# **Faster and Lighter Phrase-based Machine Translation Baseline**

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#### Abstract

This paper describes the SENSE machine translation system participation in the Third Workshop for Asian Translation (WAT2016). We share our best practices to build a fast and light phrasebased machine translation (PBMT) models that have comparable results to the baseline systems provided by the organizers. As Neural Machine Translation (NMT) overtakes PBMT as the state-of-the-art, deep learning and new MT practitioners might not be familiar with the PBMT paradigm and we hope that this paper will help them build a PBMT baseline system quickly and easily.

### 1 Introduction

With the advent of Neural Machine Translation (NMT), the Phrased-Based Machine Translation (PBMT) paradigm casts towards the sunset (Neubig et al., 2015; Sennrich et al., 2016; Bentivogli et al., 2016; Wu et al., 2016; Crego et al., 2016). As the NMT era dawns, we hope to document the best practices in building a fast and light phrase-based machine translation baseline. In this paper, we briefly describe the PBMT components, list the tools available for PBMT systems prior to the neural tsunami, and present our procedures to build fast and light PBMT models with our system's results in the WAT2016 (Nakazawa et al., 2016).

#### 1.1 Phrase-Based Machine Translation

The objective of the machine translation system is to find the best translation  $\hat{t}$  that maximizes the translation probability p(t|s) given a source sentence s; mathematically:

$$\hat{t} = \underset{t}{\operatorname{argmax}} p(t|s) \tag{1}$$

Applying the Bayes' rule, we can factorized the p(t|s) into three parts:

$$p(t|s) = \frac{p(t)}{p(s)}p(s|t)$$
<sup>(2)</sup>

Substituting our p(t|s) back into our search for the best translation  $\hat{t}$  using *argmax*:

$$\hat{t} = \underset{t}{\operatorname{argmax}} p(t|s)$$

$$= \underset{t}{\operatorname{argmax}} \frac{p(t)}{p(s)} p(s|t)$$

$$= \underset{t}{\operatorname{argmax}} p(t) p(s|t)$$
(3)

We note that the denominator p(s) can be dropped because for all translations the probability of the source sentence remains the same and the *argmax* objective optimizes the probability relative to the set of possible translations given a single source sentence. The p(t|s) variable can be viewed as the bilingual dictionary with probabilities attached to each entry to the dictionary (*aka* **phrase table**). The p(t) variable

governs the grammaticality of the translation and we model it using an *n*-gram language model under the PBMT paradigm.

Machine Translation developed rapidly with the introduction of IBM **word alignment** models (Brown et al., 1990; Brown et al., 1993) and *word-based* MT systems performed word-for-word decoding word alignments and *n*-gram language model.

The word-based systems eventually developed into the phrase-based systems (Och and Ney, 2002; Marcu and Wong, 2002; Zens et al., 2002; Koehn et al., 2003) which relies on the word alignment to generate phrases. The phrase-based models translate contiguous sequences of words from the source sentence to contiguous words in the target language. In this case, the term *phrase* does not refer to the linguistic notion of syntactic constituent but the notion of n-grams. Knight (1999) defined the word/phrase-based models significantly improve on the word-based models, especially for closely-related languages. This mainly due to the modeling of local reordering and the assumption that most orderings of contiguous n-grams are monotonic. However, that is not the case of translation between language pairs with different syntactic constructions; e.g. when translating between SVO-SOV languages.

Tillmann (2004) and Al-Onaizan and Papineni (2006) proposed several **lexicalized reordering** and distortion models to surmount most long-distance reordering issues. Alternatively, to overcome reordering issues with simple distortion penalty, Zollmann et al. (2008) memorized a larger phrase *n*-grams sequence from a huge training data and allow larger distortion limits; it achieves similar results to more sophisticated reordering techniques with lesser training data. In practice, reordering is set to a small window and Birch et al. (2010) has shown that phrase-based models perform poorly even with short and medium range reordering.

Och and Ney (2002) simplified the integration of additional model components using the *log-linear model*. The model defines feature functions h(x) with weights  $\lambda$  in the following form:

$$P(x) = \frac{exp(\sum_{i=1}^{n} \lambda_i h_i(x))}{Z}$$

$$\tag{4}$$

where the normalization constant Z turns the numerator into a probability distribution.

In the case of a simple model in Equation (3), it contains the two primary features, we define the components as such:

$$h_1(x) = logp(t)$$

$$h_2(x) = logp(s|t)$$
(5)

where the  $h(x_1)$  and  $h(x_2)$  are associated with the  $\lambda_1$  and  $\lambda_2$  respectively.

