# Syntactic generation

Problems with syntactic generation

Generation algorithms – techniques and efficiency

# THE ROLE OF NATURAL LANGUAGE GENERATION (here: syntactic generation, compared to parsing)

### **History**

Considered trivial for a long time (in comparison to parsing)

Became an issue in connection with unification-based grammars

First simple attempts to reuse parsing tools turned out very badly

#### **Problems**

Underspecification is a typical problem (for building input representations)

**Expressibility (also for building input representations)** 

**Efficiency** 

**Exploiting reuse potential (bi-directional grammars)** 

# A FIRST APPROACH "SHAKE 'N BAKE" (Whitelock 1988)

#### **Motivation**

Very flexible – all combinations considered prior to testing feasibility Originally used within symbolic machine translation

## **Functionality**

Lexical entries are retrieved from lexicon by semantic relations of the input All combinations of all words and phrases are tried by a shift-reduce parser All phrases are returned which use up all of the input semantics

#### Assessment

Virtually no information about semantic relations in the input specification Can be very expensive

# SEMANTIC HEAD-DRIVEN GENERATION (Shieber et al. 1990)

#### **Motivation**

Same problems with top-down generation as with top-down parsing Feasible bottom-up generation requires semantic monotonicity - strong

## **Functionality**

Combined top-down and bottom-up traversal oriented on semantic head node
Looks for "pivot" – "lowest" node which shares semantics with root
Tries to connect "pivot" to root node

Recursively expands sister nodes in the course of the connection to root

#### Assessment

Rather efficient

Requirements on grammars - semantic headedness

# THE ALGORITHM (1) THE TOP-LEVEL PROCEDURE

It consists of three subprocedure calls:

generate(Root) :-

% choose non-chain rule
applicable\_non\_chain\_rule(Root,Pivot,RHS),

% generate all subconstituents generate\_rhs(RHS),

% generate material on path to root connect(Pivot,Root).

# THE ALGORITHM (2) THE RECURSIVE CALL VIA RIGHT HAND SIDES

It consists of a base case and a simple recursive call:

generate\_rhs([]).

generate\_rhs([First | Rest]) :-

generate(First),

generate\_rhs(Rest).

# THE ALGORITHM (3) CONNECTING THE PIVOT TO THE ROOT

It consists of a base case and the general one, with three subprocedure calls:

connect(Pivot,Root) :-

% choose chain rule

applicable\_chain\_rule(Pivot,LHS,Root,RHS),

% generate remaining siblings

generate\_rhs(RHS),

% connect the new parent to the root

connect(LHS,Root).

connect(Pivot,Root) :-

% trivially connect pivot to root

unify(Pivot,Root).

# THE ALGORITHM (4) FIND APPLICABLE NON-CHAIN RULES

It checks the semantics and picks a suitable rule:

applicable\_non\_chain\_rule(Root,Pivot,RHS):-

% semantics of root and pivot are the same

node\_semantics(Root,Sem),

node\_semantics(Pivot,Sem),

% choose a nonchain rule

non\_chain\_rule(LHS,RHS),

% ... whose lhs matches the pivot

unify(Pivot,LHS),

% make sure the categories can connect chained\_nodes(Pivot,Root).

# THE ALGORITHM (5) FIND APPLICABLE CHAIN RULES

It picks a suitable rule and tests it:

applicable\_chain\_rule(Pivot,Parent,Root,RHS):-

% choose a chain rule

chain\_rule(Parent,RHS,SemHead),

% ... whose semantic head matches the pivot unify(Pivot,SemHead),

% make sure the categories can connect chained\_nodes(Parent,Root).

# AN EXAMPLE (1) FRAGMENT OF A TOY GRAMMAR

#### **Conventions**

 $p/up \rightarrow [up].$ 

"/" separates syntax and semantics subcategorization for complements performed lexically

Sentence/decl(S)  $\rightarrow$  s(finite)/S. (1) Sentence/imp(S)  $\rightarrow$  vp(nonfinite[np(\_)/you])/S. s(form)S  $\rightarrow$  s(finite)/S. (2) vp(Form,Subcat)/S  $\rightarrow$  vp(Form,[Compl | Subcat])/S,Compl. (3) vp(finite,[np(\_)/O,p/up,np(3-sing)/S])/call\_up(S,O)  $\rightarrow$  calls. (4) np(3-sing)john  $\rightarrow$  [john]. (5) np(3-pl)friends  $\rightarrow$  [friends]. (6)

