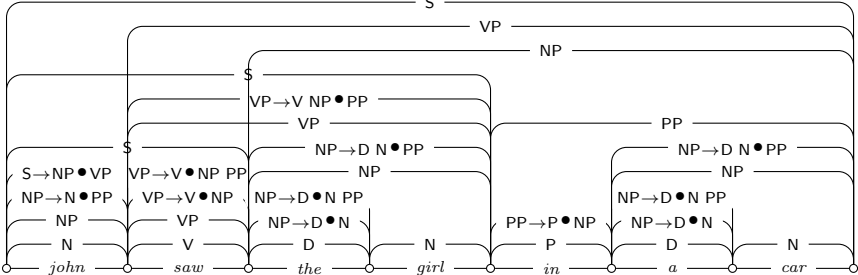
# Earley Parsing Example



- |               |                |               |            |             |               |            |             |
|---------------|----------------|---------------|------------|-------------|---------------|------------|-------------|
| S → • NP VP   | PP → • P NP    | NP → • D N PP | N → • john | P → • in    | NP → • D N PP | N → • john | P → • in    |
| NP → • D N PP | VP → • V       | NP → • D N    | N → • girl | PP → • P NP | NP → • D N    | N → • girl | PP → • P NP |
| NP → • N      | VP → • V NP PP | NP → • N      | N → • car  |             | NP → • N PP   | N → • car  |             |
| NP → • D N    | VP → • V NP    | NP → • N PP   |            |             | NP → • N      |            |             |
| NP → • N PP   | V → • saw      | N → • john    |            |             | N → • john    |            |             |
| N → • john    |                | N → • girl    |            |             | N → • girl    |            |             |
| N → • girl    |                | N → • car     |            |             | N → • car     |            |             |
| N → • car     |                | D → • the     |            |             | D → • the     |            |             |
| D → • the     |                | D → • a       |            |             | D → • a       |            |             |
| D → • a       |                |               |            |             |               |            |             |



# Earley Parsing Example



- S → • NP VP    PP → • P NP    NP → • D N PP    N → • john    P → • in    NP → • D N PP    N → • john    P → • in
- NP → • D N PP    VP → • V    NP → • D N    N → • girl    PP → • P NP    NP → • D N    N → • girl    PP → • P NP
- NP → • N    VP → • V NP PP    NP → • N    N → • car    NP → • N PP    NP → • N
- NP → • D N    VP → • V NP    NP → • N PP    NP → • N
- NP → • N PP    V → • saw    N → • john    NP → • N
- N → • john    N → • girl    NP → • N
- N → • girl    N → • car    NP → • N
- N → • car    D → • the    D → • the
- D → • the    D → • a    D → • a
- D → • a





# Earley Parsing – Summary

- ▶ The number of useless items is reduced
- ▶ Superior runtime for unambiguous grammars:  $\mathcal{O}(n^2)$
- ▶ Valid prefix property
- ▶ Not all sub-derivations are computed

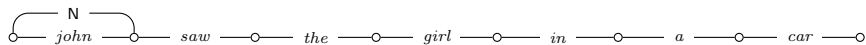
# Left Corner Parsing

- ▶ Observation: Earley parsing predicts items that can not succeed
- ▶ Idea: predict only items that can also be derived from the leftmost terminal item
- ▶ Formalization: left-corner relation
  - ▶  $A >_l B \iff \exists \beta : A \rightarrow B\beta \in P, B \in \Sigma \cup N$
  - ▶  $A >_l^*$  is the transitive closure of  $>_l$
- ▶ Reformulation of the prediction step:
  - ▶ If  $(A \rightarrow \beta \bullet Y \alpha, i, j)$  and  $(B, j, k) \in \mathcal{C}$ , with  $B \in \Sigma \cup N$  add  $(C \rightarrow B \bullet \gamma, j, k)$  if  $Y >_l^* C$
- ▶ This formulation also avoids the zero-length predictions with the dot in initial position

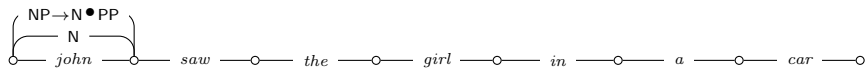
# Left-Corner Example

○ — *john* — ○ — *saw* — ○ — *the* — ○ — *girl* — ○ — *in* — ○ — *a* — ○ — *car* — ○

