

# Syntactic generation

*Problems with syntactic generation*

*Generation algorithms – techniques and efficiency*

# THE ROLE OF NATURAL LANGUAGE GENERATION

(here: syntactic generation, compared to parsing)

## *History*

**Considered trivial for a long time (in comparison to parsing)**

**Became an issue in connection with unification-based grammars**

**First simple attempts to reuse parsing tools turned out very badly**

## *Problems*

**Underspecification is a typical problem (for building input representations)**

**Expressibility (also for building input representations)**

**Efficiency**

**Exploiting reuse potential (bi-directional grammars)**

# A FIRST APPROACH “SHAKE 'N BAKE” (Whitelock 1988)

## *Motivation*

**Very flexible – all combinations considered prior to testing feasibility**

**Originally used within symbolic machine translation**

## *Functionality*

**Lexical entries are retrieved from lexicon by semantic relations of the input**

**All combinations of all words and phrases are tried by a shift-reduce parser**

**All phrases are returned which use up all of the input semantics**

## *Assessment*

**Virtually no information about semantic relations in the input specification**

**Can be very expensive**

# SEMANTIC HEAD-DRIVEN GENERATION

## (Shieber et al. 1990)

### *Motivation*

**Same problems with top-down generation as with top-down parsing**

**Feasible bottom-up generation requires semantic monotonicity - strong**

### *Functionality*

**Combined top-down and bottom-up traversal oriented on semantic head node**

**Looks for “pivot” – “lowest” node which shares semantics with root**

**Tries to connect “pivot” to root node**

**Recursively expands sister nodes in the course of the connection to root**

### *Assessment*

**Rather efficient**

**Requirements on grammars - semantic headedness**

# THE ALGORITHM (1)

## THE TOP-LEVEL PROCEDURE

**It consists of three subprocedure calls:**

**generate(Root) :-**

*% choose non-chain rule*

**applicable\_non\_chain\_rule(Root,Pivot,RHS),**

*% generate all subconstituents*

**generate\_rhs(RHS),**

*% generate material on path to root*

**connect(Pivot,Root).**

## THE ALGORITHM (2)

### THE RECURSIVE CALL VIA RIGHT HAND SIDES

**It consists of a base case and a simple recursive call:**

**generate\_rhs([]).**

**generate\_rhs([First | Rest]) :-**

**generate(First),**

**generate\_rhs(Rest).**

## THE ALGORITHM (3)

### CONNECTING THE PIVOT TO THE ROOT

**It consists of a base case and the general one, with three subprocedure calls:**

**connect(Pivot,Root) :-**

*% choose chain rule*

**applicable\_chain\_rule(Pivot,LHS,Root,RHS),**

*% generate remaining siblings*

**generate\_rhs(RHS),**

*% connect the new parent to the root*

**connect(LHS,Root).**

**connect(Pivot,Root) :-**

*% trivially connect pivot to root*

**unify(Pivot,Root).**

## THE ALGORITHM (4)

### FIND APPLICABLE NON-CHAIN RULES

**It checks the semantics and picks a suitable rule:**

**applicable\_non\_chain\_rule(Root,Pivot,RHS) :-**

```
    % semantics of root and pivot are the same  
    node_semantics(Root,Sem),  
    node_semantics(Pivot,Sem),  
    % choose a nonchain rule  
    non_chain_rule(LHS,RHS),  
    % ... whose lhs matches the pivot  
    unify(Pivot,LHS),  
    % make sure the categories can connect  
    chained_nodes(Pivot,Root).
```



## THE ALGORITHM (5)

### FIND APPLICABLE CHAIN RULES

**It picks a suitable rule and tests it:**

**applicable\_chain\_rule(Pivot,Parent,Root,RHS) :-**

*% choose a chain rule*

**chain\_rule(Parent,RHS,SemHead),**

*% ... whose semantic head matches the pivot*

**unify(Pivot,SemHead),**

*% make sure the categories can connect*

**chained\_nodes(Parent,Root).**

# AN EXAMPLE (1)

## FRAGMENT OF A TOY GRAMMAR

### *Conventions*

**“/” separates syntax and semantics**

**subcategorization for complements performed lexically**

**Sentence/decl(S)  $\rightarrow$  s(finite)/S. (1)**

**Sentence/imp(S)  $\rightarrow$  vp(nonfinite[np(\_)/you])/S.**

**s(form)S  $\rightarrow$  s(finite)/S. (2)**

**vp(Form,Subcat)/S  $\rightarrow$  vp(Form,[Compl | Subcat])/S,Compl. (3)**

**vp(finite,[np(\_)/O,p/up,np(3-sing)/S])/call\_up(S,O)  $\rightarrow$  calls. (4)**

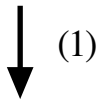
**np(3-sing)john  $\rightarrow$  [john]. (5)**

**np(3-pl)friends  $\rightarrow$  [friends]. (6)**

**p/up  $\rightarrow$  [up]. (7)**

## AN EXAMPLE (2)

sentence  
/decl(call\_up(john,friends))



s(finite)  
/call\_up(john,friends)

**Generation starting with the category**

*sentence*

**and the semantics**

*decl(call\_up(john,friends))*

**which ultimately yields**

**“John calls friends up”**

**The first step is finding a nonchain rule**

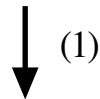
**that will define the pivot (rule (1))**

**resulting in**

*s(finite)/call\_up(john,friends)*

## AN EXAMPLE (3)

sentence  
/decl(call\_up(john,friends))



s(finite)  
/call\_up(john,friends)

**Generation continues recursively  
from the child node**

*s(finite)/call\_up(john,friends)*

**The next step is finding a nonchain rule  
that will define the pivot (rule (4))  
resulting in a temporarily dangling node:**