The flexibility of the log-linear model allows for additional translation feature components to be added to the model easily, e.g. the lexicalized reordering is modeled as additional feature(s)  $h(x_i)$  in PBMT. Additionally, the weights  $\lambda$  associated with the *n* components can be tuned to optimize the translation quality over the parallel sentences, *D* (often known as the development set):

$$\lambda_1^n = \underset{\lambda_1^n}{\operatorname{argmax}} \sum_{d=1}^D \log P_{\lambda_1^n}(t_d|s_d) \tag{6}$$

Minimum Error Rate Training (MERT), a co-ordinate descent learning algorithm, is one of the commonly used algorithms used for tuning the the  $\lambda$  weights.

The resulting PBMT system is generally made up of the following (i) *n*-gram language model(s), (ii) probabilistic phrase table (optionally with additional feature(s)), (iii) probabilistic lexicalized reordering table and (iv) a set of  $\lambda$  weights for their respective h(x).

The hierarchical phrase-based machine translation (aka *hiero*) extends the phrase-based models notion of phrase from naive contiguous words to a sequence of words and sub-phrases (Chiang, 2005). Within the hiero model, translation rules make use of the standard phrases and the reordering of the subphrases. Such reordering can be expressed as a lexicalized *gappy* hierarchical rule using  $X_1$  and  $X_2$  as placeholders for the subphrases.

At the onset of SMT, the importance of linguistic information to translation was recognized by Brown et al. (1993):

But it is not our intention to ignore linguistics, neither to replace it. Rather, we hope to enfold it in the embrace of a secure probabilistic framework so that the two together may draw strength from one another and guide us to better natural language processing systems in general and to better machine translation systems in particular.

Factored SMT embarked on the task of effectively incorporating linguistics information from taggers, parses and morphological analyzers into the machine translation pipeline. It is motivated by fact that (i) linguistics information provides a layer of disambiguation to the ambiguity of natural language, (ii) generalized translation of out-of-vocabulary (OOV) words to overcome sparsity of training data and (iii) replace arbitrary limits with linguistics constraints put in place in the decoding process too keep the search space tractable (Hoang and Lopez, 2009; Koehn et al., 2010; Hoang, 2011).

Among the numerous Machine Translation tools, the Moses Statistical Machine Translation system is the de facto tool for building various machine translation models (vanilla, hierarchical or factored PBMT). The Pharaoh system is its predecessor (Koehn, 2004). Other than the Moses system, the Joshua<sup>1</sup> (Weese et al., 2011), Jane<sup>2</sup> (Vilar et al., 2010), Phrasal<sup>3</sup> (Cer et al., 2010) and  $cdec^4$  (Dyer et al., 2010) systems are viable alternatives to build statistical MT models.

## 2 Fast and Light PBMT Setup

We used the phrase-based SMT implemented in the Moses toolkit (Koehn et al., 2003; Koehn et al., 2007) with the following vanilla Moses experimental settings:

- Language modeling is trained using KenLM using 5-grams, with modified Kneser-Ney smoothing (Heafield, 2011; Kneser and Ney, 1995; Chen and Goodman, 1998). The language model is quantized to reduce filesize and improve querying speed (Whittaker and Raj, 2001; Heafield et al., 2013)
- ii. Clustercat word clusters (Dehdari et al., 2016b) with MGIZA++ implementation of IBM word alignment model 4 with grow-diagonal-final-and heuristics for word alignment and phrase-extraction (Koehn et al., 2003; Och and Ney, 2003; Gao and Vogel, 2008)
- iii. Bi-directional lexicalized reordering model that considers monotone, swap and discontinuous orientations (Koehn, 2005; Galley and Manning, 2008)
- iv. To minimize the computing load on the translation model, we compressed the phrase-table and lexical reordering model using Phrase Rank Encoding (Junczys-Dowmunt, 2012)
- v. Minimum Error Rate Training (MERT) (Och, 2003) to tune the decoding parameters

Differing from the baseline systems proposed by the WAT2016 organizers, we have used (a) trie language model with quantization in *Step i* (b) Clustercat with multi-threaded word alignents (MGIZA++) instead of mkcls (Och, 1995) with GIZA++ in *Step ii* and (c) phrase table compression in *Step iv*.

