# Co-training & Bootstrapping

PD Dr. Günter Neumann DFKI and Saarland University

#### Bootstrapping NE classification

based on Michael Collins and Yoran Singer, EMNLP 1999

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• The task: to learn a decision list to classify strings as person, location or organization The learned decision list is an *ordered* sequence of if-then rules R<sub>1</sub>: if features then person ... says Mr. Gates, founder of Microsoft ...  $R_2$ : if <u>features</u> then location R<sub>3</sub>: if <u>features</u> then organization  $R_n$ : if <u>features</u> then person ... says Mr. Gates, founder of Microsoft ...

#### Outline of Bootstrapping Co-Training

- Parse an unlabeled document set
- Extract each NP, whose head is tagged as proper noun
- Define a set of relevant features, which can be applied on extracted NPs
- Define two separate types of rules on basis of feature space
- Determine small initial set of seed rules
- Iteratively extend the rules through co-training

## Two Categories of Rules

• The key to the method is redundancy in the two kind of rules.

... says Mr. Cooper, a vice president of...

Paradigmatic or spelling



#### Huge amount of unlabeled data gives us these hints!

#### The Data



• 971,746 New York Times sentences were parsed using full sentence parser.

 Extract consecutive sequences of proper nouns (tagged as NNP and NNPS) as named entity examples if they met one of following two criterion.

• Note: thus seen, NNP(S) functions as a generic NEtype, and the main task is now to sub-type them.

#### Kinds of Noun Phrases

1. There was an appositive modifier to the NP, whose head is a singular noun (tagged NN).

...says [Maury Cooper], [a vice president]...

2. The NP is a complement to a preposition which is the head of a PP. This PP modifies another NP whose head is a singular noun.

... fraud related to work on [a federally funded sewage plant] [in [Georgia]].

#### (spelling, context) pairs created

- ....says Maury Cooper, a vice president...
  - (Maury Cooper, president)
- ... fraud related to work on a federally funded sewage plant in Georgia.

(Georgia, plant\_in)

#### Features

representing examples for the learning algorithm

- Set of spelling features
  - Full-string=x (full-string=Maury Cooper)
  - Contains(x) (contains(Maury))
  - Allcap I IBM
  - Allcap2 N.Y.
  - Nonalpha=x A.T.&T. (nonalpha=..&.)
- Set of context features
  - Context = x (context = president)
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It is strongly assumed that the features can be partitioned into two types such that each type alone is sufficient for classification

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## Examples of named entities and their features

<u>Sentence</u>	Entities(Spelling/Context)	(Active) Features	
But Robert Jordan, a partner at Steptoe & Johnson who took	Robert Jordon/partner	Full-string=Robert_Jordan, contains(Robert), contains (Jordan), context=partner, context-type=appos	
	Steptoe & Johnson/partner_at	Full-string=Steptoe_&_Johnson, contains(Steptoe), contains(&), contains(Johnson), nonalpha=& , context=partner_at, context-type=prep	
By hiring a company like A.T.&T	A.T.&T./company_like	Full-string= A.T.&T., allcap2, nonalpha=&. , context=company_like, context-type=prep	
Hanson acquired Kidde Incorporated, parent of Kidde Credit, for	Kidde Incorporated/parent	Full-string=Kidde_Incorporated, contains(Kidde), contains(Incorporated), context=parent, context- type=appos	
	Kidde Credit/parent_of 9	Full-string=Kidde_Credit, contains(Kidde), contains (Credit), context=parent_of, context-type=prep	

Freitag, 25. November 2011

#### Rules

h(x,y): the strength of a rule, defined as

Feature  $\rightarrow$  NE-type, h(Feature, NE-type)

 $\arg \max_{x,y} \frac{Count(x,y) + \alpha}{Count(x) + k\alpha}$ 

where

$$Count(x) = \sum_{y \in Y} Count(x, y)$$

lpha is a smoothing parameter

$$k = #NE$$
-types

The rules ordered according to their strengths h form a decision list: the sequence of rules are tested in order, and the answer to the *first* satisfied rule is output.