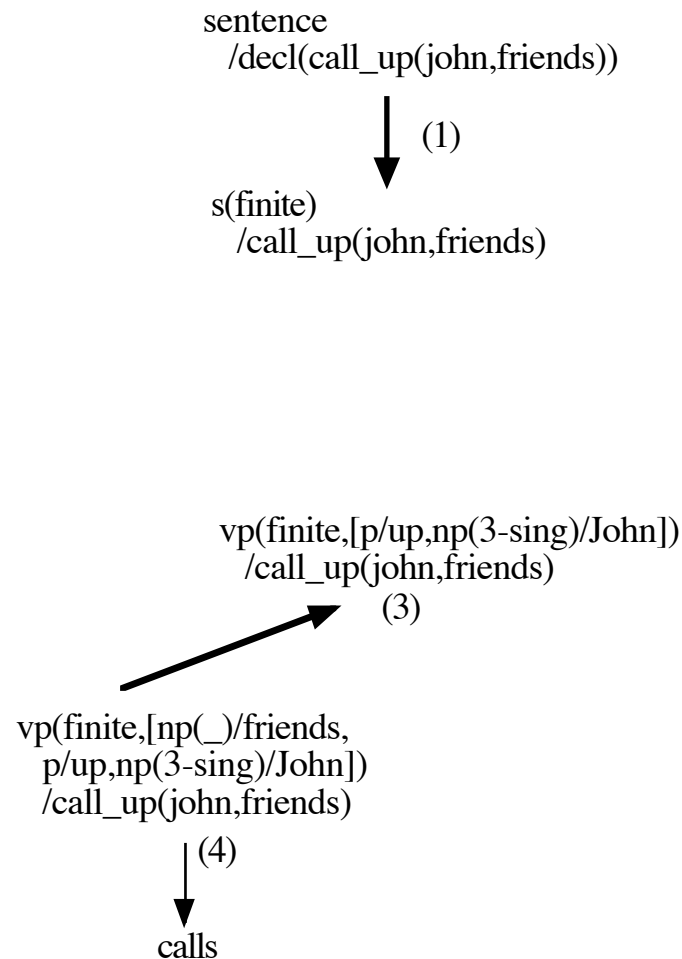
*vp(finite,[np(\_)/O.p/up,np(3-sing)/S])  
/call\_up(S,O)*

vp(finite,[np(\_)/friends,  
p/up,np(3-sing)/John])  
/call\_up(John,friends)



calls

## AN EXAMPLE (4)



**Next, the pivot**

*vp(finite,[np(\_)/friends.  
p/up,np(3-sing)/John])  
/call\_up(John,friends)*

**must be connected to the root**

*s(finite)/call\_up(john,friends)*

**The only suitable chain rule**

**with matching semantic head is (3)**

**resulting in another node one level up:**

*vp(finite,[p/up,np(3-sing)/John])  
/call\_up(John,friends)*

## AN EXAMPLE (5)

sentence  
/decl(call\_up(john,friends))



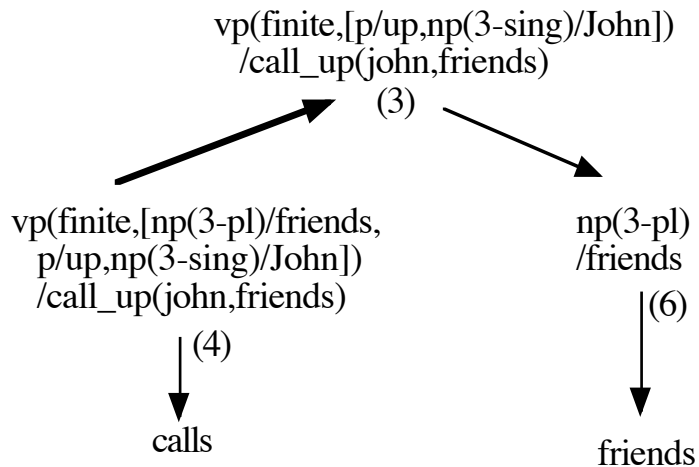
s(finite)  
/call\_up(john,friends)