Although MT practitioners can use Moses' *Experiment Management System* (Koehn, 2010) to build a PBMT baseline, the models might not be easily modifiable due to the pre-coded configurations. The configuration constraints could become particularly frustrating when the model becomes prohibitively huge with limited read-only and random access memory.

<sup>&</sup>lt;sup>1</sup>joshua.incubator.apache.org

<sup>&</sup>lt;sup>2</sup>http://www-i6.informatik.rwth-aachen.de/jane/

<sup>&</sup>lt;sup>3</sup>http://nlp.stanford.edu/phrasal/

<sup>&</sup>lt;sup>4</sup>https://github.com/redpony/cdec

#### 2.1 Quantization and Binarization of Language Models

Heafield et al. (2013) compared KenLM's trie data structure against other n-gram language model toolkit. He empirically showed that it uses less memory than the smallest model produced by other tools that creates lossless models and it was faster than SRILM (Stolcke, 2002) that also uses a trie data structure.

The floating point non-positive log probabilities of the *n*-gram and its backoff penalty can be stored in the trie exactly using 31 and 32 bits<sup>5</sup> respectively. These floating point values can be quantized using q bits per probability and r bit per backoff to save memory at the expense of decreased accuracy. KenLM uses the binning method to sort floats, divides them into equal size bins and averages the value within each bin. As such floats under the same bin shares the same value.

While quantization is lossy, we can use point compression (Whittaker and Raj, 2001) to remove the leading bits of the pointers and implicitly store the table of offsets into the array. Although point compression reduces the memory size of the language model, retrieving the offsets takes additional time.

The trie is produced by using the KenLM's build\_binary tool. The quantization and trie binarization is performed using the last command below:

```
LM_ARPA= `pwd `/${TRAINING_DIR}/lm/lm.${LANG_E}.arpa.gz
LM_FILE= `pwd `/${TRAINING_DIR}/lm/lm.${LANG_E}.kenlm

${MOSES_BIN_DIR}/lmplz --order ${LM_ORDER} -S 80% -T /tmp \
< ${CORPUS_LM}.${LANG_E} | gzip > ${LM_ARPA}

${MOSES_BIN_DIR}/build_binary trie -a 22 -b 8 -q 8 ${LM_ARPA} ${LM_FILE}
```

The -a option sets the maximum number of leading bits that the point compression removes. The -q and -b options sets the number of bits to store the *n*-gram log probability and backoff respectively<sup>6</sup>. We can stack the point compression with quantization as shown above, the -a 22 -b 8 -q 8 will set the maximum leading bits removal to 22 and stores the floating points for log probabilities and backoff penalties using 8 bits.

#### 2.2 MGIZA++ and Clustercat

Gao and Vogel (2008) implemented two parallelized versions of the original GIZA++ tool, PGIZA++ that uses multiple aligning processes where when the processes are finished, the master process collects the normalized counts and updates the model and child processes are restarted in the next iteration and MGIZA++ that uses multi-threading on shared memory with locking mechanism to synchronize memory access.

Given a computing cluster (i.e. multiple machines), using PGIZA++ would be appropriate whereas MGIZA++ is suited for a single machine with multiple cores. An up-to-date fork of MGIZA++ is main-tained by the Moses community at https://github.com/moses-smt/mgiza.

While might face with MGIZA++ one issues creating the binaries from community binaries<sup>8</sup> compilation<sup>7</sup>, provides source the Moses pre-built on http://www.statmt.org/moses/?n=moses.releases. These can be easily downloaded and saved to a directory (e.g. /path/to/moses-training-tools) on the terminal as such:

```
wget -r -nH -nd -np -R index.html* \
http://www.statmt.org/moses/RELEASE-3.0/binaries/linux-64bit/training-tools/ \
-P /path/to/moses-training-tools
```

And the EXT\_BIN\_DIR variable in the training script can be set and be used in the translation model training process as such:

<sup>6</sup>Note that unigram probabilities are never quantized

<sup>&</sup>lt;sup>5</sup>Backoff penalty may sometimes be positive

<sup>&</sup>lt;sup>7</sup>Following the instructions on http://www.statmt.org/moses/?n=Moses.ExternalTools#ntoc3