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### 7 SEED RULES

- Full-string = New York  $\rightarrow$  Location
- Full-string = California  $\rightarrow$  Location
- Full-string = U.S.  $\rightarrow$  Location
- Contains(Mr.)  $\rightarrow$  Person
- Contains(Incorporated) → Organization
- Full-string=Microsoft
- Full-string=I.B.M.  $\rightarrow O$
- → Organization
  - → Organization

## 7 SEED RULES

Note: only one type of rules used as seed rules, and all NE-types should be covered

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- Full-string = California Location
- Full-string = U.S.  $\rightarrow$  Location
- Contains(Mr.) → Person
- Contains(Incorporated) → Organization
- Full-string=Microsoft

- → Organization
- Full-string=I.B.M.  $\rightarrow$  Organization

## The Co-training algorithm

- 1. Set N=5 (max. # of rules of each type induced in each iteration)
- 2. Initialize: Set the spelling decision list equal to the set of seed rules. Label the training set using these rules.
- 3. Use these to get contextual rules. (x = feature, y = label)

Compute h(x,y), and induce at most N \* K rules

all must be above some threshold p<sub>min</sub>=0.95

- 4. Label the training set using the contextual rules.
- 5. Use these to get N\*K spelling rules (same as step 3.)
- 6. Set spelling rules to seed plus the new rules.
- 7. If N < 2500, set N=N+5, and goto step 3.
- 8. Label the training data with the combined spelling/contextual decision list, then induce a final decision list from the labeled examples where all rules (regardless of strength) are added to the decision list.

## Example

• (IBM, company)

... IBM, the company that makes...

• (General Electric, company)

...General Electric, a leading company in the area,...

• (General Electric, employer)

... joined General Electric, the biggest employer...

#### • (NYU, employer)

NYU, the employer of the famous Ralph Grishman,...

#### Why Separate Spelling, Context Features? Can use theory behind co-training to explain how algorithm works.

Requirements:

Classification problem  $f: X \rightarrow Y$ 

 $f_1(x_{1,i}) = f_2(x_{2,i}) = y_i$  for i = 1...m

 $f_{I}(x_{1,i}) = f_{2}(x_{2,i})$  for i = m+1...n

(softer criteria requires  $f_1$  and  $f_2$  to minimize their disagreements  $\rightarrow$  similarity)

Can partition features X into 2 types of features  $x = (x_1, x_2)$ 

Each type is sufficient for classification

 $x_1, x_2$  not correlated to tightly (e.g., no deterministic function from  $x_1$  to  $x_2$ )

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## The Power of the Algorithm

- Greedy method
  - At each iteration method increases number of rules
  - While maintaining a high level of agreement between spelling & context rules

For n= 2500:

The two classifiers give both labels on 49.2% of the unlabeled data And give the same label on 99.25% of these cases

The algorithm maximizes the number of unlabeled examples on which the two decision lists agree.

#### Evaluation

- 88,962 (spelling, context) pairs.
  - 971,746 sentences
- 1,000 randomly extracted to be test set.
- Location, person, organization, noise (items outside the other three)
- 186, 289, 402, 123 (- 38 temporal noise).
- Let N<sub>c</sub> be the number of correctly classified examples
  - Noise Accuracy: N<sub>c</sub> / 962
  - Clean Accuracy: N<sub>c</sub> /(962-85)

#### Results

<u>Algorithm</u>	<u>Clean Accuracy</u>	<u>Noise Accuracy</u>
Baseline	45.8%	41.8%
EM	83.1%	75.8%
Yarowsky 95	81.3%	74.1%
Yarowsky Cautious	91.2%	83.2%
DL-CoTrain	91,3 %	83,3 %
CoBoost	91.1%	83.1%

#### Remarks

- Needs full parsing of unlabeled documents
  - Restricted language independency
  - Need linguistic sophistication for new types of NE
- Slow training
  - In each iteration, full size of training corpus has to be re-labeled