**Unifying the pivot, recursive generation of the remaining RHS element**

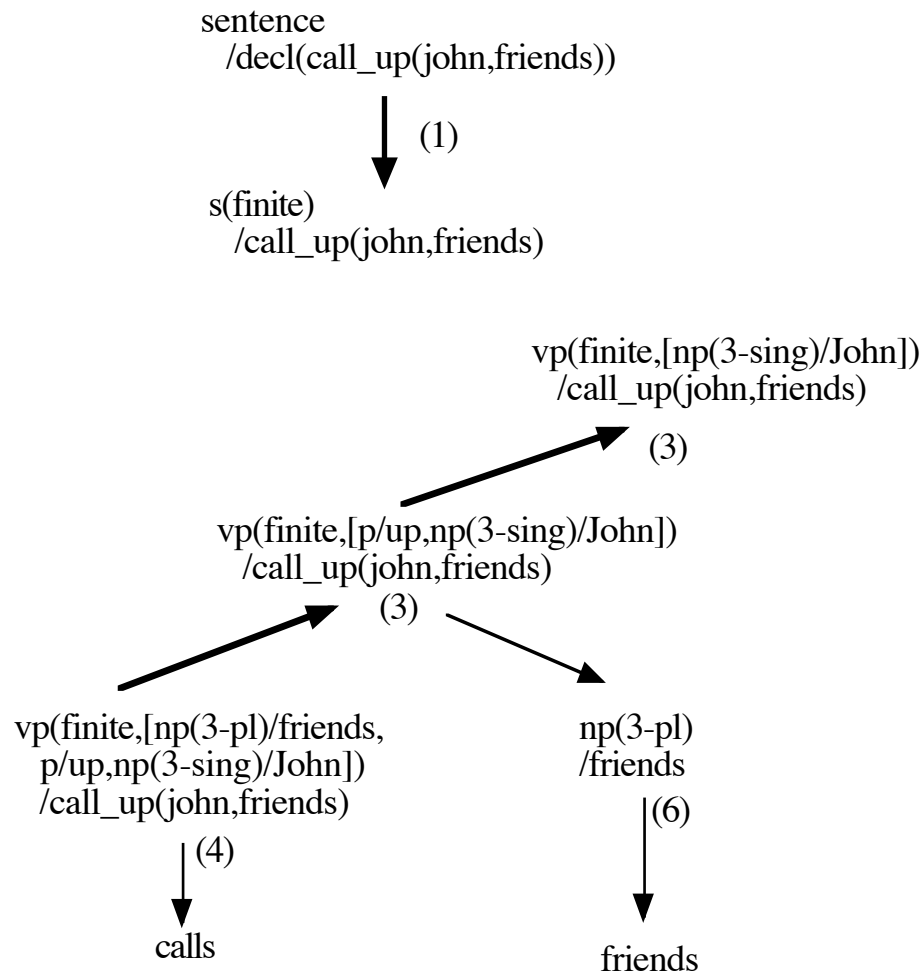
*np(\_)/friends*

**must be carried out, by rule (6)**

**Application of this rule yields the number of this constituent which is percolated in the tree through unification**



## AN EXAMPLE (6)



**Again, the pivot**

*vp(finite,[p/up,np(3-sing)/John])*  
*/call\_up(John,friends)*

**must be connected to the root**

*s(finite)/call\_up(john,friends)*

**The only suitable chain rule  
with matching semantic head still is (3)  
resulting in another node one level up:**

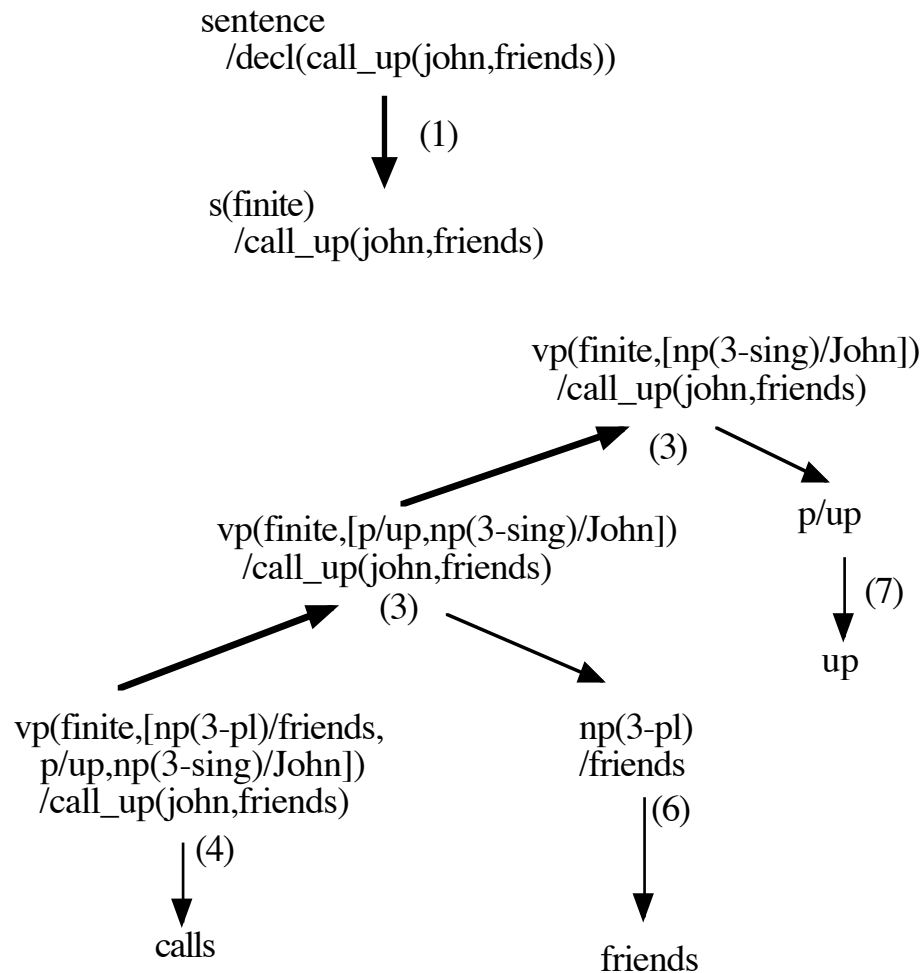
*vp(finite,[np(3-sing)/John])*  
*/call\_up(John,friends)*

