# Search Methods in Natural Language Processing

(http://www.dfki.de/~horacek/search-NLP.html)

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No lectures on 9. & 16. May, 13. & 27. June Possible day times for about 3 extra lectures

# WHAT IS SEARCHING?

# CHARACTERIZATION OF SEARCHING (in abstract terms)

#### Goal states

Set of desirable situations (typically defined by descriptive conditions)

#### Initial states

**Set of existing situations (accessible from the start)** 

#### Solution

Path from an initial state to a goal state (by sequentially applying operators)

## WHY SEARCHING IS NECESSARY

#### Problem size

**Number of applicable operators (breadth)** 

Length of a path to the solution (depth)

## Effort to uncover a solution

Cost of performing the search until successful

#### Problem structure

Considerable differences in dependency of approaches taken

# WHEN IS SEARCHING BENEFICIAL?

# CONTRIBUTORS TO THE SUCCESS OF SEARCHING

## Knowledge

Information about problem structure (concrete problem or domain knowledge)
Regularities present and/or heuristic assessements meaningful

## Expectation

Solution properties known or can be evaluated

## **Technique**

Systematic approach exploiting the above factors in the general case

# WHAT SEARCHING IS NOT

Unsystematic approach

"I have a solution, but it does not fit to the problem"

Garfield

# CHARACTERIZATION OF SEARCH METHODS (in general terms)

Search strategy

Ways to explore the search space (essential differences among strategies)

Solution quality

If differences among goal state assessments exist, improvements are possible

Search effort

Proportional to the problem complexity; dependent on solution quality

## NATURAL LANGUAGE PROCESSING AS SEARCH

## Problem definition

**Expressing the problem in terms of states and operators** 

Goal specification

Relating solution quality to search effort required

Search strategy

Adopting procedures that envision the goal within given specifications (exploiting properties of natural languages, dependencies, ...)

# AN EXAMPLE TASK: GENERATING REFERRING EXPRESSIONS

#### Given

A set of objects, descibed in terms of entries in a knowledge base

## Goal specification

A referring expression that identifies the intended referent(s) most naturally

## Search strategy

Incrementally build referring expressions and test their suitability

## TERMINOLOGY

Intended referent

the entity to be described/ to be identified uniquely

Descriptor

an attribute or a relation applicable to an entity

Distinguishing description

a description only appying to the intended referent

Context set

the entities in the current focus of attention

Contrast set (potential distractors)

the entities in the context set other than the intended referent

Discriminatory power

degree of discrimination achievable by a descriptor

# ALTERNATIVE OPTIONS

## Problem space definition

Solution in terms of surface expressions or elements of the knowledge base

## Goal specification

**Expression that is adequate and efficient (both factors need interpretation)** 

## Search strategy

Depth-first, breadth-first, best-first, with iterative combinations

# A GENERIC VIEW (Bohnet & Dale, IJCAI 2005)

Initial state

<empty expression, all distractors, all properties of the intended referent(s)>

Goal state

<chosen properties, no distractors, remaining properties>

Search strategy

combination of expansion, queuing, and cost computation

# A FIRST ALGORITHM - FULL BREVITY (Dale 1989)

## **Functionality**

Incrementally computes combinations of properties with increasing length Alternative: Initial goal state chosen, improved by leaving out descriptors

## Search strategy

Essentially breadth-first, cost (implicitly) not considered

#### Assessment

Finds optimal solution, computationally expensive

# A POINT OF CRITIQUE

## Evidence by psychological experiments

• humans produce "unnecessary" modifiers (Levelt 1989)

objects  $x_1$ : bird, white

x<sub>2</sub>: cup, white

x3: cup, black

(often) "white bird" instead of "bird"

- humans produce expressions incrementally (Pechmann 1989)
- properties are recognizable with varying speed (color better than shape)
- situation-independent preference strategies

# THE INCREMENTAL ALGORITHM (Dale, Reiter 1995)

## **Functionality**

Incrementally computes adds descriptors that have some discriminatory power Ordering of descriptors according to domain-specific preferences

### Search strategy

Pure depth-first, cost (implicitly) considered potentially high

#### Assessment

Finds reasonable, not always optimal solution, computationally efficient

## A NON-OPTIMAL EXAMPLE

#### Goal

### Identify cup<sub>1</sub>

#### Context set

```
<size,big>,
                               <color, red>,
                                                    <material, plastic>
cup<sub>1</sub>:
          <size,small>,
                               <color, red>,
                                                    <material,plastic>
cup<sub>2</sub>:
          <size,small>,
                               <color, red>,
                                                    <material,paper>
cup3:
          <size,middle>,
                                                    <material,paper>
                               <color, red>,
cup4:
          <size,big>,
                               <color, green>,
                                                    <material,paper>
cup5
          <size,big>,
                               <color, blue>,
                                                    <material,paper>
cup<sub>6</sub>:
          <size,big>,
                               <color, blue>,
                                                    <material,plastic>
cup7:
```

#### Search result

<material,plastic> first chosen, but minimal description is "the big red cup"