<sup>&</sup>lt;sup>8</sup>E.g. the direct link for the Linux OS can be found on http://www.statmt.org/moses/RELEASE-3.0/binaries/linux-64bit/training-tools/

```
EXT_BIN_DIR=/path/to/moses-training-tools/
${MOSES_SCRIPT}/training/train-model-10c.perl \
  --root-dir 'pwd'/${TRAINING_DIR} \
--model-dir 'pwd'/${MODEL_DIR} \
  --corpus ${CORPUS}
  --external-bin-dir ${EXT BIN DIR} \
  --mgiza -mgiza-cpus 10 \
  --f ${LANG F}
  --e ${LANG_E}
  --parallel
  --alignment grow-diag-final-and `
  --reordering msd-bidirectional-fe
  --score-options "--GoodTuring"
  --lm 0:${LM_ORDER}:${LM_FILE}:8 \
  --cores ${JOBS} \
  --sort-buffer-size 10G \
  --parallel '
  >& {TRAINING_DIR}/training_TM.log
```

The --mgiza option activates the MGIZA++ binary and -mgiza-cpus 10 specifies the training to be done with 10 CPU threads. The default option is to use IBM model 4 where the probability for each word is conditioned on both the previously aligned word and on the word classes of its context words<sup>9</sup>.

To generate the word classes, MGIZA++ uses a single-threaded version of an old exchange clustering algorithm implementation, mkcls, which can be rather slow when the training corpus is sufficiently huge. Instead, we suggest the use of Clustercat<sup>10</sup>, another exchange clustering algorithm that has a wrapper to emulate mkcls command-line interface and outputs. Clustercat is an implementation of the Bidirectional, Interpolated, Refining, and Alternating (BIRA) predictive exchange algorithm; notably, ClusterCat clusters a 1 billion token English News Crawl corpus in 1.5 hours while mkcls might take 3 days on the same machine (Dehdari et al., 2016a). To use Clustercat with MGIZA++, simply create a symbolic link the mkcls wrapper from Clustercat to the moses-training-tools directory, e.g.:

```
EXT_BIN_DIR=/path/to/moses-training-tools/
mv ${EXT_BIN_DIR}/mkcls mkcls-original
ln -s /path/to/clustercat/bin/mkcls ${EXT_BIN_DIR}/mkcls
```

#### 2.3 Phrase Table and Lexicalized Reordering Table Compression

Extending the classic dictionary-based compression methods, Junczys-Dowmunt (2012) proposed the phrasal rank encoding compression algorithm where repeated sub-phrases are replaced by pointers in the phrase dictionary which results in a reduction in phrase table size. At decompression, the sub-phrases are looked up and re-inserted based on the pointers.

Strangely, Moses implementation of MERT releases the phrase table and lexicalized reordering tables after every cycle and reload it when attempting to decode the development data with the updated feature parameters. A reduced phrase table size would not only speed up the table loading in decoding time but more importantly, it speeds up the table loading at every MERT epoch.

The table compression tools are found in the Moses binary directory and can be activated while filtering the phrase table and lexicalized reordering table using -Binarizer option as shown below:

```
${MOSES_SCRIPT}/training/filter-model-given-input.pl \
   ${MODEL_DIR}.filtered/dev \
   ${MODEL_DIR}/moses.ini \
   ${DEV_F} \
   -Binarizer ${MOSES_BIN_DIR}/processPhraseTableMin ${MOSES_BIN_DIR}/processLexicalTableMin \
   -threads ${JOBS}
```

<sup>&</sup>lt;sup>9</sup>--giza-option allows users to use train with other word alignment models <sup>10</sup>https://github.com/jonsafari/clustercat

#### **3** Results

Team	Other Resources	System	BLEU	HUMAN
JAPIO	JAPIO corpus	PBMT with pre-ordering	58.66	46.25
NTT	-	NMT with bidi-LSTM	44.99	46.50
NTT	-	PBMT with pre-ordering	40.75	39.25
SENSE	-	Vanilla PBMT (clustercat)	38.90	-
SENSE	-	Vanilla PBMT (mkcls)	38.75	-
ORGANIZER	-	Baseline PBMT	38.34	0

Table 1: Top Systems and Our Submissions to WAT2016 Patent Task (Chinese-Japanese)

Team	Other Resources	System	BLEU	HUMAN
NICT-2	ASPEC	PBMT with Preordering	34.64	14.00
		+ Domain Adaptation		
NICT-2	-	PBMT with Preordering	34.64	-11.00
		+ Domain Adaptation		
BJTU_NLP	-	NMT using RNN Encoder-	32.79	-1.00
		Decoder with attention		
SENSE	-	Vanilla PBMT (clustercat)	32.11	-
ORGANIZER	-	Baseline PBMT	32.03	0
SENSE	-	Vanilla PBMT (mkcls)	31.84	-

Table 2: Top Systems and Our Submissions to WAT2016 Patent Task (Japanese-Chinese)

Using the fast and light PBMT system described in the previous section, we submitted the system outputs to the WAT 2016 shared task (Nakazawa et al., 2016) for Japanese to Chinese patent translation task and the Indonesian to English news domain task<sup>11</sup>.