## AN EXAMPLE (7)

**Again, unifying the pivot,  
recursive generation of  
the remaining RHS element**

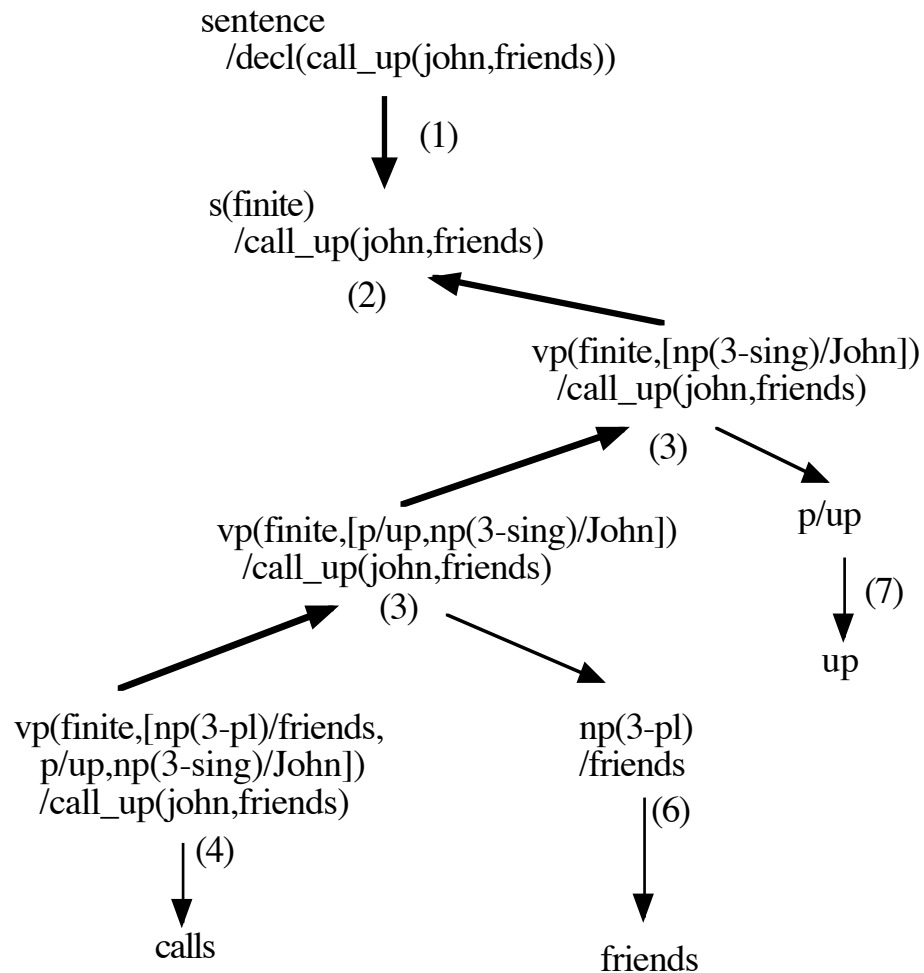
*p/up*

**must be carried out, by rule (7)**





## AN EXAMPLE (8)



**Ultimately, the pivot**

*vp(finite,[np(3-sing)/John])*  
*/call\_up(John,friends)*

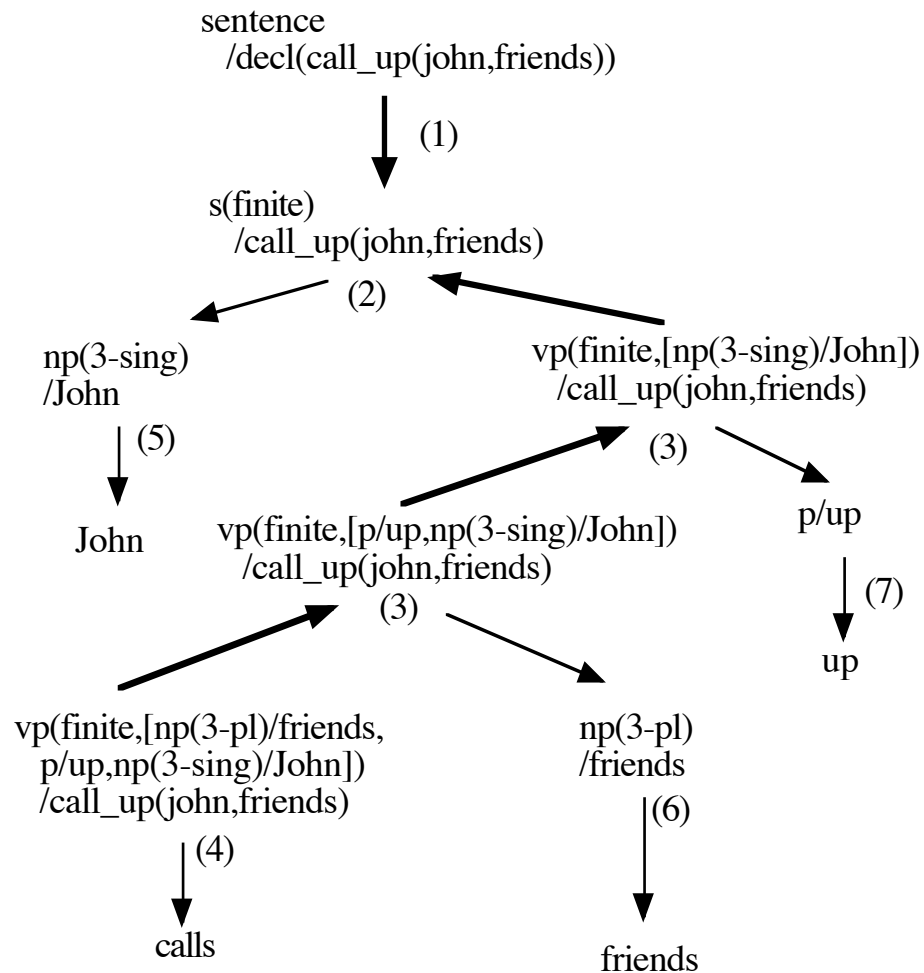
**can be connected to the root**

*s(finite)/call\_up(john,friends)*

**The only suitable chain rule**

**with matching semantic head here is (2)**

## AN EXAMPLE (9)



**Finally, recursive generation of the LHS element**

*np(\_)/John*

**must be carried out, by rule (5)**

**Moreover, the pivot**

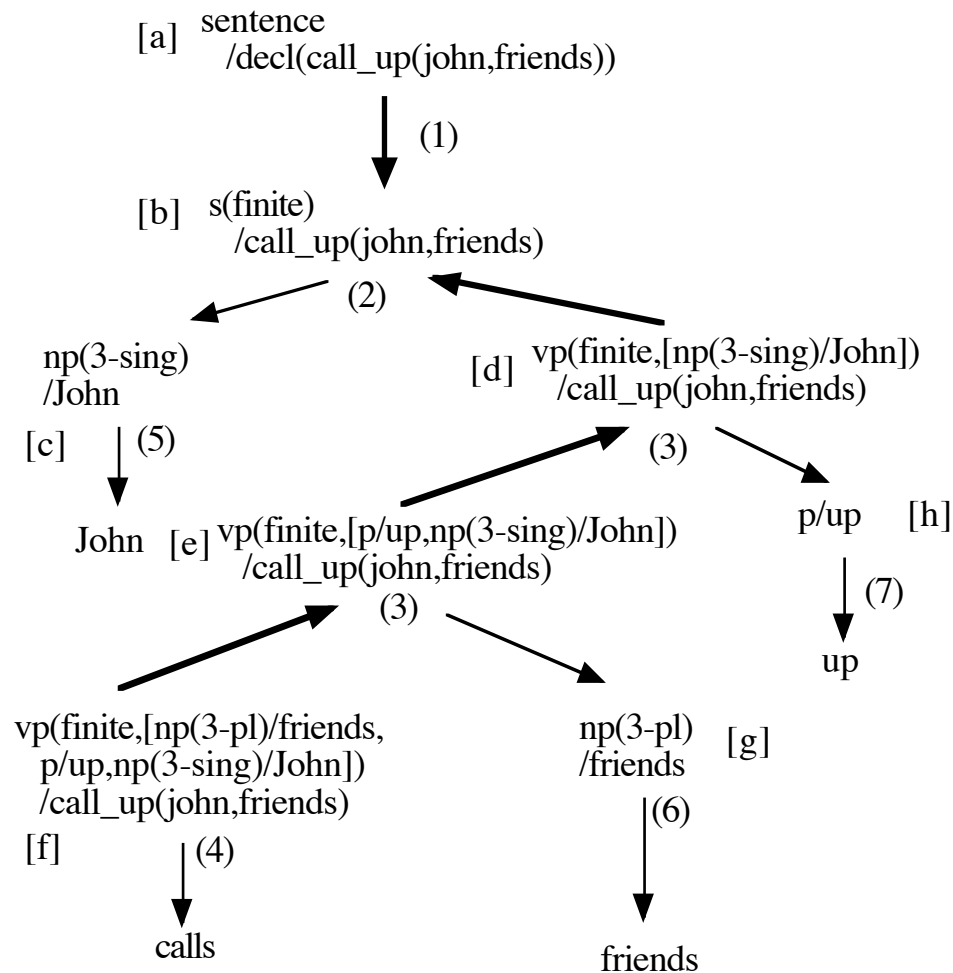
*s(finite)/call\_up(john,friends)*

**can be connected to the root**

*s(finite)/call\_up(john,friends)*

**via identity**

## THE EXAMPLE – SUMMARY



*Semantics*

**decl(call\_up(john,friends))**

*Sentence*

**“John calls friends up”**

*Order of processing*

- 1. Expand pivot [a] to [b]**
- 2. Pivot for [b] is [f]**
- 3. Connecting to [b] goes over [e]**
- 4. Recursive expansion to [g]**
- 5. Further connecting to [b] goes over [d]**
- 6. Recursive expansion to [h]**
- 7. Recursive expansion to [c]**

# THE CHART AS A DATA STRUCTURE

## *Components - edges*

**A two-dimensional matrix of *edges***

**Edges are possibly partial rule instantiation over a substring**

**Edges are indexed by start and end string positions**

## *Properties of edges*

**Dot in a rule right-hand side indicates degree of completion**

***Active* edges (incomplete items) partial right-hand side**

***Passive* edges (complete items) full right-hand side**

## *Fundamental rule*

**$[n_1, n_2, A \rightarrow B_1 \dots B_{i-1} \bullet B_i \dots B_n]$  and  $[n_2, n_3, B_i \rightarrow C^+ \bullet]$**

**yields  $[n_1, n_3, A \rightarrow B_1 \dots B_i \bullet B_{i+1} \dots B_n]$**

## CHART PARSING – 3 OPERATORS

### *Predictor*

**Applied to state with a non-terminal at right of the dot – rule expansion**

**S -> • VP, [0,0] yields states VP -> • Verb, [0,0] and VP -> • Verb NP, [0,0]**

### *Scanner*

**Applied to state with a terminal at right of the dot – top-down input**

**Supports disambiguation of input**

**VP -> • Verb NP, [0,0] yields state