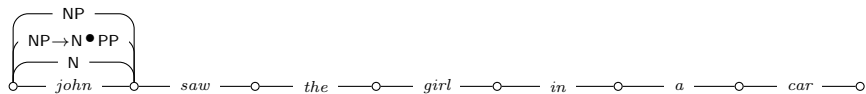
# Left-Corner Example



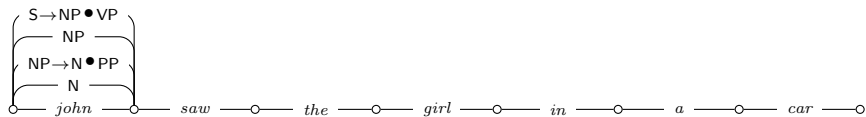
# Left-Corner Example



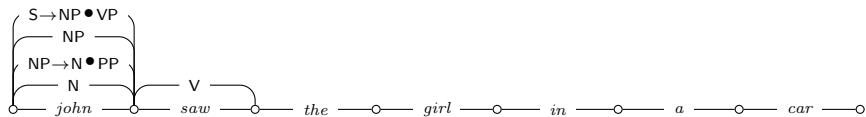
# Left-Corner Example



# Left-Corner Example

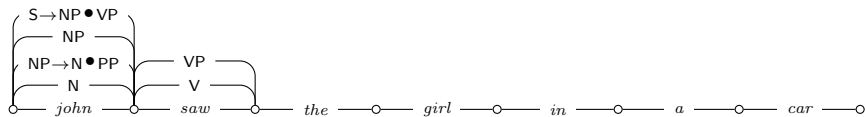


# Left-Corner Example

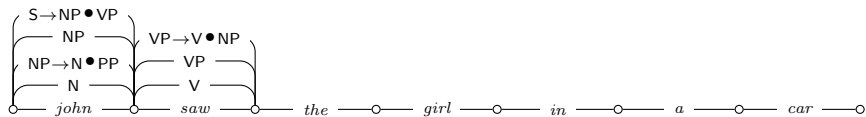




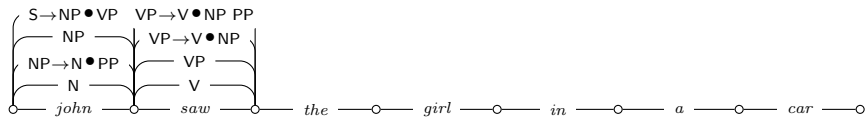
# Left-Corner Example



# Left-Corner Example



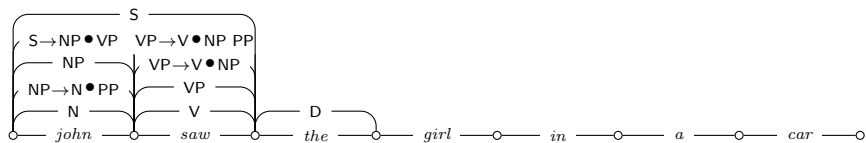
# Left-Corner Example



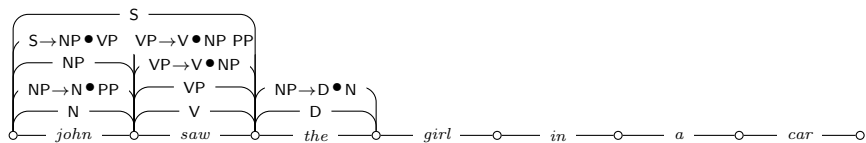
# Left-Corner Example



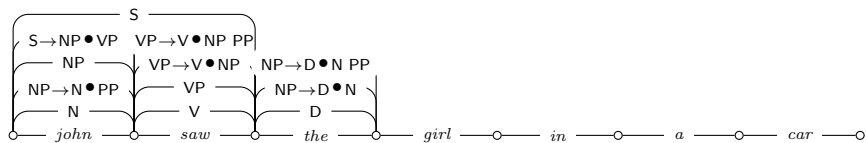
# Left-Corner Example



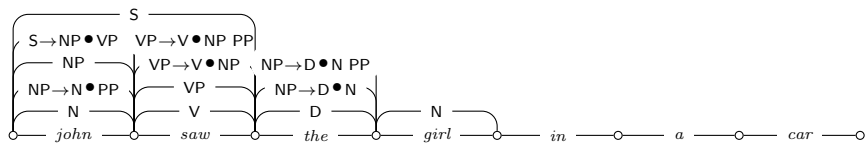
# Left-Corner Example



# Left-Corner Example

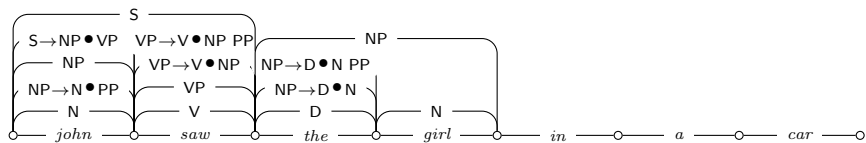