## DIFFERENT INTERPRETATIONS OF EFFICIENCY

## Interpretation Complexity

Full Brevity (Dale 1989)	<b>NP-hard</b>	$\approx n_a n_l$
Greedy Heuristic (Dale 1989)	polynomial	$\approx n_a n_d n_l$
<b>Local Brevity (Reiter 1990)</b>	polynomial	$\approx n_a n_d n_l$
Incremental Algorithm (Dale, Reiter 1991)	polynomial	$\approx n_d n_l$

n<sub>a</sub> ... number of descriptors applicable to the intended referent

 $n_d$  ... number of potential distractors

 $n_l$  ... number of attributes in the generated referring expression

# EXTENSION 1 - RELATIONS (Dale, Haddock 1991)

## **Functionality**

Descriptors can also express relations to other objects Identification task may be handed over to a related object

Search strategy

Originally pure depth-first

#### Assessment

Computationally efficient, but solution quality may be critical

## PROBLEMS WITH RELATIONS

Influence of knowledge representation

Discriminatory power of some descriptors "delayed"

Search strategy

Limit embeddings – depth-first combined with breadth-first Recursion of algorithm to related objects needs modification

Task embedding of descriptor selection

Realization potential on the surface must be anticipated

# EXTENSION 2 - SETS OF OBJECTS (van Deemter 2000)

## **Functionality**

Descriptors are extended to boolean combinations

Iteration over number of elements in a boolean combination

### Search strategy

**Breadth-first within iterative deepening** 

#### Assessment

Computationally efficient, but solution quality may be very low

# INCREASED REPERTOIRE OF EXPRESSIVENESS

## An example scenario

descriptors/objects	$x_0$	$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$	$x_7$	$x_8$	<b>x</b> 9	$x_{10}$	$x_{11}$	$x_{12}$
vehicle		•	•	•	•	•	•	•	•	•	•	•	•
car				•	•	•	•			•	•	•	•
sportscar						•	•					•	•
truck		•	•					•	•				
blue			•							•			•
red					•		•	•	•		•	•	
white		•		•		•							
center					•	•				•		•	
left			•						•		•		•
right		•		•			•	•					
big		•	•	•							•	•	•
small					•	•	•	•	•	•			
new		•			•	•			•		•		•
old			•	•			•	•		•		•	

# PROBLEMS WITH SETS OF OBJECTS

## Complexity of expressions

Up to 8 descriptors for the scenario with 12 objects

## Extreme example

"the cars which are not blue, are old or stand in the center, are new or stand on the right side, are big or not white, and are small or not red"

108110 msec, identifying  $x_3$ ,  $x_4$ , and  $x_6$  out of 25 vehicles

#### Measures

Other search methods (full computation, best-first)

Splitting the task into subgroups of intended referents

## PARTITIONING INTENDED REFERENTS INTO SUBSETS

### Transforming descriptions to reduce disjunctions

In  $\wedge_{i=1,n}(V_{j=1,m} P_{ij})$  for several *i* non-atomic expressions likely

Picking one disjunction  $(V_{j=1,mk} P_{kj})$  and transforming it according to distributivity

Yielding  $V_{j=1,mk}$   $(P_{kj} \land_{i=1,n\neq k} (V_{j=1,m} P_{ij}))$ 

### Example

"the sportscars that are not red and the small trucks"

Identifying  $x_5$ ,  $x_7$ ,  $x_8$ , and  $x_{12}$  in two components, as opposed to

"the vehicles that are a sportscar or small are either a truck or not red"

An involved one-shot identification

## RECASTING DESCRIPTIONS

## **Techniques**

Partitioning a description according to descriptors and referents

Simplifications by eliminating non-existing combinations

## Example

 $\{x_5, x_7, x_8, x_{12}\}\ identified by (sportscar <math>\lor small) \land (truck \lor \neg red)$ 

3 possible partitionings, according to subexpression chosen and objects it covers

1. (sportscar  $\land$  (truck  $\lor \neg red$ ))  $\lor$  (small  $\land$  (truck  $\lor \neg red$ )) for  $\{x_{12}\}, \{x_5, x_7, x_8\}$ 

2. (sportscar  $\land$  (truck  $\lor \neg red$ ))  $\lor$  (small  $\land$  (truck  $\lor \neg red$ )) for  $\{x_5, x_{12}\}, \{x_7, x_8\}$ 

3. (truck  $\land$  (sportscar  $\lor$  small))  $\lor$  ( $\neg$ red  $\land$  (sportscar  $\lor$  small)) for  $\{x_7, x_8\}, \{x_5, x_{12}\}$ 

2. and 3. (not 1.) can be simplified to (truck ^ small) v (¬red ^ sportscar)

# FURTHER ISSUES IN GENERATING REFERRING EXPRESSIONS

**Descriptions with relations between objects** 

**Expressions referring to sets of objects (including disjunctions of descriptors)** 

**Multimodal referring expressions** 

Uncertainties about the recognition/knowledge of the addressee

**Implicature of expressions** 

Guiding the focus of attention

Integration into the whole generation task (e.g., surface realization)

## TWO INTERPRETATIONS OF SEARCHING

1. Performing systematic searches efficiently

Homogenous search spaces

(e.g., syntactic processing, statistical optimization)

2. Organizing a context-dependent construction process

**Heterogenous search spaces** 

(e.g., natural language generation from a communicative intention)

## PLAN FOR THE LECTURE

#### Introduction

Syntactic/surface-oriented methods

Syntactic parsing

Syntactic generation

Discourse interpretation

Machine translation methods

Symbolic processing

Statistical processing

Stochastic generation

Natural language generation (sentence planning)

Aggregation

Generating referring expressions

Architectural concerns

The overall generation process - text planning

Specific issues in dialog systems