VP -> Verb • NP, [0,1]**

### *Completer*

**Applied to state with the dot in the rightmost position – rule completion**

**Completer looks at states with adjacent position expecting the category parsed**

**NP -> Det Nominal •, [1,3] & VP -> Verb • NP, [0,1] gives VP -> Verb NP •, [0,3]**

# MODIFYING A CHART FOR GENERATION PURPOSES

## (Kay 1996)

### *Motivation*

**Exploiting the chart for avoiding recomputation**

**Processing strategy in dependency of the state of the chart**

### *Functionality*

**Chart is organized by semantic index values rather than by string positions**

**Each active edge is looking for a passive edge with the right index**

**A successful result is a passive edge that “uses up” all of the input semantics**

### *Assessment*

**Much better than naive searching, but still some specific problems**

## AN EXAMPLE (1)

### *Example input expression*

**r:run(r),past(r),fast(r),arg1(r,j),name(j,John)**

### *Example grammar*

**s(x) -> np(y) vp(x,y)**

**vp(x) -> vp(x) adv(x)**

### *Lexicon entries – instantiating relevant ones yields the initial state of the chart*

<b>John</b>	<b>np(x)</b>	<b>x:name(x,John)</b>
<b>ran</b>	<b>vp(x,y)</b>	<b>x:run(x),arg1(x,y),past(x)</b>
<b>fast</b>	<b>adv(x)</b>	<b>x:fast(x)</b>
<b>quickly</b>	<b>adv(x)</b>	<b>x:fast(x)</b>

## AN EXAMPLE (2)

### *Processing steps*

**Interaction between “John” and “ran” yields (5)**

**Not finished, since not all input specifications have been consumed**

	<i>Word</i>	<i>Category</i>	<i>Semantics</i>
(1)	John	np(j)	j:name(j,John)
(2)	ran	vp(r,j)	r:run(r),arg1(r,j),past(r)
(3)	fast	adv(r)	r:fast(r)
(4)	quickly	adv(r)	r:fast(r)
(5)	John ran	s(r)	r:run(r),arg1(r,j),past(r) j:name(j,John)



## AN EXAMPLE (3)

### *Processing steps*

**Interaction between “ran” and “fast” yields (6)**

**Not finished, since no sentence found yet**

	<i>Word</i>	<i>Category</i>	<i>Semantics</i>
(1)	John	np(j)	j:name(j,John)
(2)	ran	vp(r,j)	r:run(r),arg1(r,j),past(r)
(3)	fast	adv(r)	r:fast(r)
(4)	quickly	adv(r)	r:fast(r)
(5)	John ran	s(r)	r:run(r),arg1(r,j),past(r) j:name(j,John)
(6)	ran fast	vp(r,j)	r:run(r),arg1(r,j),past(r),fast(r)

## AN EXAMPLE (4)

### *Processing steps*

Interaction between “ran” and “quickly” yields (7)