**(7)** 

# AN EXAMPLE (2)

sentence
/decl(call\_up(john,friends))

(1)
s(finite)

/call\_up(john,friends)

Generation starting with the category

sentence

and the semantics

decl(call\_up(john,friends))

which ultimately yields

"John calls friends up"

The first step is finding a nonchain rule that will define the pivot (rule (1)) resulting in

s(finite)/call\_up(john,friends)

# AN EXAMPLE (3)

sentence
/decl(call\_up(john,friends))

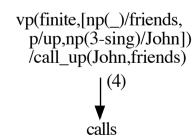
(1)
s(finite)
/call\_up(john,friends)

**Generation continues recursively from the child node** 

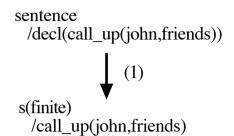
s(finite)/call\_up(john,friends)

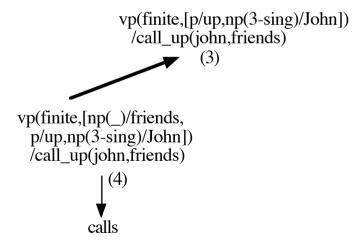
The next step is finding a nonchain rule that will define the pivot (rule (4)) resulting in a temporarily dangling node:

vp(finite,[np(\_)/O.p/up,np(3-sing)/S])
/call\_up(S,O)



# AN EXAMPLE (4)





## Next, the pivot

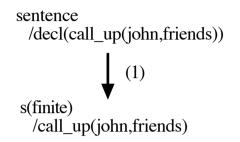
```
vp(finite,[np(_)/friends.
p/up,np(3-sing)/John])
/call_up(John,friends)
```

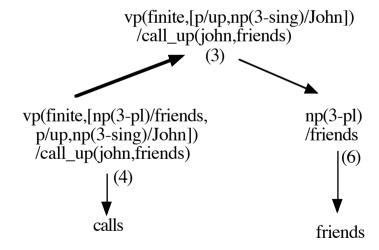
#### must be connected to the root

s(finite)/call\_up(john,friends)

The only suitable chain rule with matching semantic head is (3) resulting in another node one level up:

# AN EXAMPLE (5)



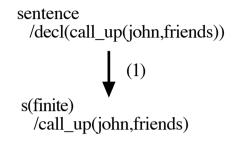


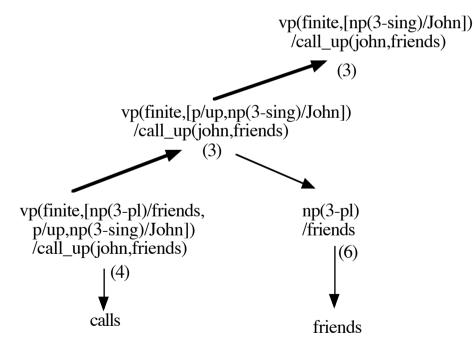
Unifying the pivot, recursive generation of the remaining RHS element

np(\_)/friends

must be carried out, by rule (6)
Application of this rule yields
the number of this constituent
which is percolated in the tree
through unification

# AN EXAMPLE (6)





## Again, the pivot

```
vp(finite,[p/up,np(3-sing)/John])
/call_up(John,friends)
```

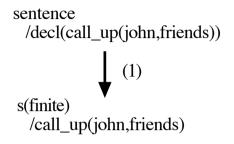
must be connected to the root

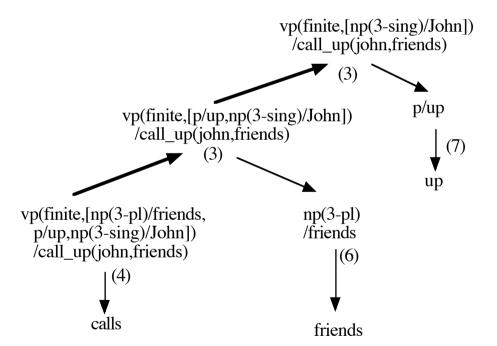
s(finite)/call\_up(john,friends)