# Left-Corner Example

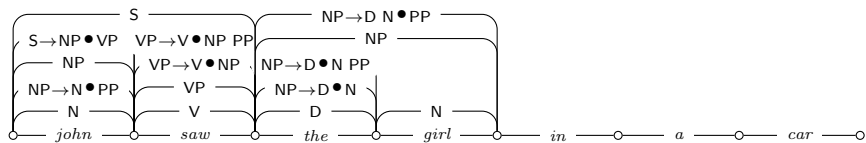




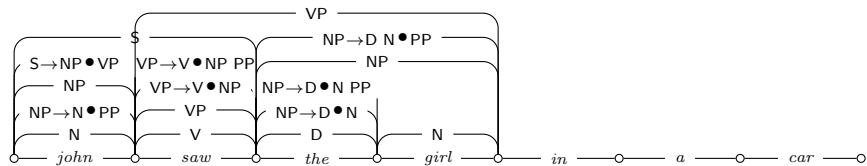
# Left-Corner Example



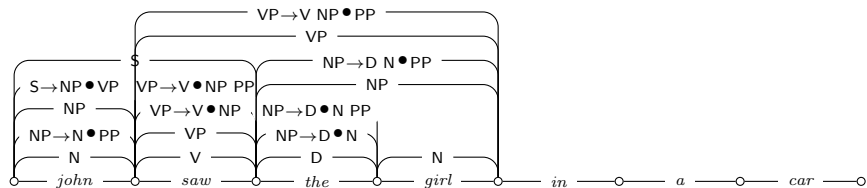
# Left-Corner Example



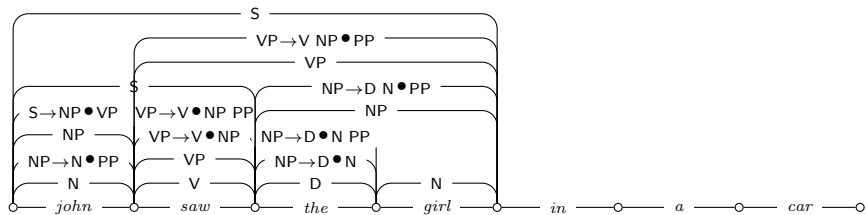
# Left-Corner Example



# Left-Corner Example



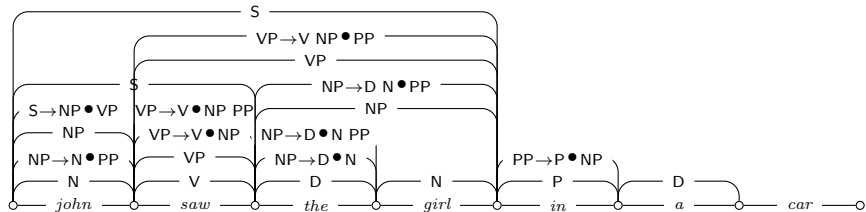
# Left-Corner Example





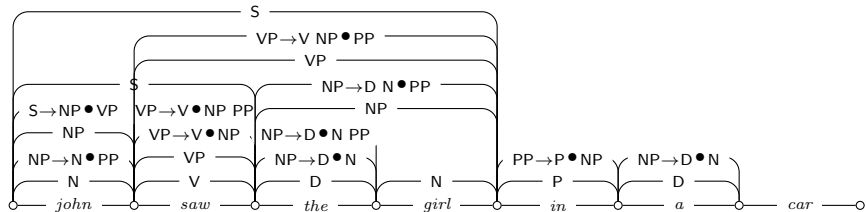


# Left-Corner Example

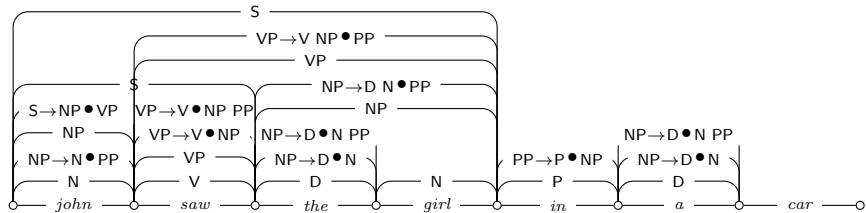




# Left-Corner Example

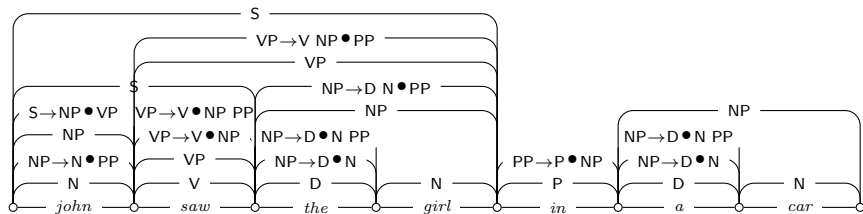


# Left-Corner Example

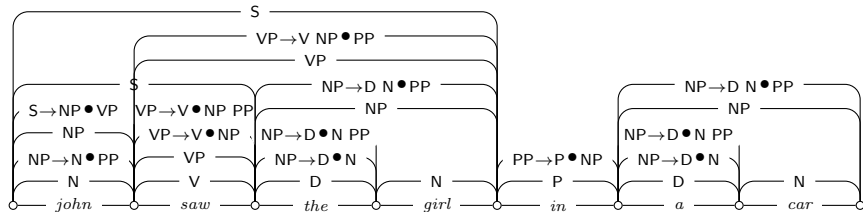




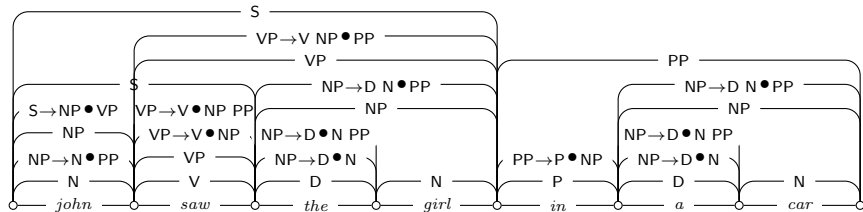
# Left-Corner Example



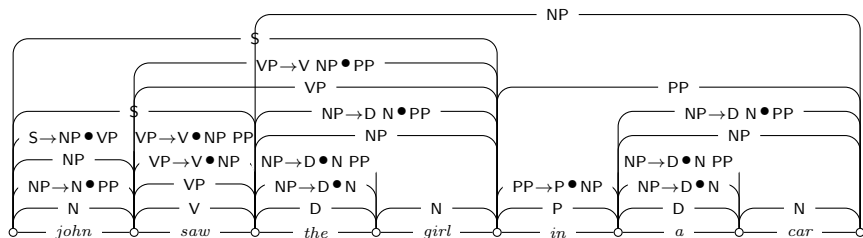
# Left-Corner Example



# Left-Corner Example



# Left-Corner Example







# Context-Free Parsing: Summary

- ▶ Context-free grammars provide you with a finite set of infinitely embeddable brackets
- ▶ Two main approaches to CF recognition: top down (goal-driven) and bottom-up (data driven)
- ▶ Storing sub-derivations for re-use (*dynamic programming*) in a chart lead to a polynomial algorithm with worst case  $n^3$
- ▶ The chart offers a compact (polynomial size) storage for a possibly exponential number of results
- ▶ Earley and Left Corner Parsing improve the average runtime over the naïve CYK algorithm, and have a better worst case complexity for some classes of context-free grammars