Not finished, since not all input specifications have been consumed

	<i>Word</i>	<i>Category</i>	<i>Semantics</i>
(1)	John	np(j)	j:name(j,John)
(2)	ran	vp(r,j)	r:run(r),arg1(r,j),past(r)
(3)	fast	adv(r)	r:fast(r)
(4)	quickly	adv(r)	r:fast(r)
(5)	John ran	s(r)	r:run(r),arg1(r,j),past(r) j:name(j,John)
(6)	ran fast	vp(r,j)	r:run(r),arg1(r,j),past(r),fast(r)
(7)	ran quickly	vp(r,j)	r:run(r),arg1(r,j),past(r),fast(r)

## AN EXAMPLE (5)

### *Processing steps*

**Interaction between “John” and “ran fast” yields (8) (similarly (9))**

**Finished, since all input specifications have been consumed**

	<i>Word</i>	<i>Category</i>	<i>Semantics</i>
(1)	John	np(j)	j:name(j,John)
(2)	ran	vp(r,j)	r:run(r),arg1(r,j),past(r)
(3)	fast	adv(r)	r:fast(r)
(4)	quickly	adv(r)	r:fast(r)
(5)	John ran	s(r)	r:run(r),arg1(r,j),past(r) j:name(j,John)
(6)	ran fast	vp(r,j)	r:run(r),arg1(r,j),past(r),fast(r)
(7)	ran quickly	vp(r,j)	r:run(r),arg1(r,j),past(r),fast(r)
(8)	John ran fast	s(r)	r:run(r),arg1(r,j),past(r),j:name(j,John),fast(r)
(9)	John ran quickly	s(r)	r:run(r),arg1(r,j),past(r),j:name(j,John),fast(r)

## PROCESSING THE EXAMPLE – SUMMARY

- 1. Lexical entries which subsume input specifications (variables instantiated)**
- 2. Moving *ran* to the chart after moving *John* there (5) is built, due to the S rule**
- 3. Since not all of the input is subsumed, it is put on the agenda**
- 4. Moving *fast* to the chart yields interaction with *ran* (6) due to the VP rule**
- 5. Moving *quickly* to the chart yields interaction with *ran* (7) due to the VP rule**
- 6. No interaction between the VPs (6) or (7) and the adverbs (3) or (4),  
since this would use parts of the semantic twice**
- 7. Interaction between *John* and either VP (6) or (7) yields a sentence so that**
  - the entire expression is used**
  - no specification is used twice**

# A PROBLEM WITH CHART GENERATION

## *The observation*

**Intersective modification cause efficiency problems**

**Many unwanted combinations with any order of modifiers built**

## *Reason*

**Both the syntactic category and the semantic index**

**compatible in structures before and after rule application**

**otherwise scope or syntactic ordering constraints prevent combinations**

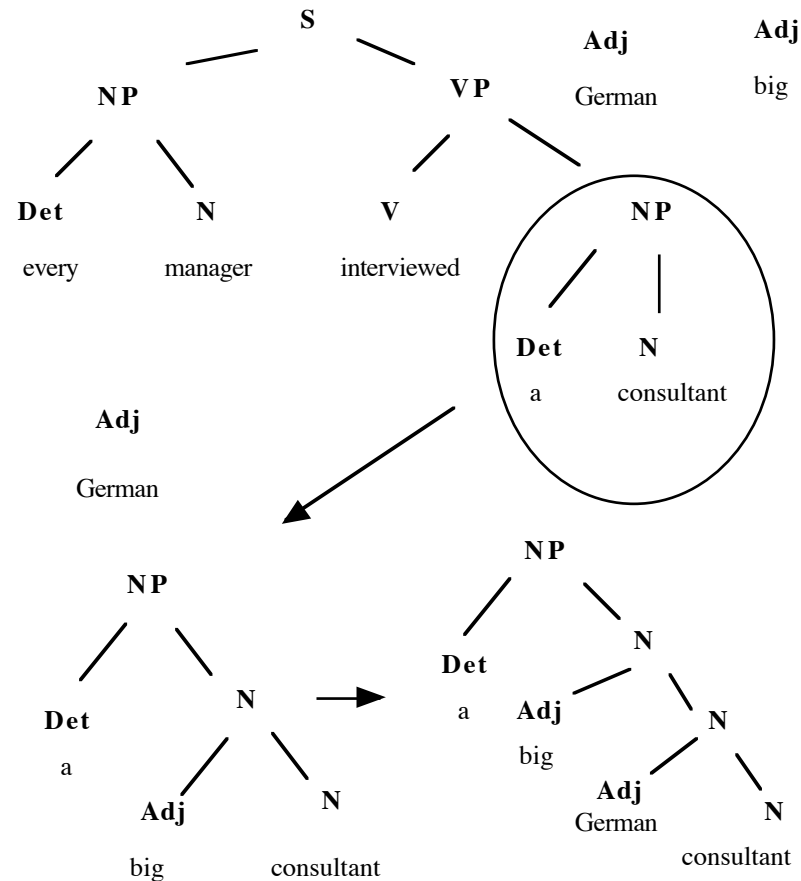
## *Measure*

**Separate the generation process in 2 phases**

**(Semi-lexicalist approach [Carroll et al. 1999])**

**Intersective modifiers adjoined in a postprocess (they do not change categories)**

# AN EXAMPLE (THE SEMI-LEXICALIST APPROACH)



*Phase 1*

**Processing without modifiers**

*Phase 2*

**Adjoining intersective modifiers**

**1. big**

**2. German**

---

# ASSESSING THE SEMI-LEXICALIST APPROACH

## *Efficiency measures*

<i>Corpus</i>	<i>Standard chart</i>	<i>Two phase generation</i>
<b>44 Short dialog examples</b>	<b>856 edges / 5.4 msec</b>	<b>501 edges / 3.3 msec</b>
<b>First sentence below</b>	<b>923 edges / 5.6 msec</b>	<b>314 edges / 1.8 msec</b>
<b>Second sentence below</b>	<b>4710 edges / 54.8 msec</b>	<b>776 edges / 4.3 msec</b>