The only suitable chain rule with matching semantic head still is (3) resulting in another node one level up:

vp(finite,[np(3-sing)/John])
/call\_up(John,friends)

# AN EXAMPLE (7)





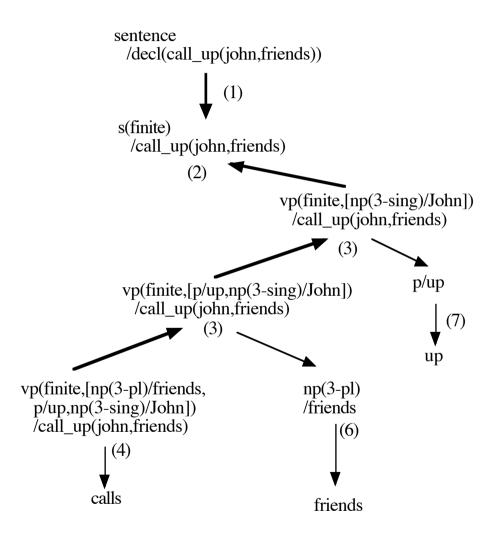
recursive generation of the remaining RHS element

p/up

must be carried out, by rule (7)

Again, unifying the pivot,

# AN EXAMPLE (8)



## Ultimately, the pivot

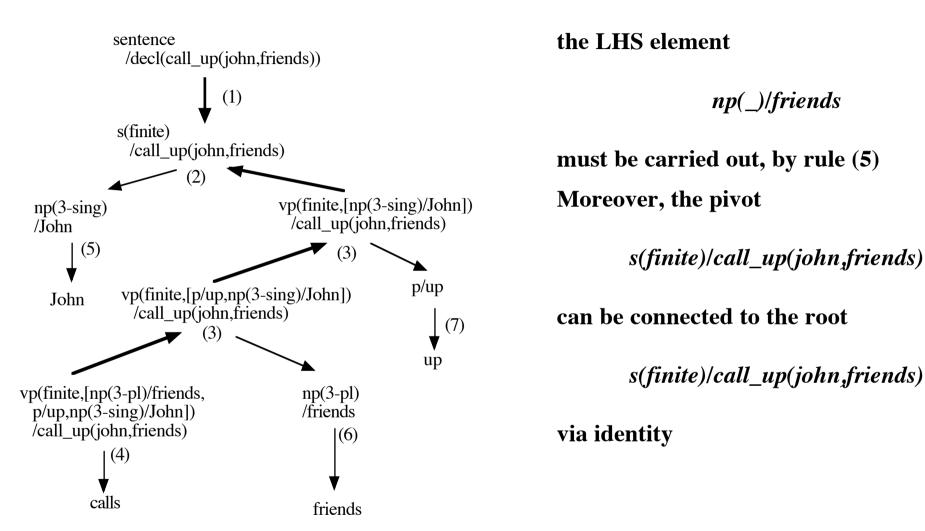
vp(finite,[np(3-sing)/John])
/call\_up(John,friends)

can be connected to the root

s(finite)/call\_up(john,friends)

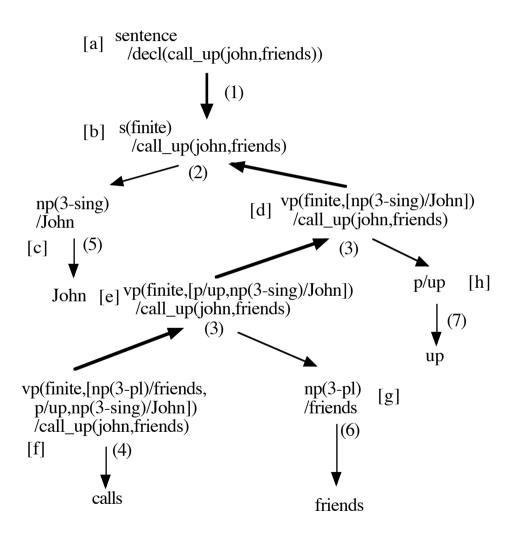
The only suitable chain rule with matching semantic head here is (2)

# AN EXAMPLE (9)



Finally, recursive generation of

## THE EXAMPLE - SUMMARY



**Semantics** 

decl(call\_up(john,friends))