The Japan Patent Office (JPO) Patent corpus is the official resource provided for the Japanese-Chinese-Korean-English shared task. The training dataset is made up of 1 million sentences (250k each from the chemistry, electricity, mechanical engineering and physics domains). The Badan Pengkajian dan Penerapan Teknologi (BPPT) corpus is the official resource provided for the English-Indonesian shared task. The training dataset is made up of 1 million 50,000 training sentences from the general news domain.

Table 1 and 2 present our submission to the Japanese-Chinese Patent Task in WAT2016. Due to time constraint, we were not able to make the submission in time for the manual evaluation. Looking at the BLEU scores, we achieved relatively close BLEU scores for both translation directions as compared to the organizers' PBMT baseline.

From Table 1, we see that the NMT system achieved the best HUMAN score given a lower BLEU<sup>12</sup>, this reinforced the rise of NMT era. More importantly, we see a huge difference in JAPIO's PBMT BLEU score (58.66) and NTT's NMT BLEU score (58.66) but both system achieved similar HUMAN scores. The same disparity in BLEU and HUMAN scores is evident from Table 2 where both NICT-2 PBMT systems (one trained with additional ASPEC corpus and the other without) scored 34.64 BLEU but the HUMAN score disparity ranges from -11.00 to +14.00. Such disparity reiterated the disparity between *n*-gram based metric and human evaluation in Tan et al. (2015a).

<sup>&</sup>lt;sup>11</sup>In previous editions of WAT (Nakazawa et al., 2014; Nakazawa et al., 2015), we had participated using similar PBMT system in the English-Japanese-Chinese scientific text translation task using the ASPEC corpus, our results had been presented in Tan and Bond (2014) and Tan et al. (2015b) and in the Korean-English patent translation task using the JPO corpus (Tan et al., 2015a)

<sup>&</sup>lt;sup>12</sup>Reported BLEU scores on JUMAN tokenizer

Team	System	BLEU	HUMAN
SENSE	Vanilla PBMT (clustercat)	25.31	1.250
SENSE	Vanilla PBMT (mkcls)	25.16	-2.750
ORGRANIZER	Online A	24.20	35.75
ORGRANIZER	Baseline PBMT	23.95	0
IITB	Bilingual Neural LM	22.35	-9.250
ORGRANIZER	Online B	18.09	10.50

Table 3: Results of WAT2016 English-Indonesian News Domain Task

Team	System	BLEU	HUMAN
ORGANIZER	Online A	28.11	49.25
SENSE	Vanilla PBMT (clustercat)	25.97	-8.25
SENSE	Vanilla PBMT (mkcls)	25.62	-5.00
ORGANIZER	Baseline PBMT	24.57	0
IITB	Bilingual Neural LM	22.58	-
ORGANIZER	Online B	19.69	34.50

Table 4: Results of WAT2016 Indonesian-English News Domain Task

Table 3 and 4 presents the results for the Indonesian-English News Domain Task. From Table 3, we achieve the highest BLEU scores in the English-Indonesia direction with a difference of >1.0+ BLEU score with respect to the baseline PBMT provided by the organizers. However, our HUMAN scores show that the quality of our system output is only marginally better than the baseline. Comparatively, the online system A has similar BLEU scores to the organizer's baseline but achieved stellar HUMAN scores of +35.75. Table 4 shows the results for the English-Indonesian task, the online system A and B achieved the best HUMAN scores. In both directions, we see the same automatic *vs* manual evaluation disparity from System B's low BLEU and high HUMAN scores and from our system's high BLEU and low/marginal HUMAN scores.

### 4 Conclusion

We motivate and describe the steps to build a fast and light phrase-based machine translation model that achieved comparable results to the WAT2016 baseline. We hope that our baseline system helps new MT practitioners that are not familiar with the Moses ecology<sup>13</sup> to build PBMT models. The full training script is available on https://github.com/alvations/vanilla-moses/blob/master/train-vanilla-model.sh.

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<sup>&</sup>lt;sup>13</sup>Our Vanilla PBMT system is complimentary to the steps described in http://www.statmt.org/moses/?n=Moses.Tutorial

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