**“a manager in that office interviewed a new consultant from Germany”**

**“our manager organized an unusual additional weekly department conference”**

**(modifier order not constrained by the Grammar, 4! x 2 strings generated)**

## *Coverage*

**Large grammar of English (including conjunction, extraposition, ellipsis)**

**Linguistic Grammars online: <http://hpsg.stanford.edu/hpsg/lingo.html>**

# FEATURING COORDINATE STRUCTURES (White 2004)

## *The general approach*

**Similar motivation as the semi-lexicalist approach**

**Different format of semantics and integrated process organization**

## *Some measures*

***Chunking* and *flattening* – identify subproblems (e.g., separate relative clause)**

**Efficient data structures in the implementation**

**Lexical loop up supported by *indexing* scheme**

**Edge *pruning* and anytime search to address relatively free word orders**

## *Efficiency*

**All measures contributing, best realizations found way under a second**

**OpenCCG realizer successfully used in two dialog systems**



# HANDLING DISJUNCTIVE INPUTS (White 2006)

## *Motivation*

**Language planning components produce sets of reasonable expressions**

- **Paraphrases with no preferences among them**
- **Alternatives within context widely interchangeable**
- **Surface realizer may decide**

## *Representation alternatives*

**Underspecified expressions**

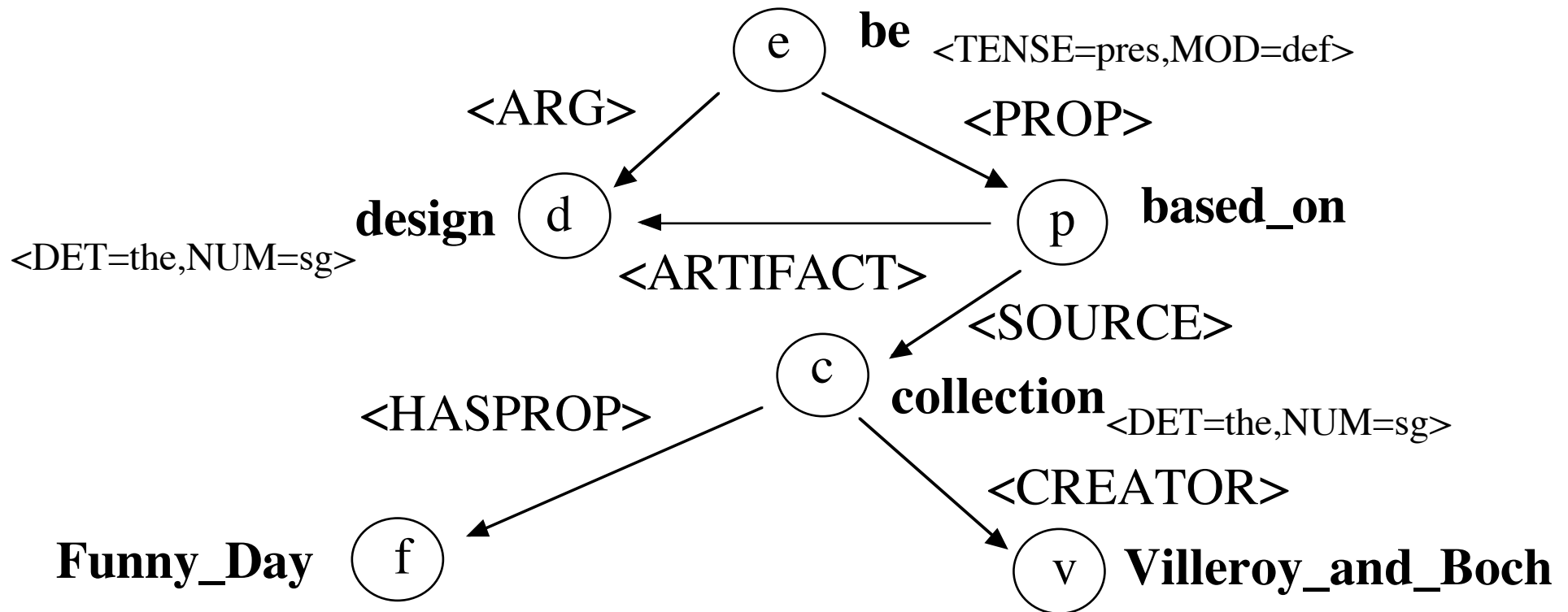
**Explicit disjunctions (the alternative used here)**

## *Functionality*

**Generate most alternatives in parallel (overlapping substructures)**

**Decide on the basis of corpus frequencies of surface expressions**

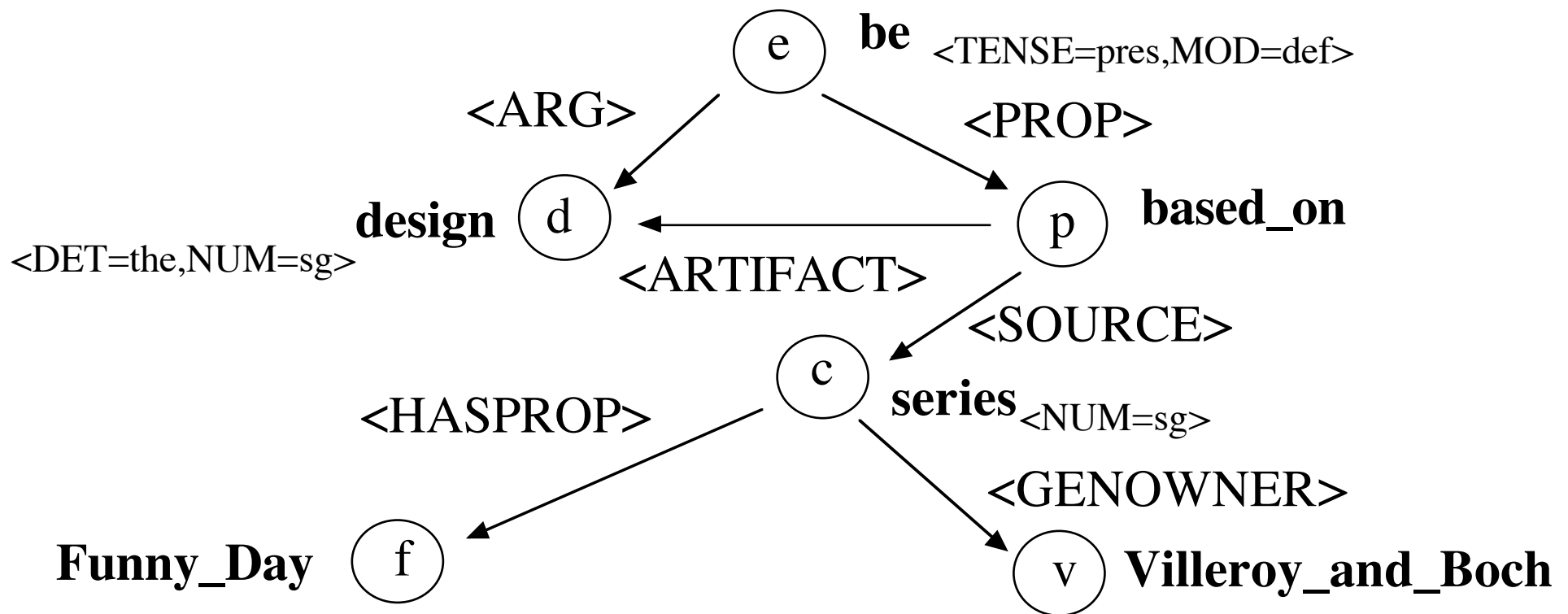
## EXAMPLE REPRESENTATION (1)