Sentence

"John calls friends up"

Order of processing

- 1. Expand pivot [a] to [b]
- 2. Pivot for [b] is [f]
- 3. Connecting to [b] goes over [e]
- 4. Recursive expansion to [g]
- 5. Further connecting to [b] goes over [d]
- 6. Recursive expansion to [h]
- 7. Recursive expansion to [c]

## THE CHART AS A DATA STRUCTURE

### Components - edges

A two-dimensional matrix of edges

Edges are possibly partial rule instantion over a substring

Edges are indexed by start and end string positions

## Properties of edges

Dot in a rule right-hand side indicates degree of completion

Active edges (incomplete items) partial right-hand side

Passive edges (complete items) full right-hand side

#### Fundamental rule

$$[n_1,n_2,A \rightarrow B_1 \dots B_{i-1} \bullet B_i \dots B_n]$$
 and  $[n_2,n_3,B_i \rightarrow C^+ \bullet]$  yields  $[n_1,n_3,A \rightarrow B_1 \dots B_i \bullet B_{i+1} \dots B_n]$ 

## CHART PARSING - 3 OPERATORS

#### **Predictor**

Applied to state with a non-terminal at right of the dot – rule expansion  $S \rightarrow VP$ , [0,0] yields states  $VP \rightarrow Verb$ , [0,0] and  $VP \rightarrow Verb$  NP, [0,0]

#### Scanner

Applied to state with a terminal at right of the dot – top-down input Supports disambiguation of input

 $VP \rightarrow Verb NP, [0,0]$  yields state  $VP \rightarrow Verb \cdot NP, [0,1]$ 

### Completer

Applied to state with the dot in the rightmost position – rule completion Completer looks at states with adjacent position expecting the category parsed NP -> Det Nominal •, [1,3] & VP -> Verb • NP, [0,1] gives VP -> Verb NP •, [0,3]

# MODIFYING A CHART FOR GENERATION PURPOSES (Kay 1996)

#### **Motivation**

**Exploiting the chart for avoiding recomputation Processing strategy in dependency of the state of the chart** 

### **Functionality**

Chart is organized by semantic index values rather than by string positions

Each active edge is looking for a passive edge with the right index

A successful result is a passive edge that "uses up" all of the input semantics

#### Assessment

Much better than naive searching, but still some specific problems

# AN EXAMPLE (1)

## Example input expression

## Example grammar

$$s(x) \rightarrow np(y) vp(x,y)$$

 $vp(x) \rightarrow vp(x) adv(x)$ 

Lexicon entries - instantiating relevant ones yields the initial state of the chart

John	np(x)	x:name(x,John)
ran	$\mathbf{vp}(\mathbf{x},\mathbf{y})$	x:run(x),arg1(x,y),past(x)
fast	adv(x)	x:fast(x)
quickly	adv(x)	x:fast(x)

# AN EXAMPLE (2)

## Processing steps

Interaction between "John" and "ran" yields (5)
Not finished, since not all input specifications have been consumed

	Word	Category	Semantics
<b>(1)</b>	John	np(j)	j:name(j,John)
<b>(2)</b>	ran	$\mathbf{vp}(\mathbf{r,j})$	r:run(r),arg1(r,j),past(r)
<b>(3)</b>	fast	adv(r)	r:fast(r)
<b>(4)</b>	quickly	adv(r)	r:fast(r)
<b>(5)</b>	John ran	$\mathbf{s}(\mathbf{r})$	r:run(r),arg1(r,j),past(r) j:name(j,John)

# AN EXAMPLE (3)

## Processing steps

Interaction between "ran" and "fast" yields (6) Not finished, since no sentence found yet

	Word Category		Semantics		
<b>(1)</b>	John	np(j)	j:name(j,John)		
<b>(2)</b>	ran	vp(r,j)	r:run(r),arg1(r,j),past(r)		
<b>(3)</b>	fast	adv(r)	r:fast(r)		
<b>(4)</b>	quickly	adv(r)	r:fast(r)		
<b>(5)</b>	John ran	$\mathbf{s}(\mathbf{r})$	r:run(r),arg1(r,j),past(r) j:name(j,John)		
<b>(6)</b>	ran fast	$\mathbf{vp}(\mathbf{r,j})$	r:run(r),arg1(r,j),past(r),fast(r)		