*Semantic dependency graph for*

**"The design is based on the Funny Day collection by Villeroy and Boch"**

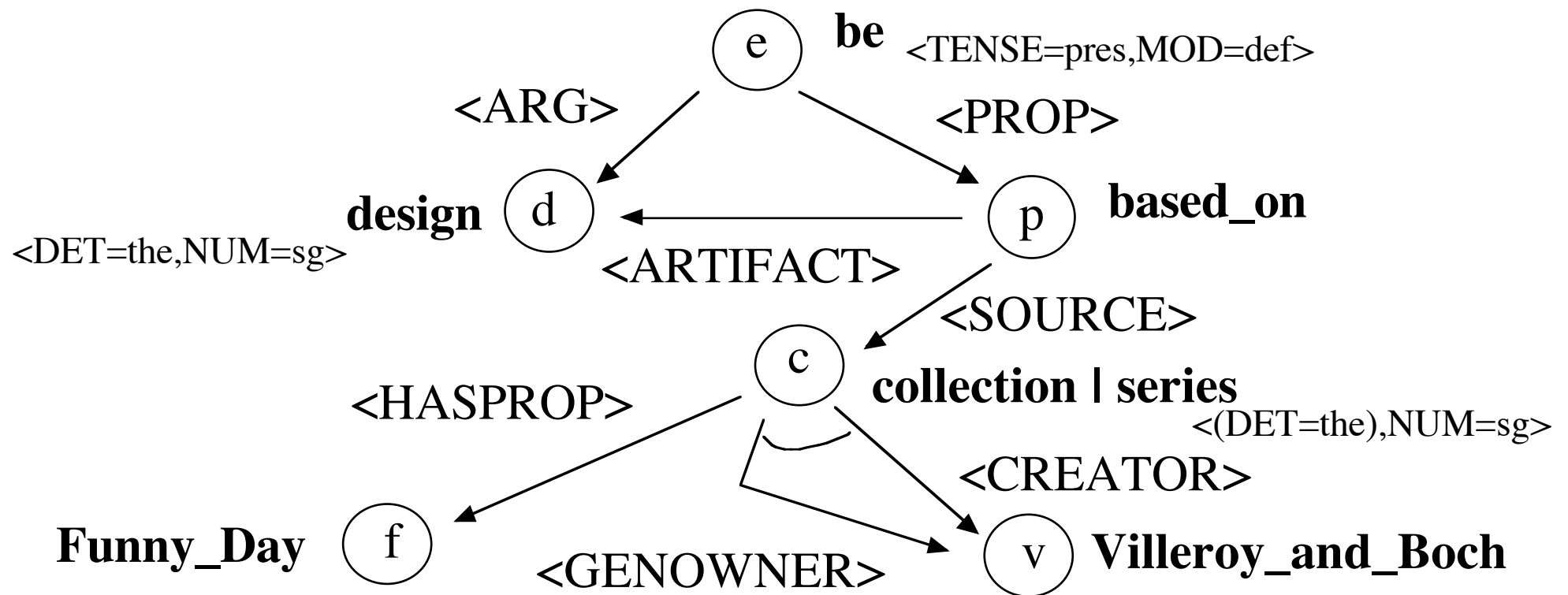
## EXAMPLE REPRESENTATION (2)



*Semantic dependency graph for*

**“The design is based on Villeroy and Boch's Funny Day series”**

## EXAMPLE REPRESENTATION (3)



*Disjunctive Semantic dependency graph covering*

“The design is based on (the Funny Day (collection | series)  
by Villeroy and Boch | Villeroy and Boch's Funny Day (collection | series))”

# THE PROCEDURE (SKETCH)

## *Flattening*

**Preprocessing step - array of elementary predications, alternations and options**

**Through tree traversal with incrementally building alternative groups**

## *Edges*

**Edges associated with bit vectors to record coverage of alternatives**

## *Lexical instantiation*

**Returns non-overlapping matches with coverage indicating bit vectors**

## *Derivation*

**Edges may be introduced as alternatives**

**Edge combination involves a coverage check**

## *Unpacking*

**Realizations recursively unpacked, filtering duplications**

# EVALUATION

## *Setting*

**Trigram language model used for scoring alternatives**

**Single best output and 10-best realizations**

**Efficiency gain measured against sequential processing**

## *Results*

	10-best two-stage		1-best anytime	
	time	edges	time	edges
<b>disjunctive</b>	<b>1.1</b>	<b>602</b>	<b>0.5</b>	<b>281</b>
<b>sequential</b>	<b>5.6</b>	<b>3550</b>	<b>4.1</b>	<b>2854</b>