# AN EXAMPLE (4)

## Processing steps

Interaction between "ran" and "quickly" yields (7)
Not finished, since not all input specifications have been consumed

	Word Category		Semantics		
<b>(1)</b>	John	np(j)	j:name(j,John)		
<b>(2)</b>	ran	$\mathbf{vp}(\mathbf{r,j})$	r:run(r),arg1(r,j),past(r)		
<b>(3)</b>	fast	adv(r)	r:fast(r)		
<b>(4)</b>	quickly	adv(r)	r:fast(r)		
<b>(5)</b>	John ran	$\mathbf{s}(\mathbf{r})$	r:run(r),arg1(r,j),past(r) j:name(j,John)		
<b>(6)</b>	ran fast	<b>vp</b> ( <b>r</b> , <b>j</b> )	r:run(r),arg1(r,j),past(r),fast(r)		
<b>(7)</b>	ran quickly	vp(r,j)	r:run(r),arg1(r,j),past(r),fast(r)		

# AN EXAMPLE (5)

Natural language generation

## Processing steps

Interaction between "John" and "ran fast" yields (8) (similarly (9)) Finished, since all input specifications have been consumed

	Word	Category	Semantics
<b>(1)</b>	John	np(j)	j:name(j,John)
<b>(2)</b>	ran	$\mathbf{vp}(\mathbf{r,j})$	r:run(r),arg1(r,j),past(r)
<b>(3)</b>	fast	adv(r)	r:fast(r)
<b>(4)</b>	quickly	adv(r)	r:fast(r)
<b>(5)</b>	John ran	$\mathbf{s}(\mathbf{r})$	r:run(r),arg1(r,j),past(r) j:name(j,John)
<b>(6)</b>	ran fast	$\mathbf{vp}(\mathbf{r,j})$	r:run(r),arg1(r,j),past(r),fast(r)
<b>(7)</b>	ran quickly	$\mathbf{vp}(\mathbf{r,j})$	r:run(r),arg1(r,j),past(r),fast(r)
<b>(8)</b>	John ran fast	$\mathbf{vp}(\mathbf{r,j})$	r:run(r),arg1(r,j),past(r),j:name(j,John),fast(r)
<b>(9)</b>	John ran quickly	$\mathbf{vp}(\mathbf{r,j})$	r:run(r),arg1(r,j),past(r),j:name(j,John),fast(r)

## PROCESSING THE EXAMPLE - SUMMARY

- 1. Lexical entries which subsume input specifications (variables instantiated)
- 2. Moving ran to the chart after moving John there (5) is built, due to the S rule
- 3. Since not all of the input is subsumed, it is put on the agenda
- 4. Moving *fast* to the chart yields interaction with *ran* (6) due to the VP rule
- 5. Moving *quickly* to the chart yields interaction with *ran* (7) due to the VP rule
- 6. No interaction between the VPs (6) or (7) and the adverbs (3) or (4), since this would use parts of the semantic twice
- 7. Interaction between John and either VP (6) or (7) yields a sentence so that
  - the entire expression is used
  - no specification is used twice

## A PROBLEM WITH CHART GENERATION

#### The observation

Intersective modification cause efficiency problems

Many unwanted combinations with any order of modifiers built

#### Reason

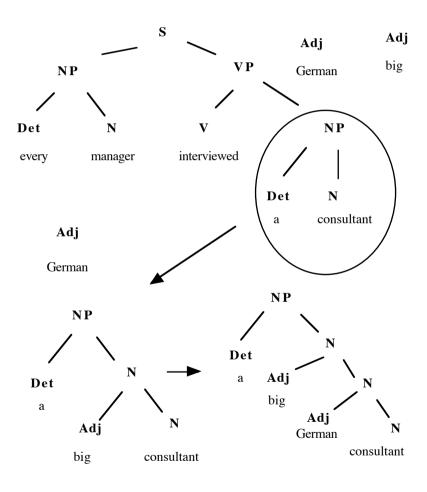
Both the syntactic category and the semantic index compatible in structures before and after rule application otherwise scope or syntactic ordering constraints prevent combinations

#### Measure

Separate the generation process in 2 phases (Semi-lexicalist approach [Carroll et al. 1999])

Intersective modifiers adjoined in a postprocess (they do not change categories)

# AN EXAMPLE (THE SEMI-LEXICALIST APPROACH)



#### Phase 1

## **Processing without modifiers**

#### Phase 2

## **Adjoining intersective modifiers**

- **1. big**
- 2. German

# ASSESSING THE SEMILLEXICALIST APPROACH

### Efficiency measures

Corpus	Standard chart	Two phase generation
44 Short dialog examples	856 edges / 5.4 msec	501 edges / 3.3 msec
First sentence below	923 edges / 5.6 msec	314 edges / 1.8 msec
Second sentence below	4710 edges / 54.8 msec	776 edges / 4.3 msec

"a manager in that office interviewed a new consultant from Germany"

"our manager organized an unusual additional weekly department conference"

(modifier order not constrained by the Grammar, 4! x 2 strings generated)

## Coverage

Large grammar of English (including conjunction, extraposition, ellipsis)
Linguistic Grammars online: http://hpsg.stanford.edu/hpsg/lingo.html

# FEATURING COORDINATE STRUCTURES (White 2004)

## The general approach

Similar motivation as the semi-lexicalist approach

Different format of semantics and integrated process organization

#### Some measures

Chunking and flattening – identify subproblems (e.g., separate relative clause)

Efficient data structures in the implementation

Lexical loop up supported by indexing scheme

Edge pruning and anytime search to address relatively free word orders

## **Efficiency**

All measures contributing, best realizations found way under a second OpenCCG realizer successfully used in two dialog systems

# HANDLING DISJUNCTIVE INPUTS (White 2006)

#### **Motivation**

Language planning components produce sets of reasonable expressions

- Paraphrases with no preferences among them
- Alternatives within context widely interchangable
- Surface realizer may decide

## Representation alternatives

**Underspecified expressions** 

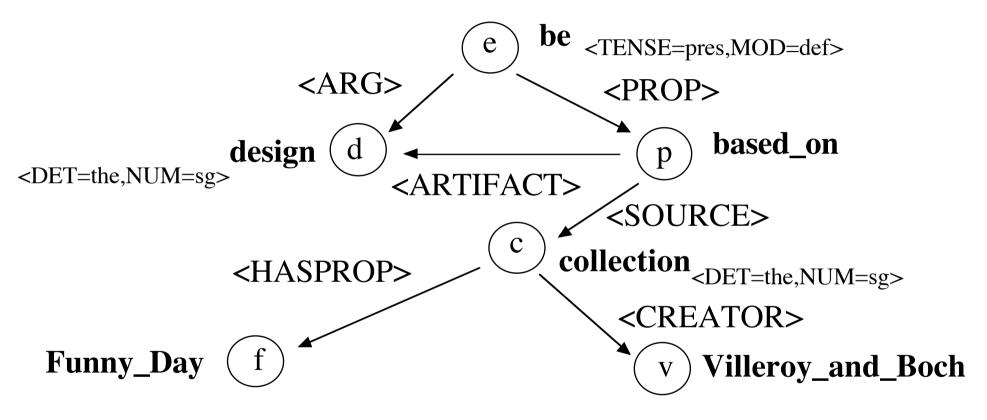
**Explicit disjunctions (the alternative used here)** 

### **Functionality**

**Generate most alternatives in parallel (overlapping substructures)** 

Decide on the basis of corpus frequencies of surface expressions

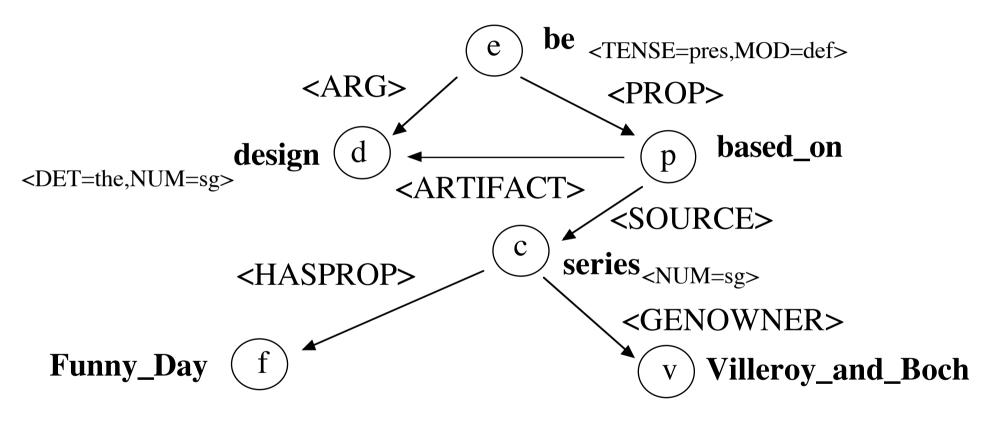
# EXAMPLE REPRESENTATION (1)



Semantic dependency graph for

"The design is based on the Funny Day collection by Villeroy and Boch"

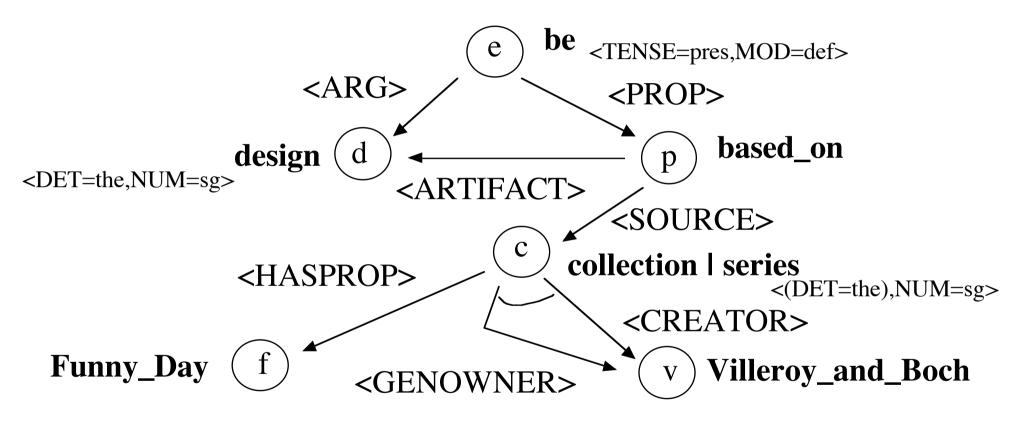
# EXAMPLE REPRESENTATION (2)



Semantic dependency graph for

"The design is based on Villeroy and Boch's Funny Day series"

# EXAMPLE REPRESENTATION (3)



Disjunctive Semantic dependency graph covering

"The design is based on (the Funny Day (collection | series)

by Villeroy and Boch | Villeroy and Boch's Funny Day (collection | series))"

# THE PROCEDURE (SKETCH)

## Flattening

Preprocessing step - array of elementary predications, alternations and options Through tree traversal with incrementally building alternative groups

## Edges

Edges associated with bit vectors to record coverage of alternatives

#### Lexical instantiation

Returns non-overlapping matches with coverage indicating bit vectors

#### **Derivation**

Edges may be introduced as alternatives

Edge combination involves a coverage check

## Unpacking

Realizations recursively unpacked, filtering duplications

# EVALUATION

## Setting

Trigram language model used for scoring alternatives

Single best output and 10-best realizations

Efficiency gain measured against sequential processing

#### Results

	10-best two-stage		1-best anytime		
	time	edges	time	edges	
disjunctive	1.1	602	0.5	281	
sequential	5.6	3550	4.1	2854	

# FUF/SURGE (Elhadad, Robin 1999)

A tool for surface realization (in English) – based on FUF [Kay 1979] Flexible input specification (supports different ontologies)

thematic roles (plan language; e.g., SPL) subcategorization (grammar; e.g., HPSG)

cat	clause	1	cat	clause		1
process	type	material	process	type	lexical	
	effect-type	creative		lex	"score"	
participants	lex   agent	"score"	lex-roles	subcat   agent	1 [1]	cat proper
participants	created	reac proper	ICA-TOICS	created	•••	

## Some properties

**Incorporates concepts of several theories (HPSG, SFL, MTT)** 

Theory-neutral extensions (e.g., for complex noun phrases)

Use of defaults (e.g., specifying pronominalization or leaving that implicit)

Various control mechanisms (goal freezing, intelligent back-tracking)